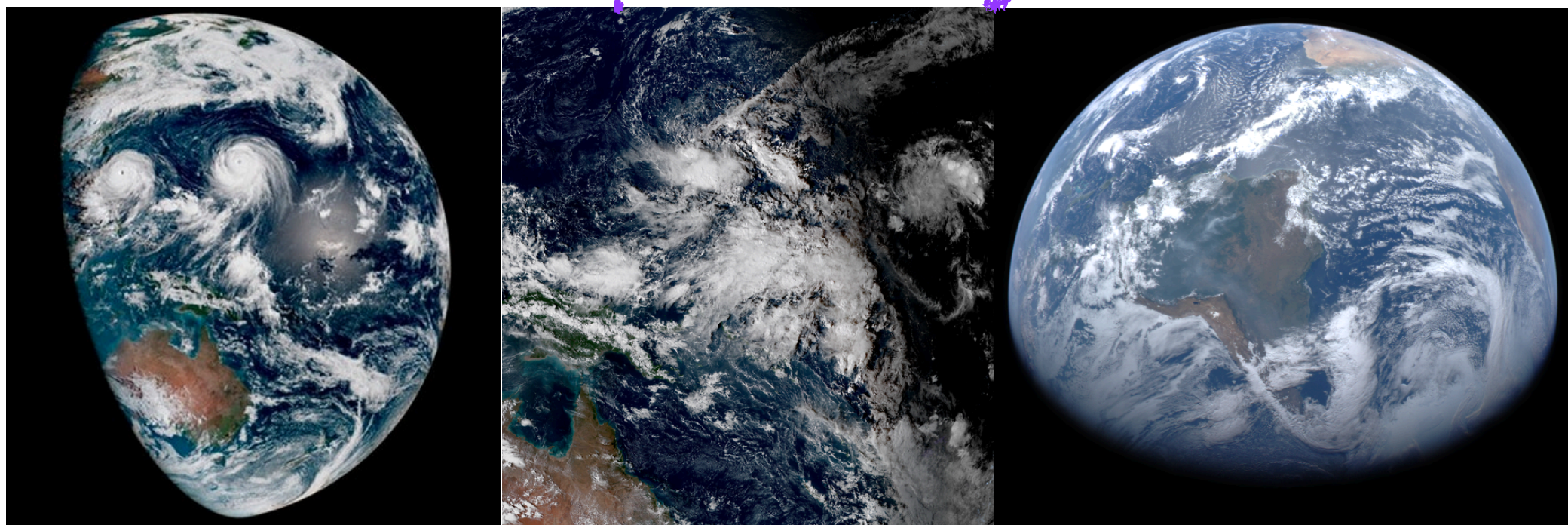


# Observations of Convection Organization

at the planetary scale



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Columbia University

[biasutti@ldeo.columbia.edu](mailto:biasutti@ldeo.columbia.edu)

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with slides from: Angel Adames — Adam Sobel — Da Yang  
many more adapted from: George Kiladis — Chidong Zhang

# “convection organization”

---

WHAT DO WE MEAN BY ORGANIZATION?

- organization by the boundary
- self - organization of the atmosphere
  - through (dry) dynamics
  - through moisture

# “convection organization”

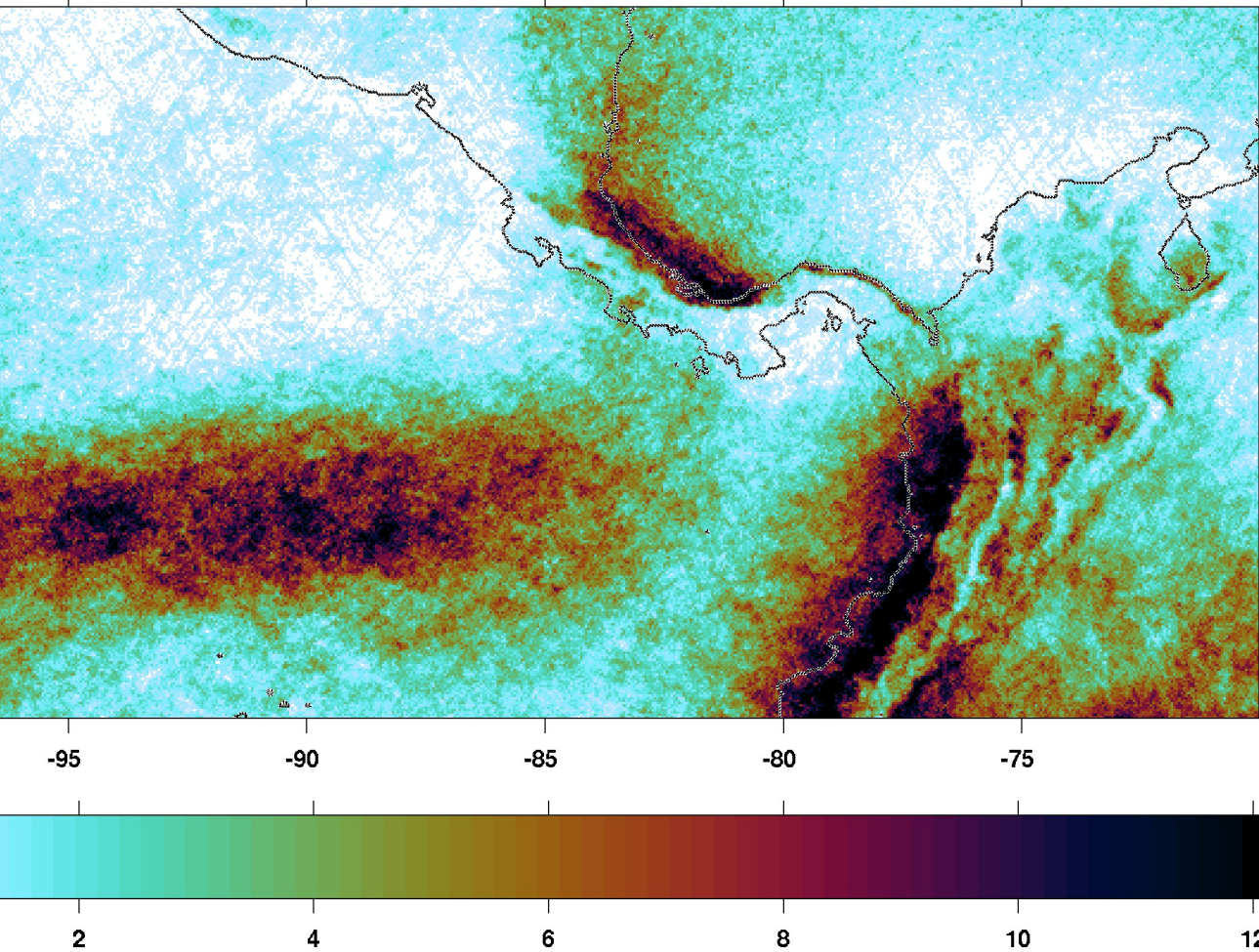
---

## WHAT DO WE MEAN BY ORGANIZATION

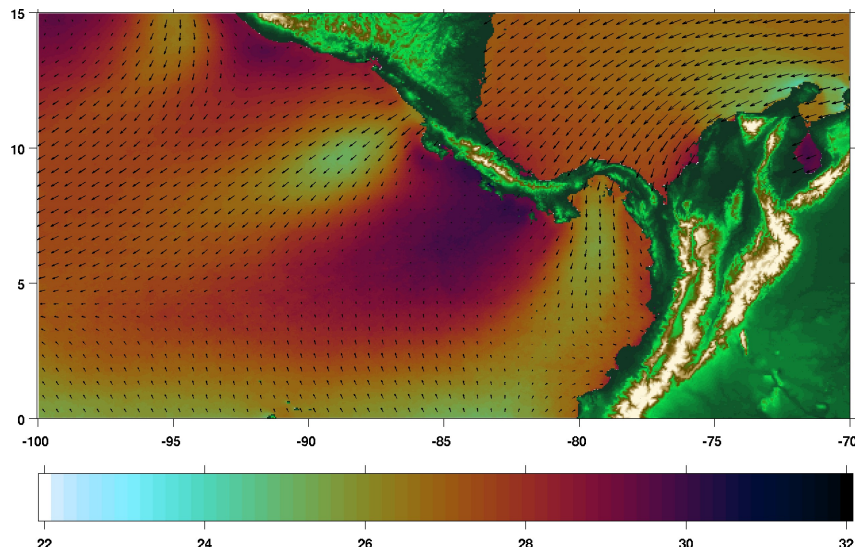
- organization by the boundary
- self - organization of the atmosphere
  - through (dry) dynamics
  - through moisture

# by the boundary

---

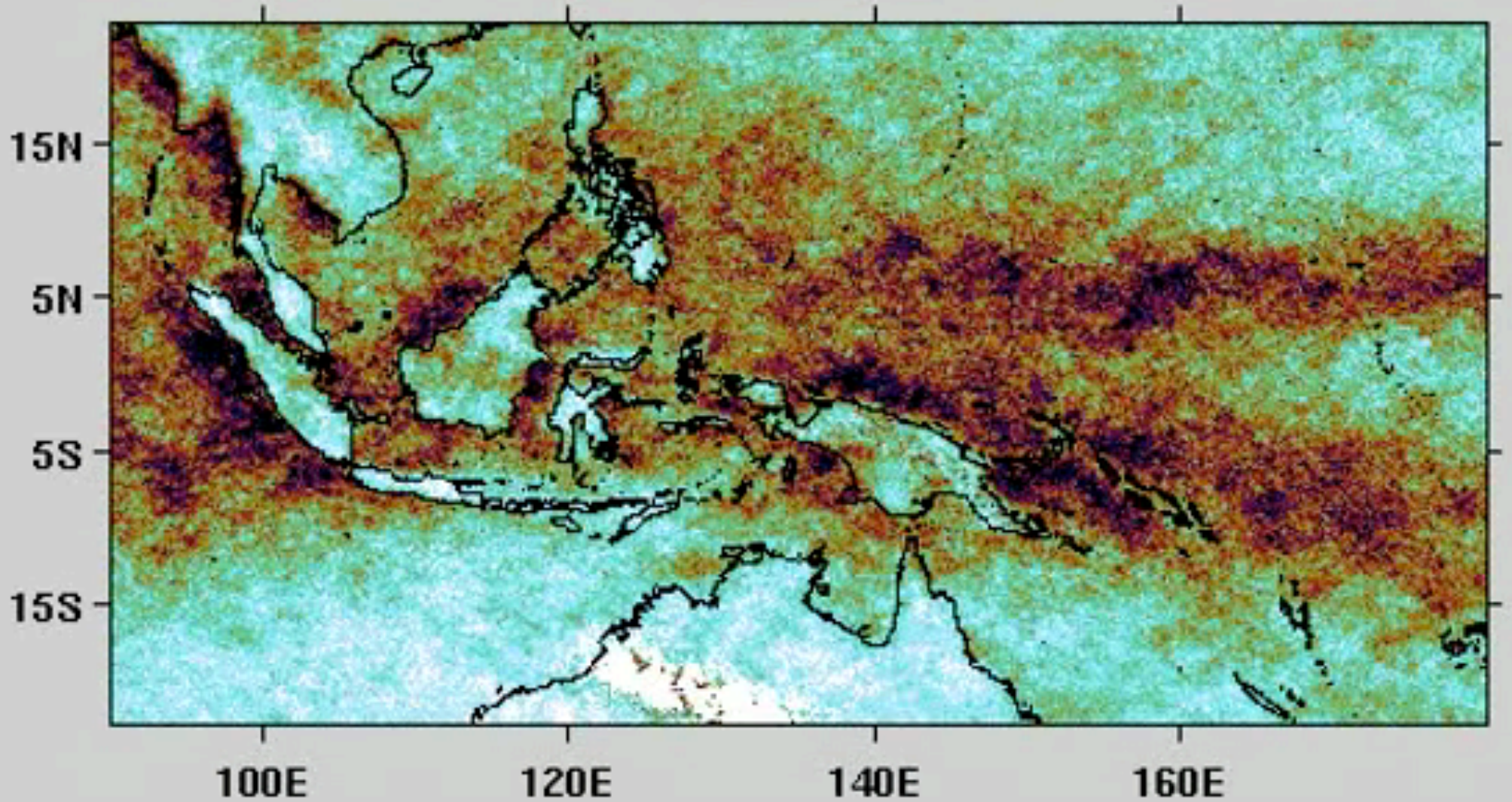


- orography
  - barrier
  - lift
- patterns in enthalpy fluxes
  - SST
  - wind speed
- patterns in surface convergence
- diurnal circulations (rectified)

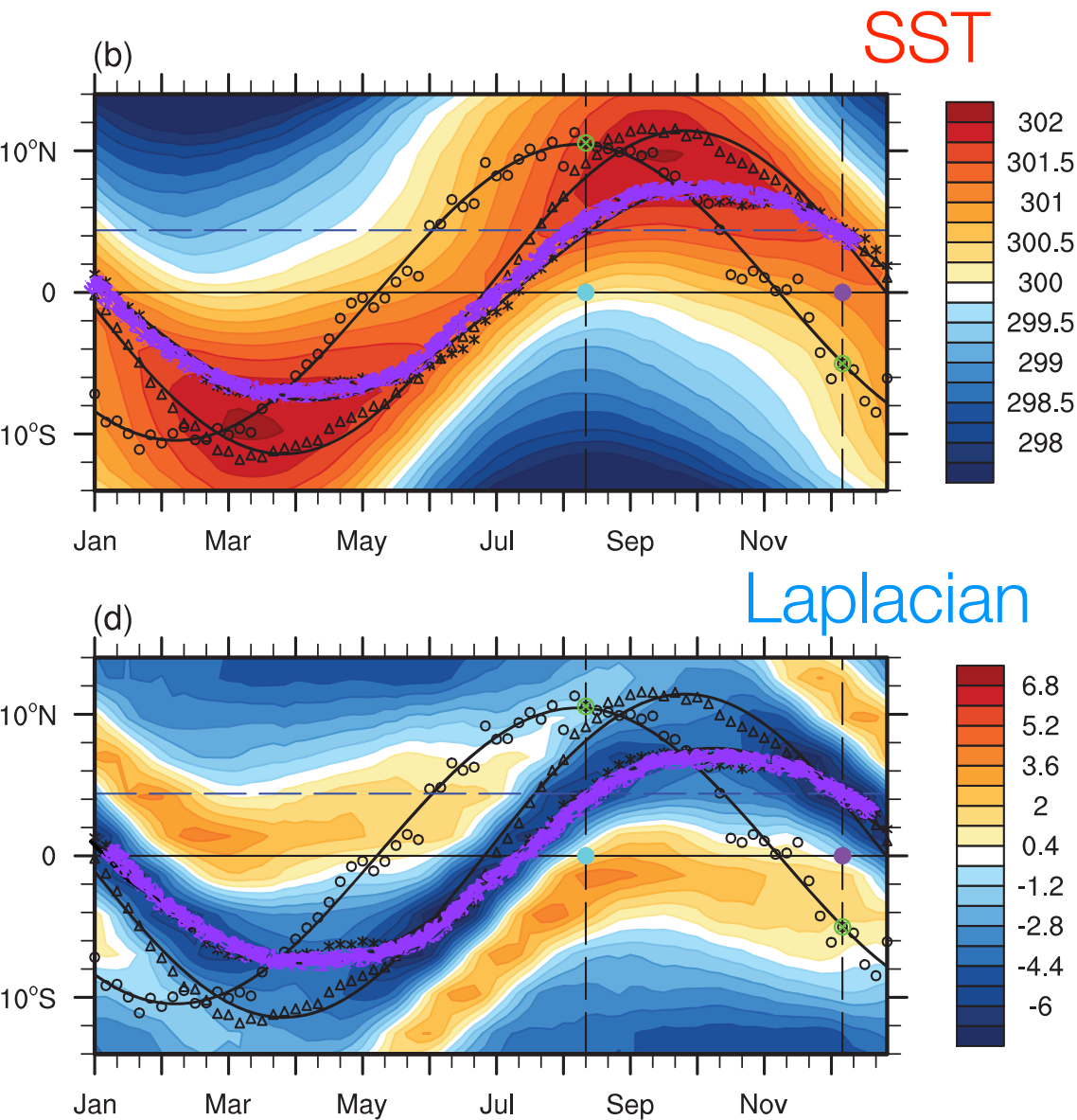


# diurnal circulations

00-03 UTC

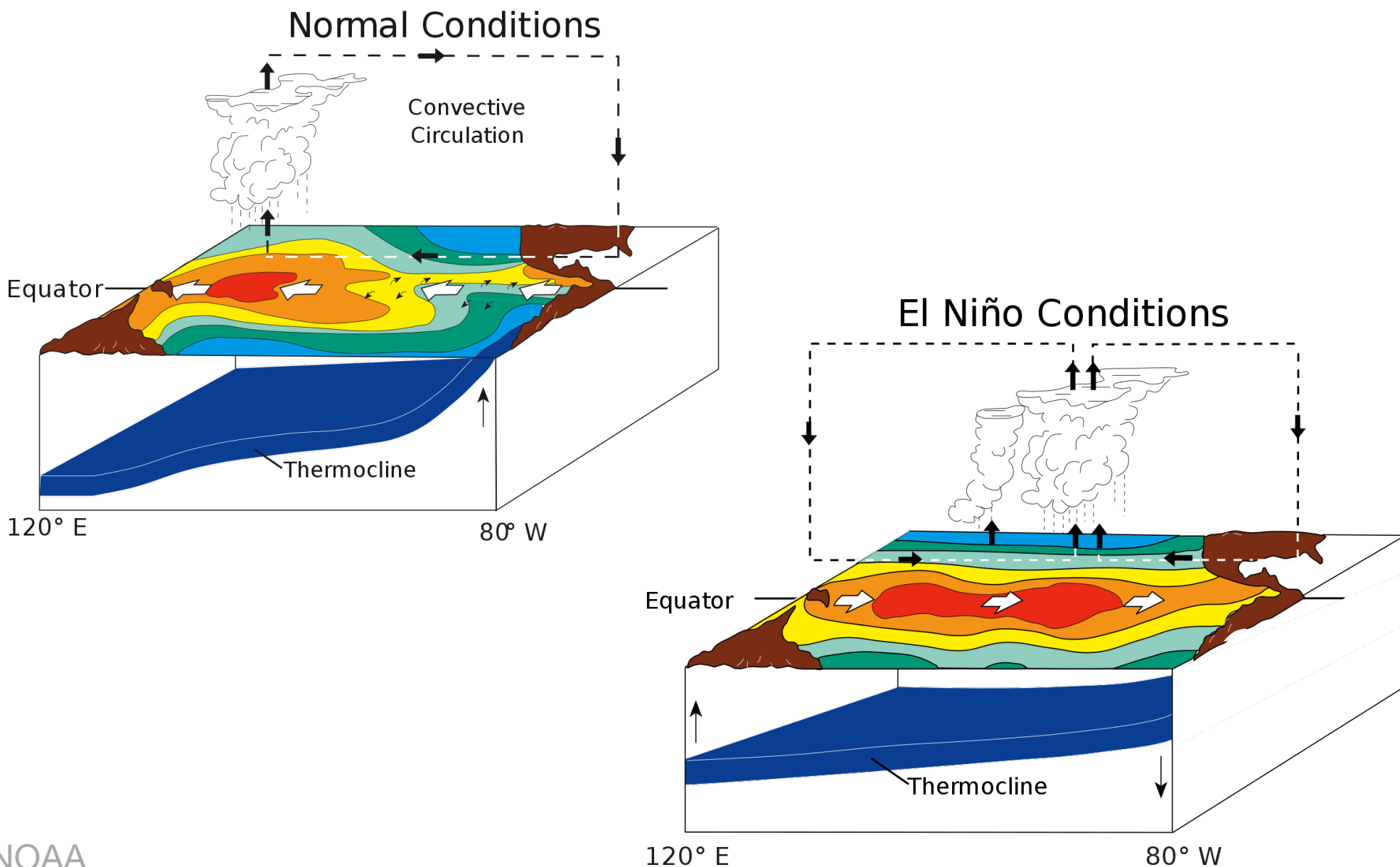


# at seasonal to interannual (& longer) scales organization is from the boundary



The seasonal excursion of an **Aquaplanet ITCZ** follows the (Laplacian) of **SST**

# at seasonal to interannual (& longer) scales organization is from the boundary



# “convection organization”

---

## WHAT DO WE MEAN BY ORGANIZATION

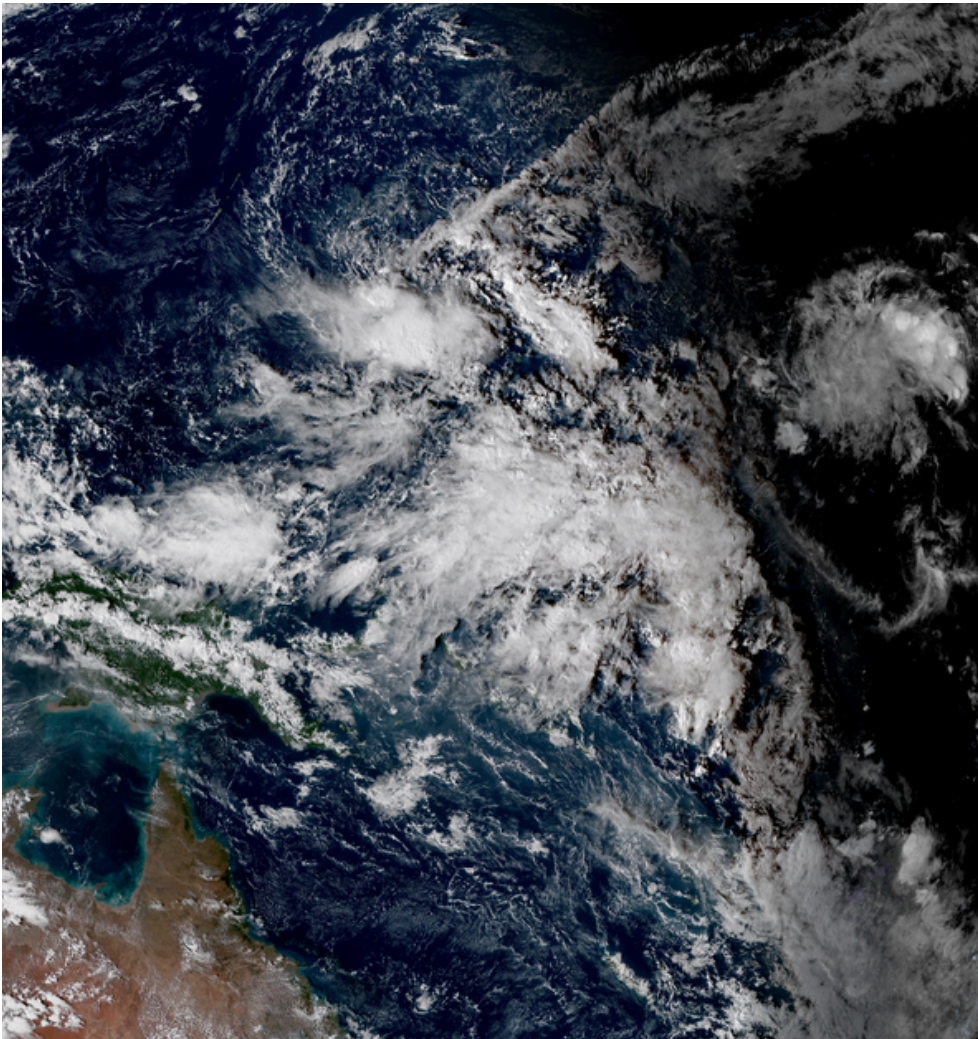
- organization by the boundary
- self - organization of the atmosphere
  - through (dry) dynamics
  - through moisture



# self-organization of the atmosphere

---

- through (dry) dynamics: Equatorial Waves
- through (maybe) moisture: The MJO

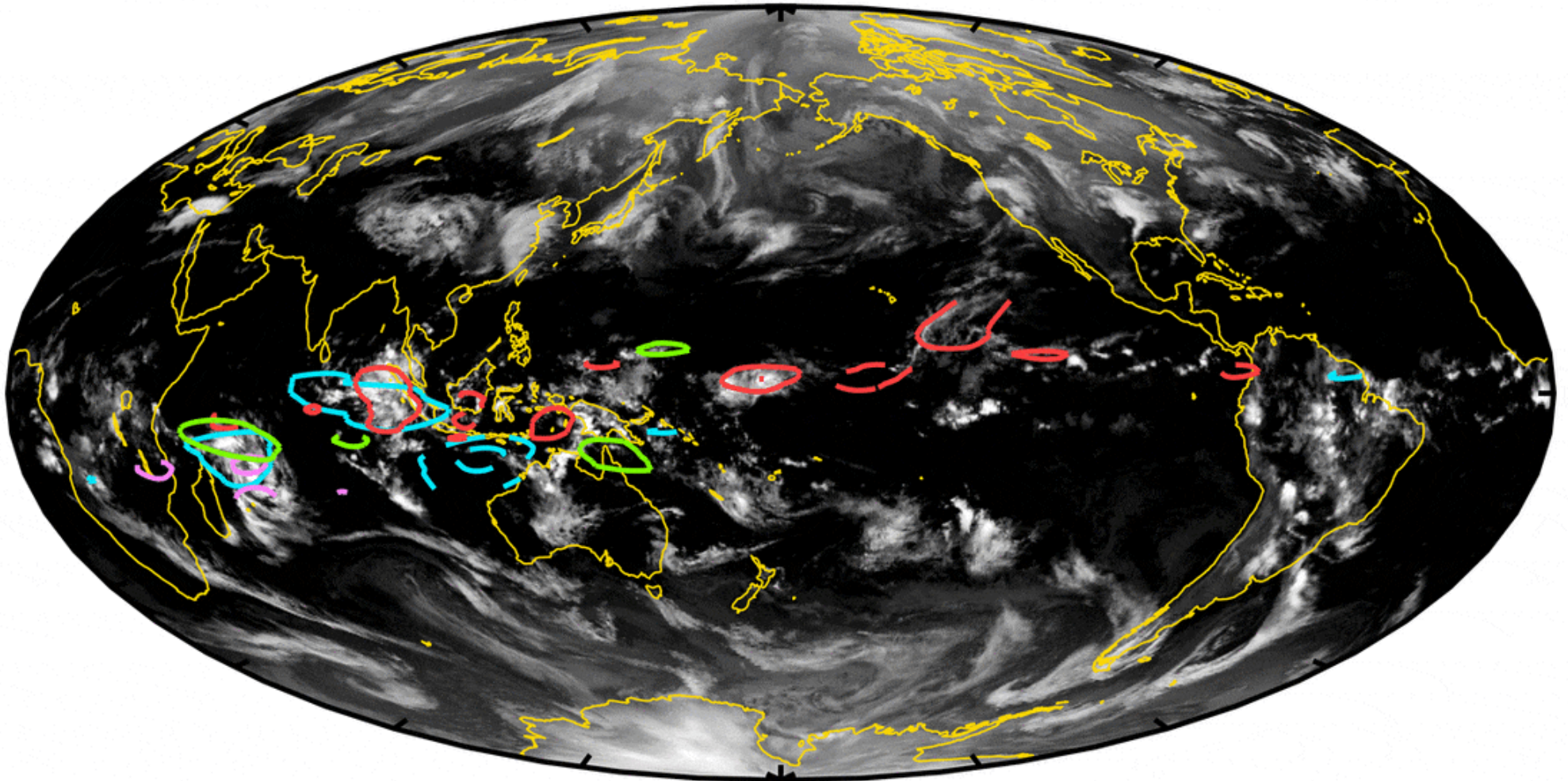


- (mostly) away from land
- sub-seasonal

# A diversity of convectively-coupled waves and modes

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**Cloud Brightness 2005-03-22 09:00:00**



**MJO**   **Eq. Rossby**   **Kelvin**   **MRG**

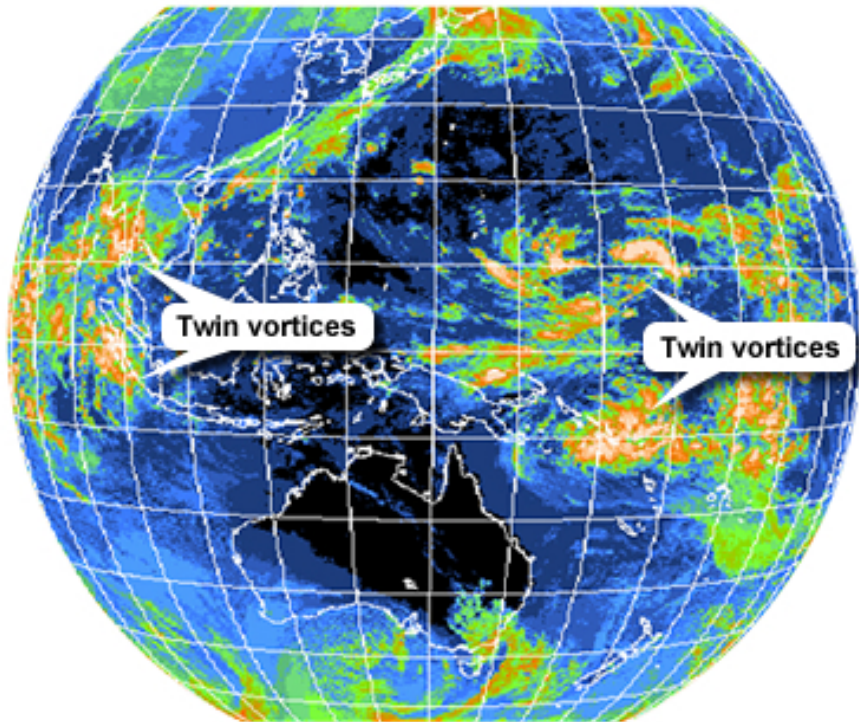
Ángel F. Adames  
*University of Michigan*

# How to diagnose tropical waves: the Wheeler and Kiladis diagram (1/5)

1. Decompose (IR) into components that are symmetric and anti-symmetric about the equator. (This takes care of the y-direction)

## Symmetric

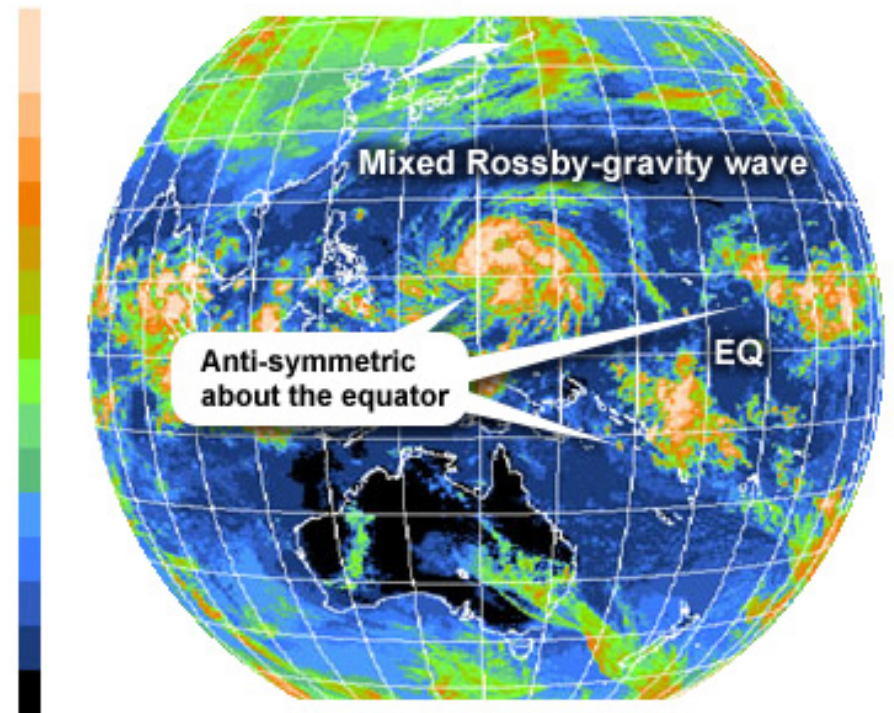
Enhanced IR Satellite Image at 0000 UTC 7 Oct 2002



Australian Bureau of Meteorology / JMA

## Anti-symmetric

Enhanced IR Satellite Image at 0000 UTC 21 Nov 2002

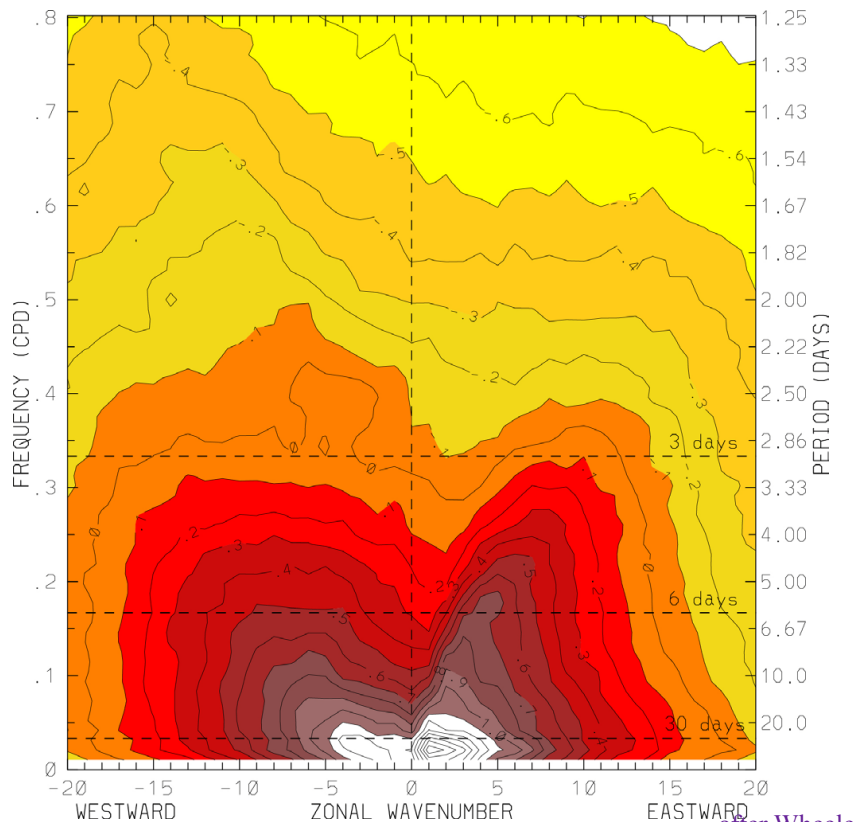


Australian Bureau of Meteorology / JMA

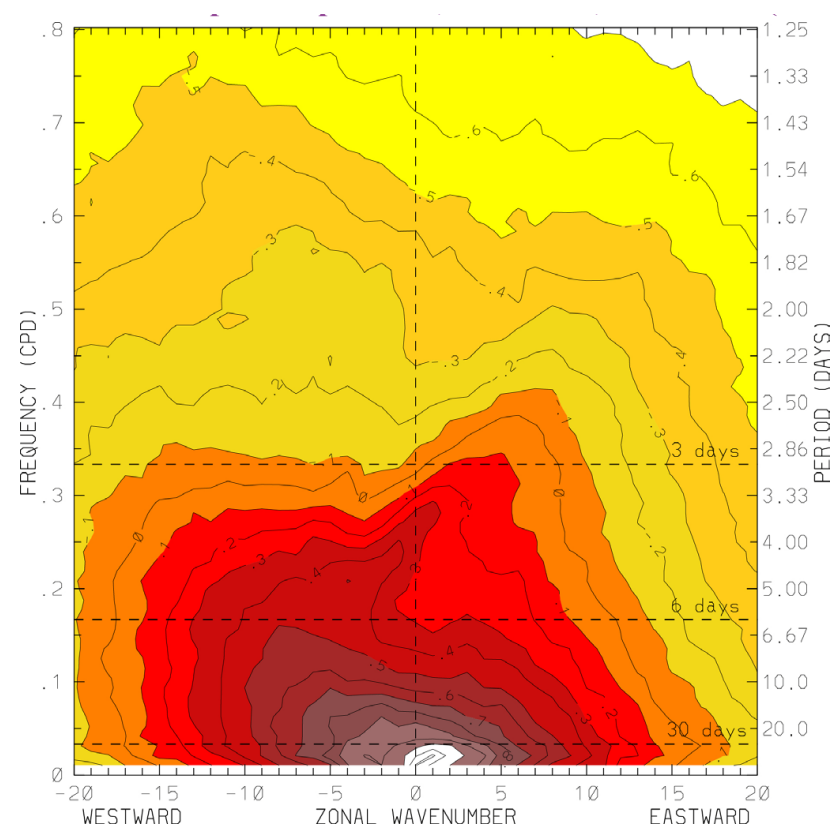
# How to diagnose tropical waves: the Wheeler and Kiladis diagram (2/5)

2. Take 2-D Fourier transformation of  $a(x, t) \rightarrow A(k, n)$
3. Plot  $A^2(k, n)$  : Power spectrum

Symmetric

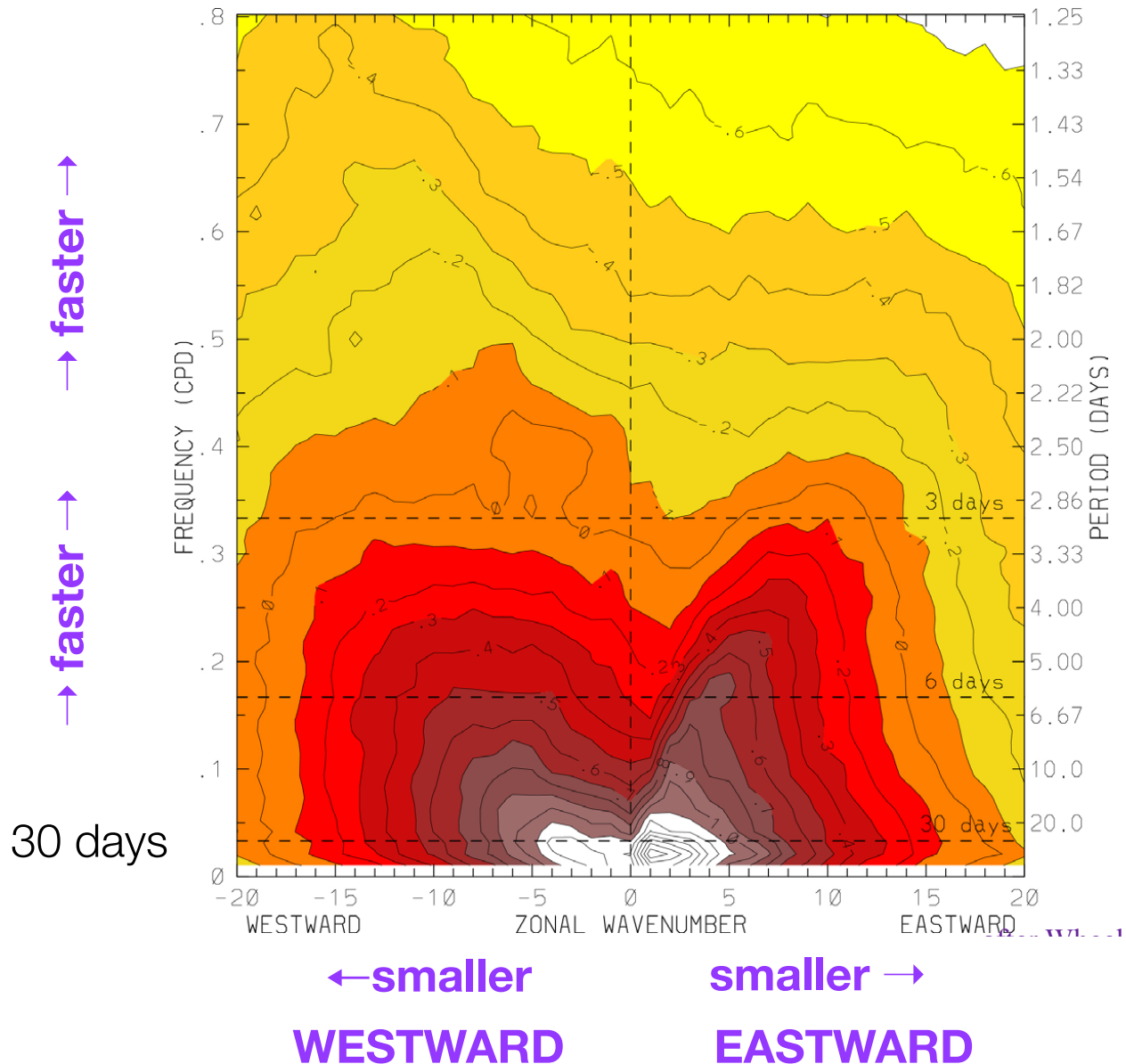


Antisymmetric



CLAUStb power spectrum, 15°S-15°N, 1983–2006 (after Wheeler and Kiladis, 1999).

# How to diagnose tropical waves: the Wheeler and Kiladis diagram (3/5)

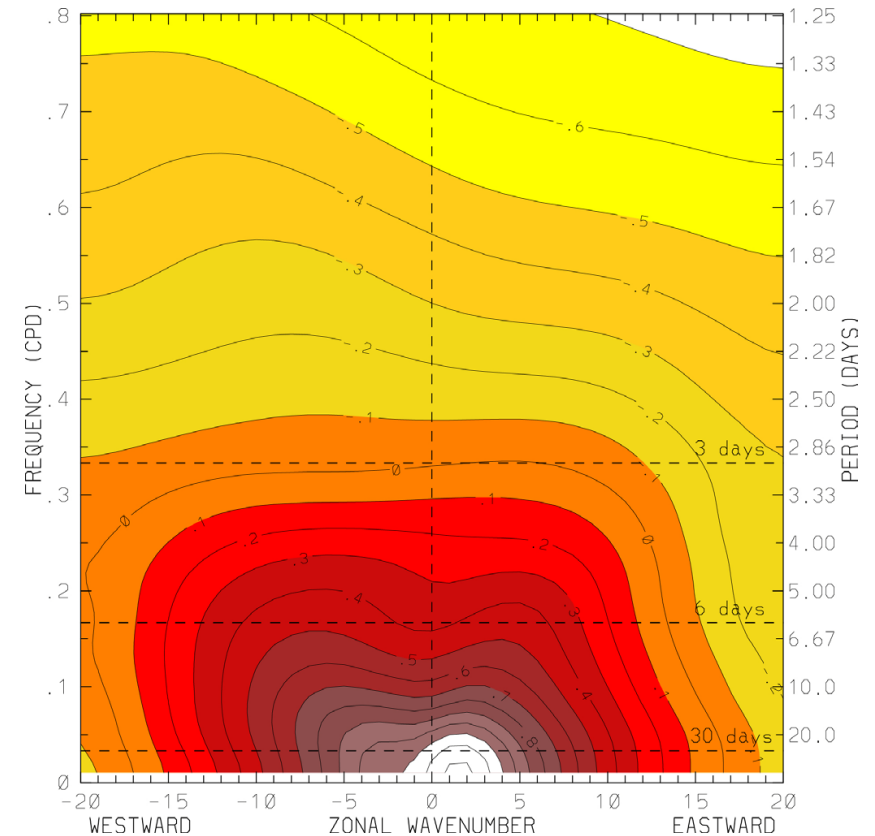
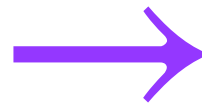
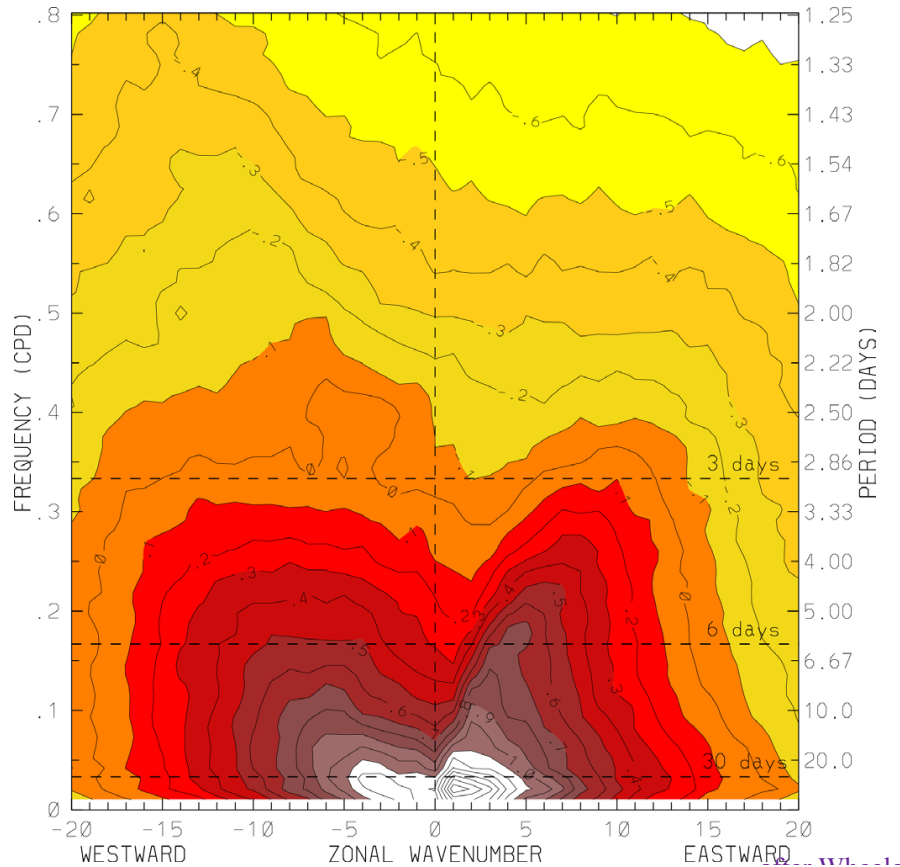


larger frequency  
==  
faster time scale

larger wavenumber  
==  
smaller zonal scale

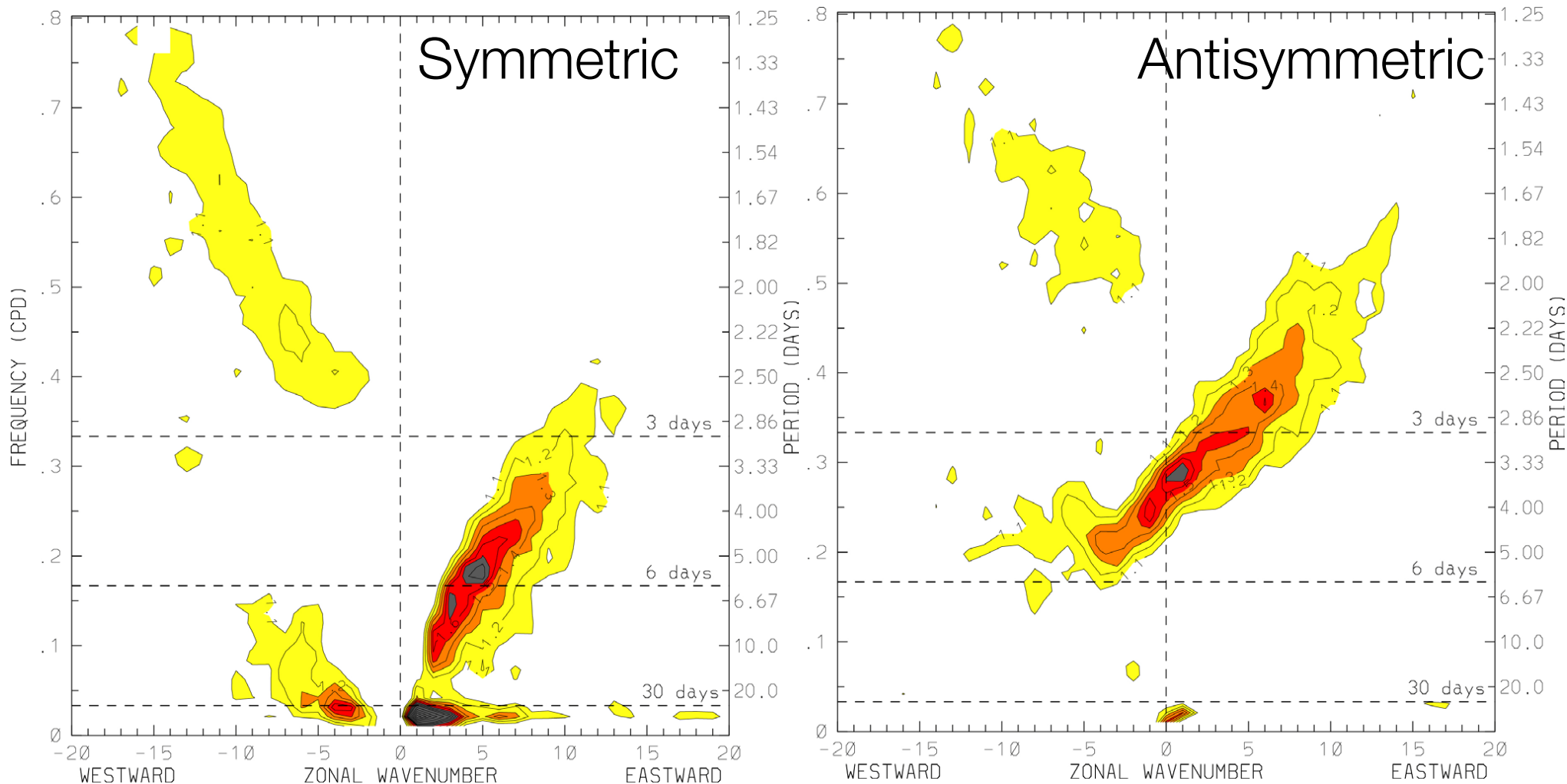
# How to diagnose tropical waves: the Wheeler and Kiladis diagram (4/5)

- Determine “background” spectrum by smoothing raw spectra



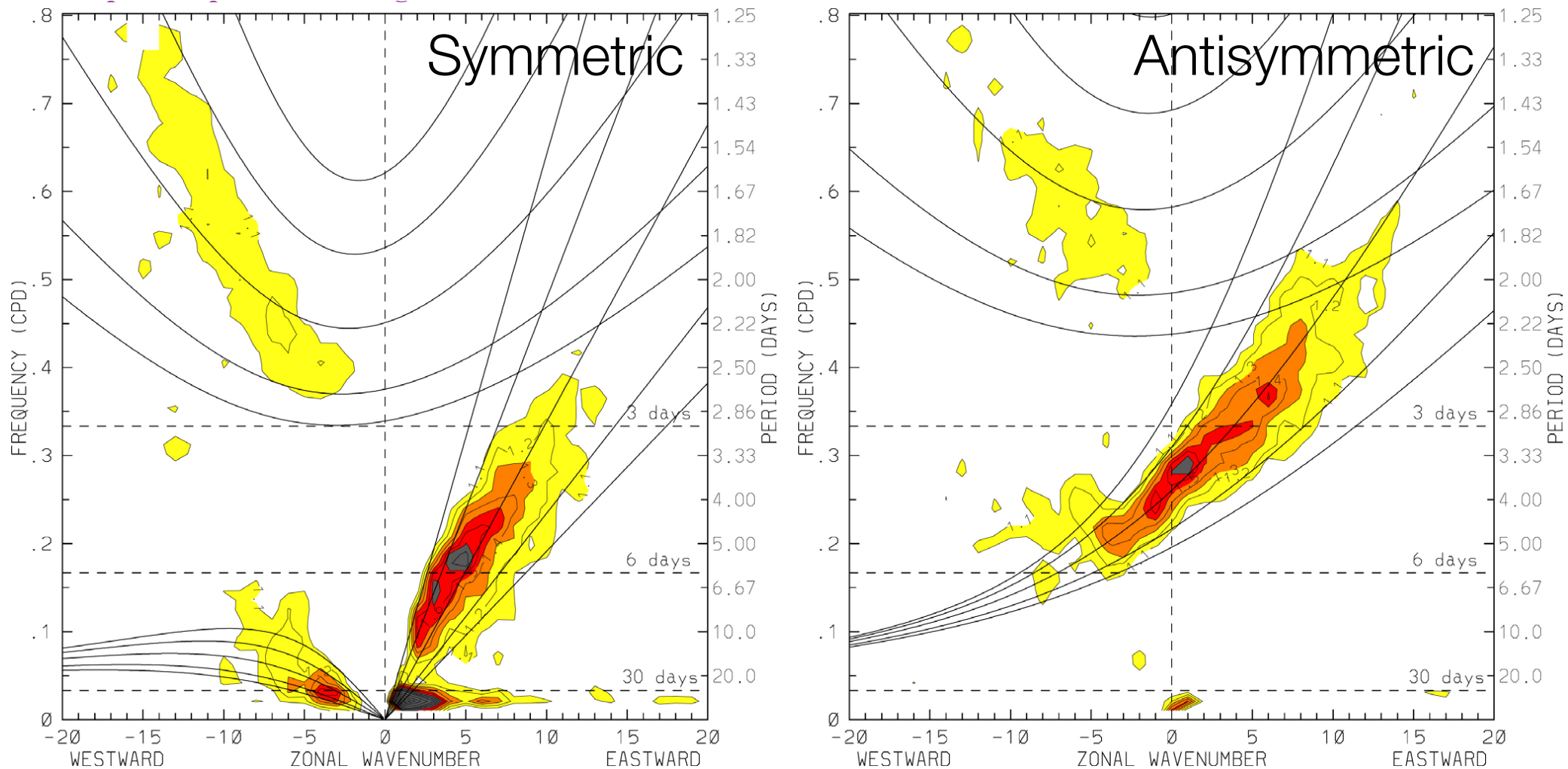
# How to diagnose tropical waves: the Wheeler and Kiladis diagram (5/5)

5. Divide raw spectra by background spectra to determine signals standing above the background



# Enhanced power in the Wheeler and Kiladis diagram corresponds to known tropical waves

There is a good match to the dispersion relation of dry equatorially trapped waves found by Matsuno (1966)





# Equatorial Shallow Water (unforced, undamped)

---

$$\frac{\partial u}{\partial t} - \beta y v + \frac{\partial \phi}{\partial x} = 0$$

$$\frac{\partial v}{\partial t} + \beta y u + \frac{\partial \phi}{\partial y} = 0$$

$$\frac{\partial \phi}{\partial t} + gh \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) = 0$$

SW:

incompressible

and  $L_z \gg L_{x,y}$

Linearized around a  
basic state at rest

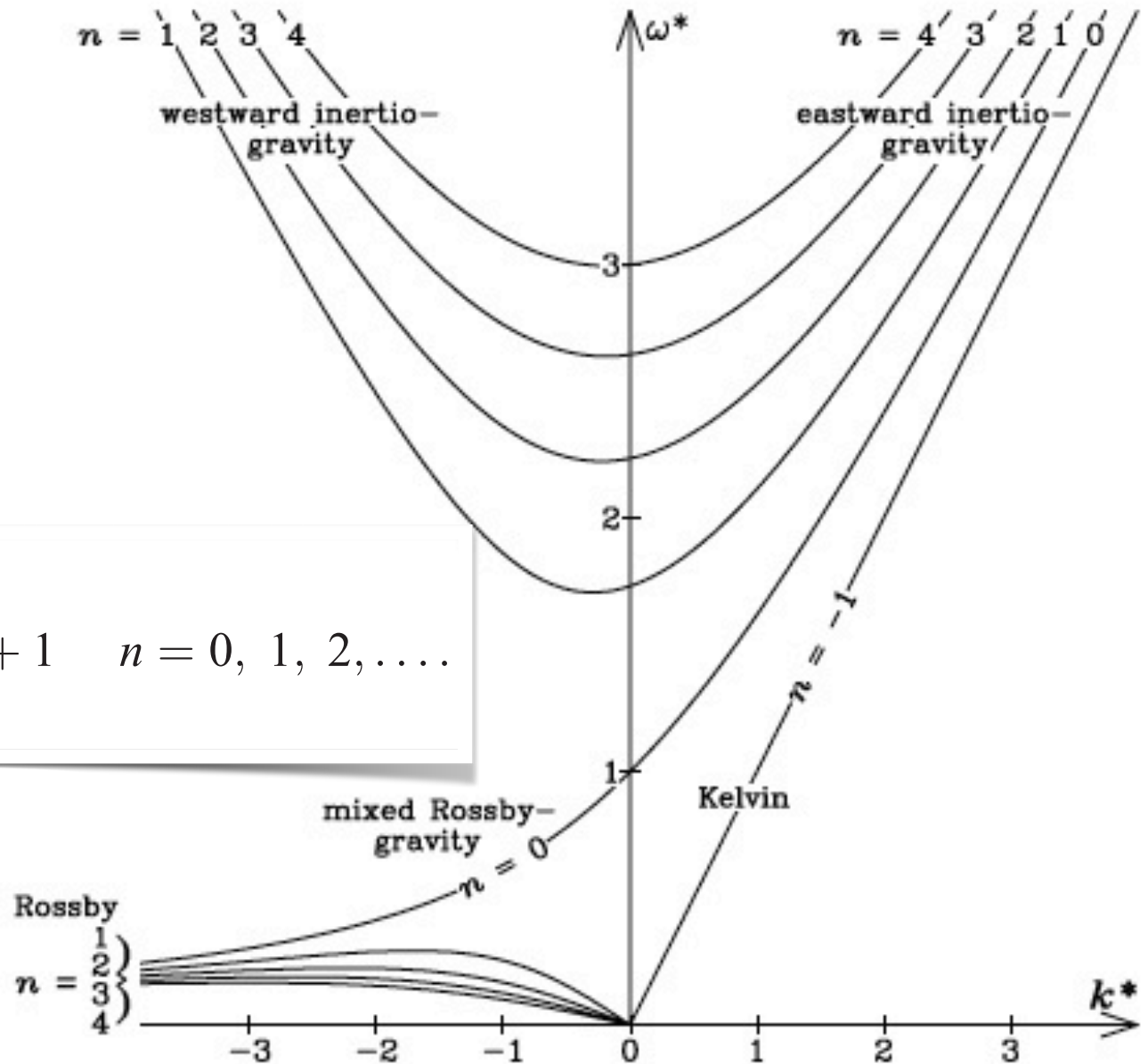
Two restoring forces:  
Gravity and Rotation  
 $\Rightarrow$  wave solution

To the board:  
let's sketch how we get to the wave solutions

---

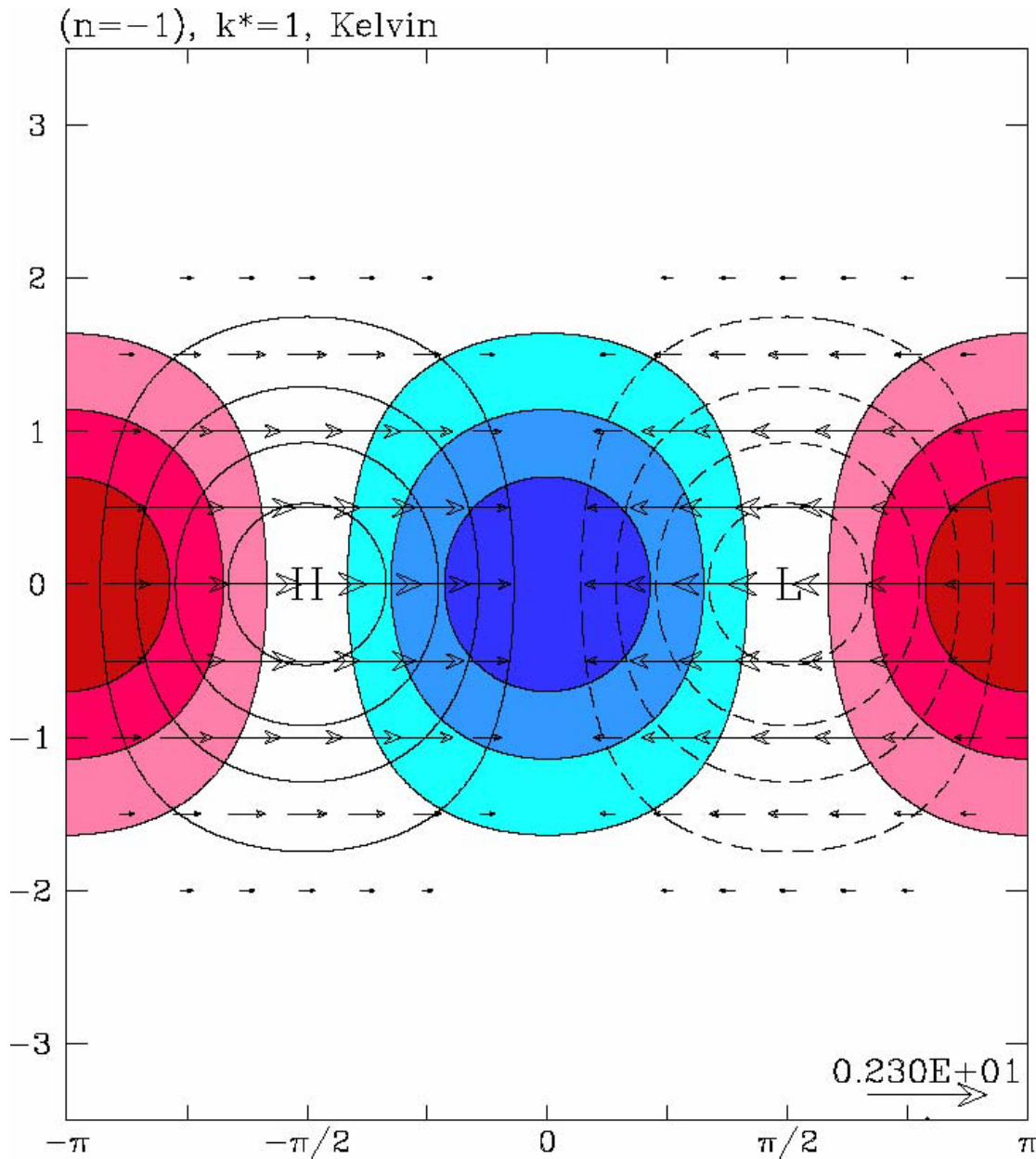
# The dispersion relation of SW Equatorially Trapped Waves

Kiladis et al.: CONVECTIVELY COUPLED EQUATORIAL WAVES



$$\frac{\sqrt{gh_e}}{\beta} \left( \frac{\omega^2}{gh_e} - k^2 - \frac{k}{\omega} \beta \right) = 2n + 1 \quad n = 0, 1, 2, \dots$$

# Horizontal Structure of the Kelvin Wave

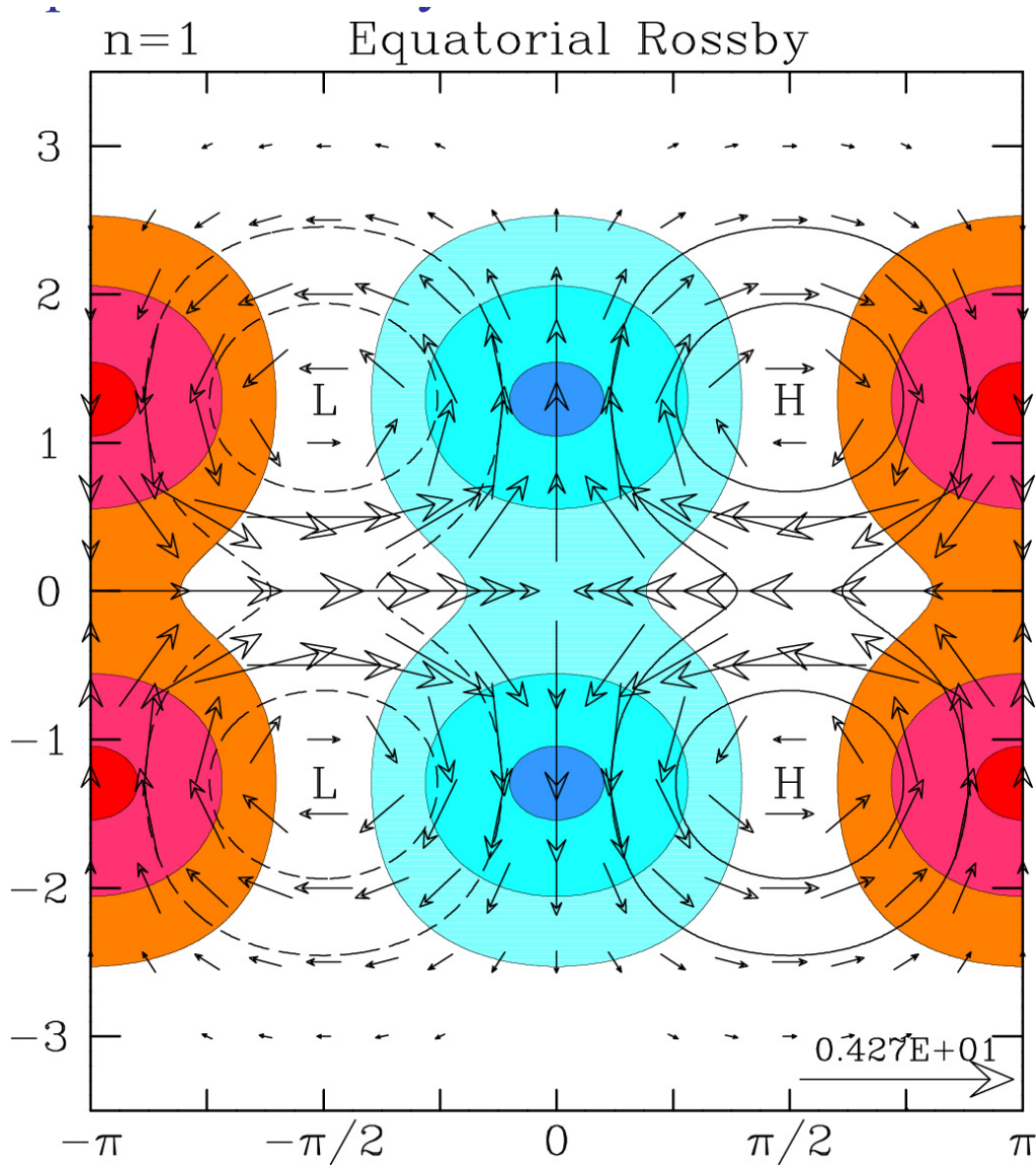


$v = 0$  everywhere  
 $u = \phi$  (contours)

symmetric, decaying

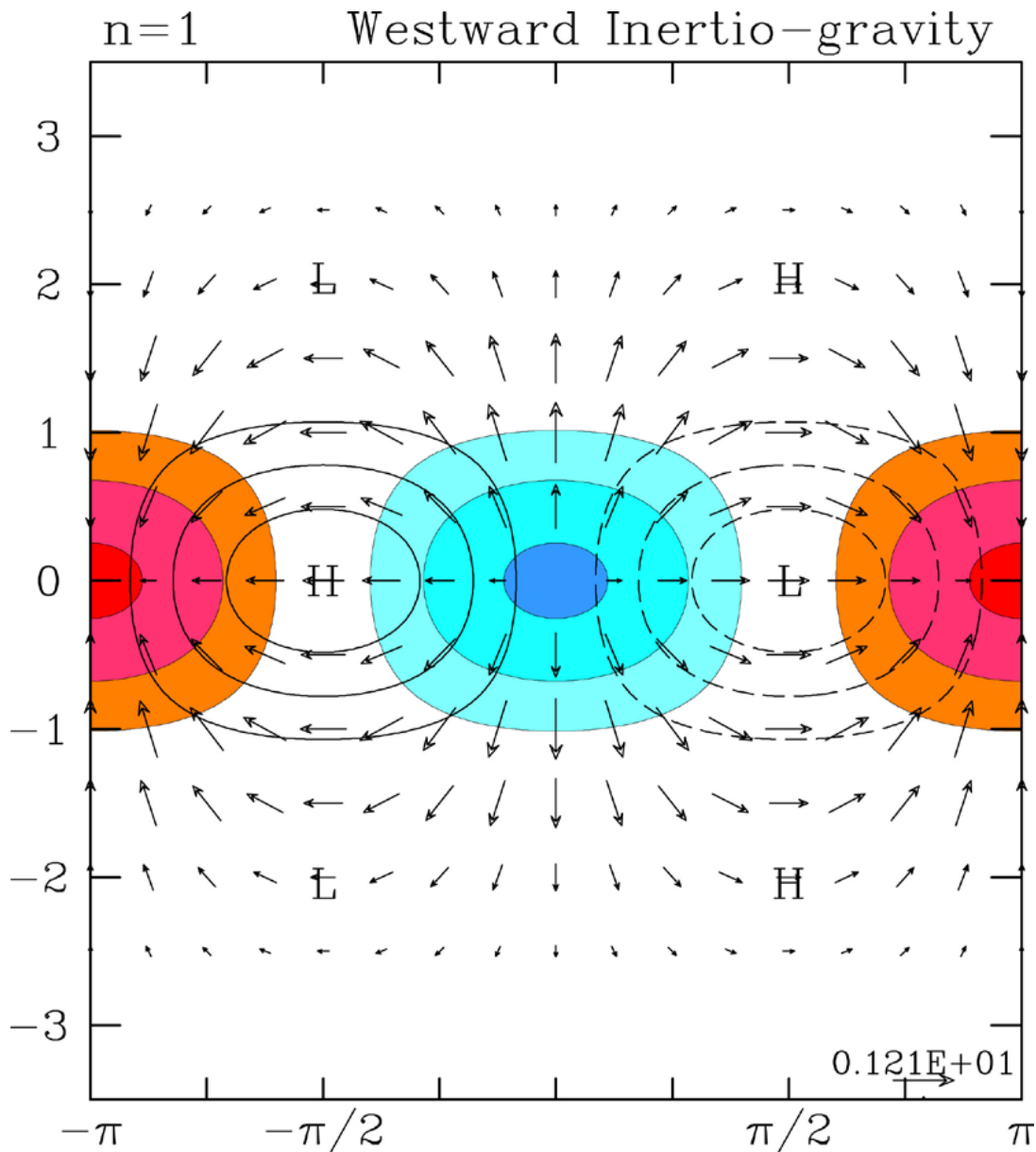
divergent

# Horizontal Structure of the Equatorial Rossby Wave



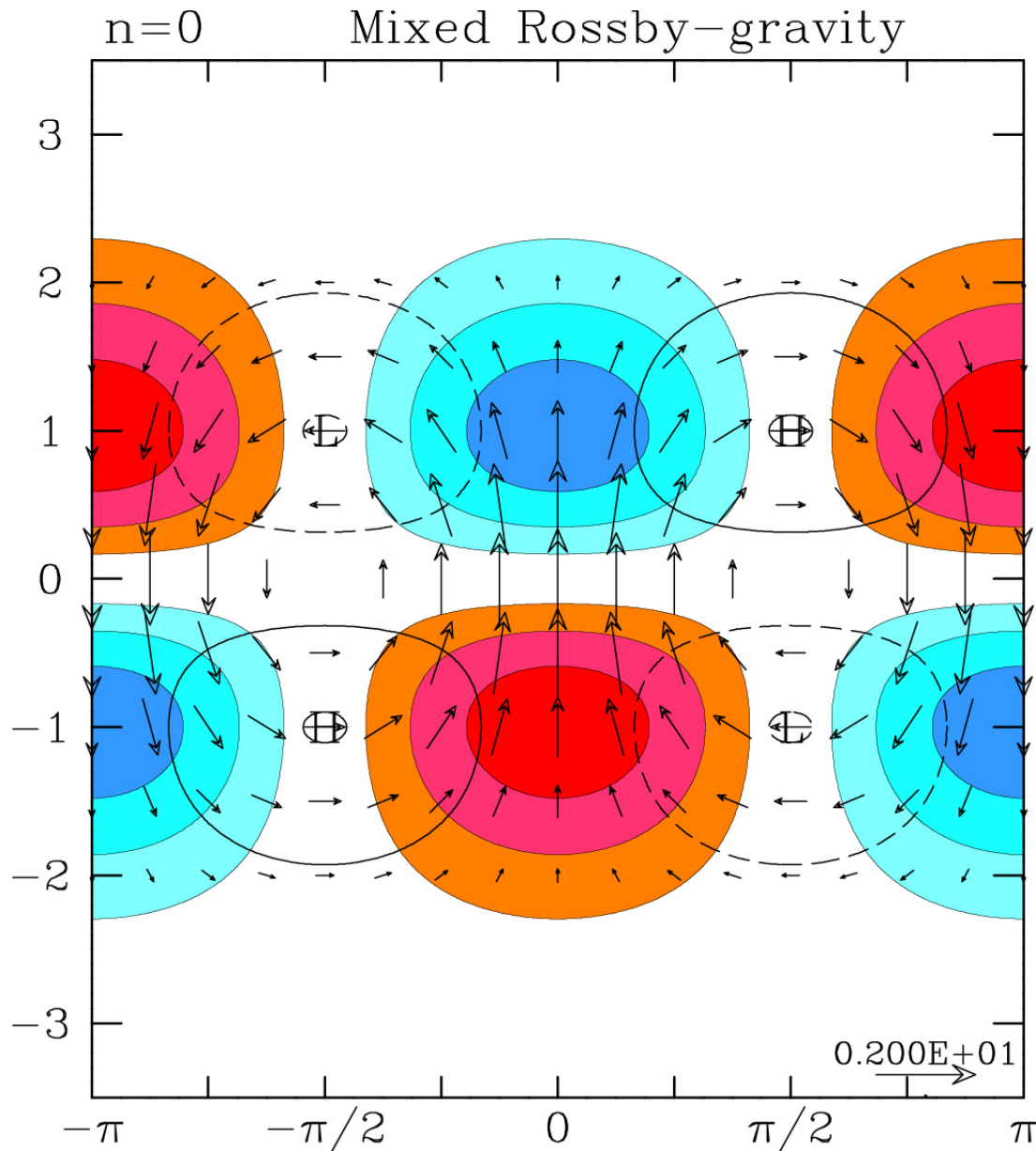
symmetric,  
rotational

# Horizontal Structure of Inertio-Gravity Waves



symmetric,  
divergent

# Horizontal Structure of Mixed Rossby-Gravity Waves



anti-symmetric,  
rotational

# Key parameters for the SW Equatorially Trapped Waves

---

Gravity Wave Speed

$$c = \sqrt{gh}$$

Rossby Radius of Deformation:

$$R_e = \sqrt{\frac{c}{\beta}}$$

what is h?

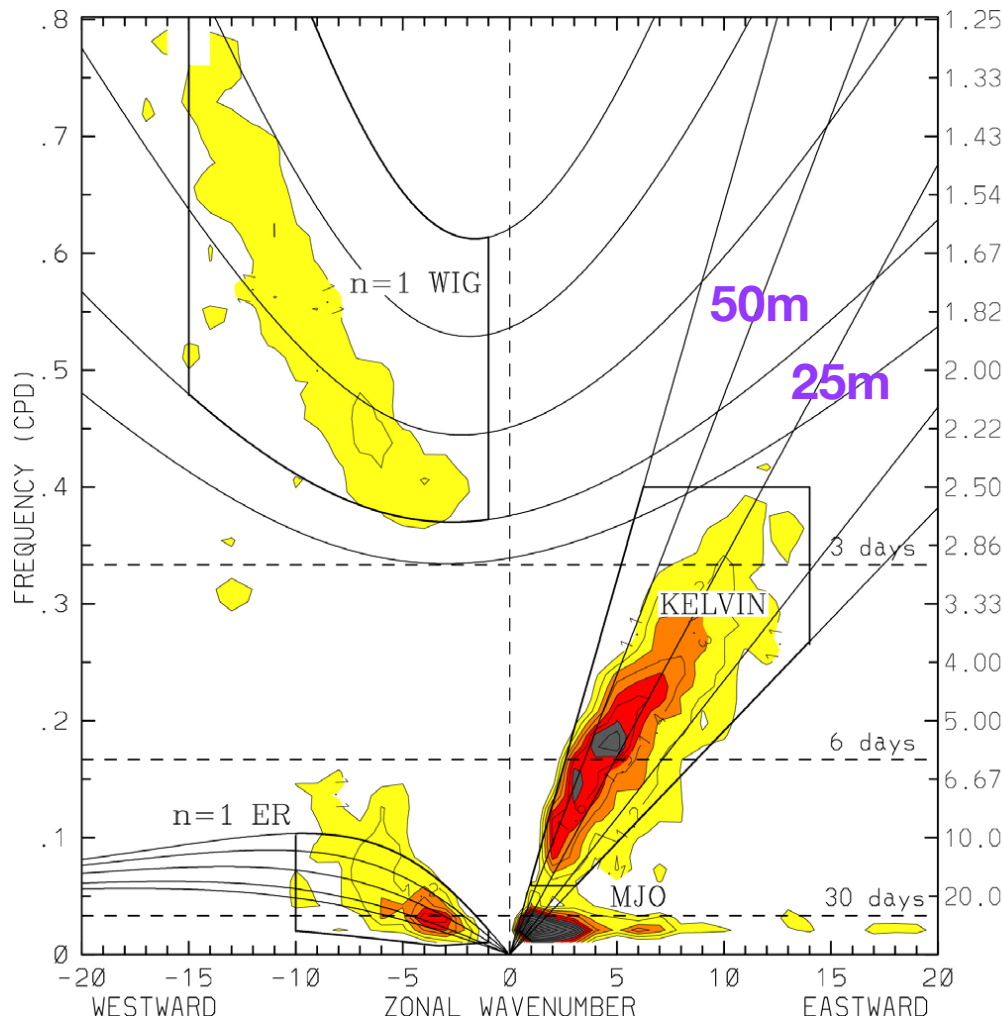
$h_e$	$L_z$ (km)	$\sqrt{gh_e}$ (m s <sup>-1</sup> )	$R_e$ (Degrees Latitude)
	<i>H = 7.3 km, dT<sub>0</sub>/dz = -7.0 K km<sup>-1</sup> (Troposphere)</i>		
10	6.0	9.9	6.0
20	8.5	14.0	7.1
50	13.4	22.1	9.0
100	19.2	31.3	10.7
200	27.9	44.3	12.7
500	47.5	70.0	15.9



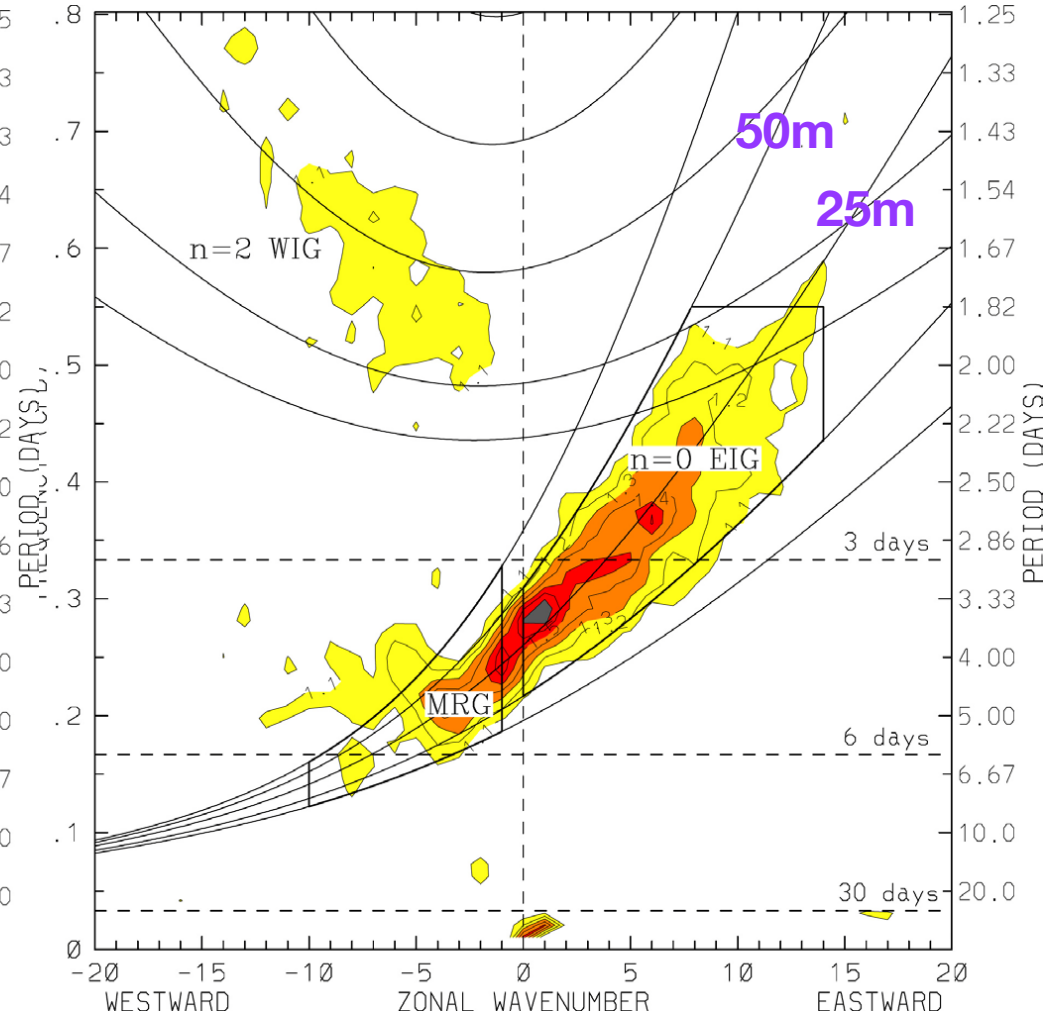
Back to the board:  
we relax the shallow water assumption

---

# There is a continuum of equivalent depths $h_e$ in the observed CCEW



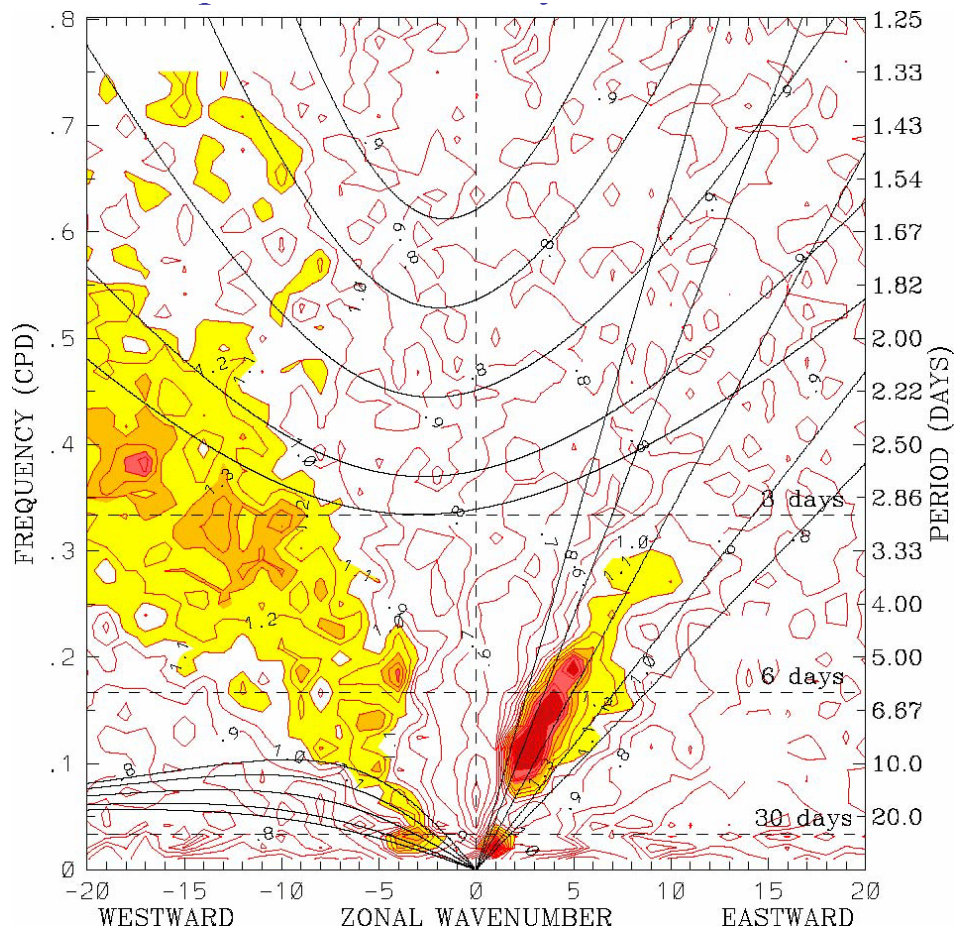
Symmetric



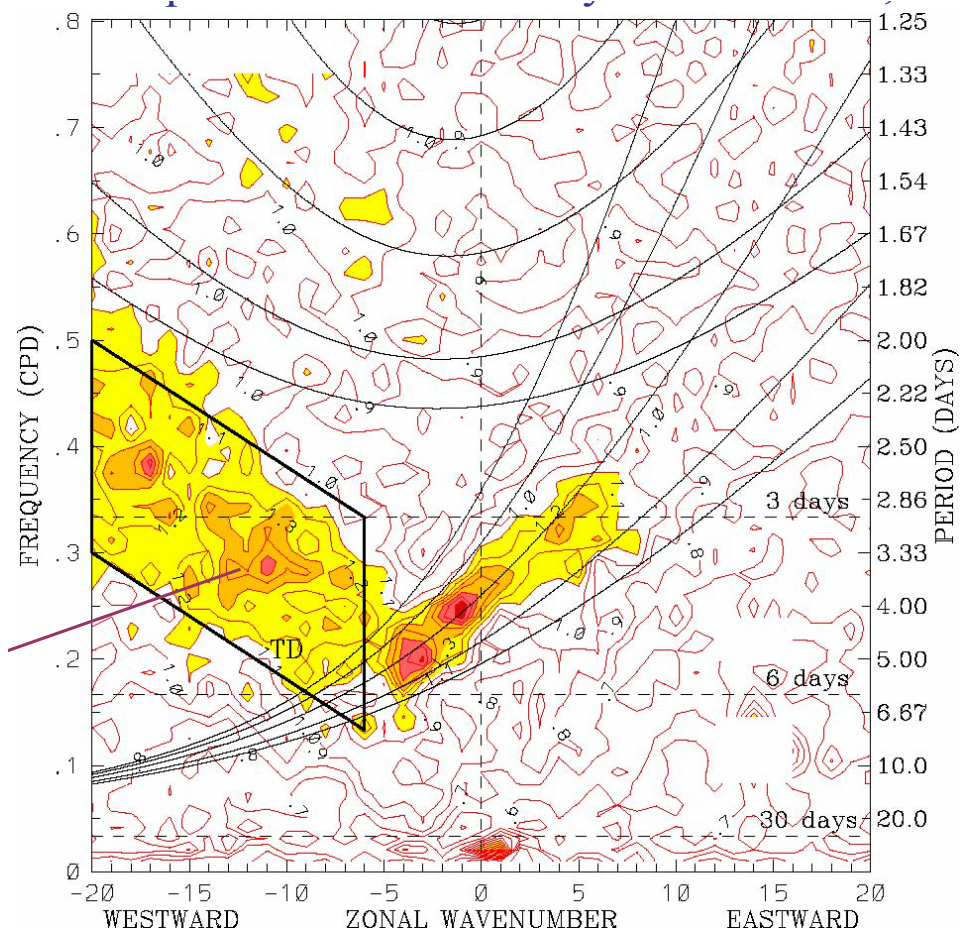
Antisymmetric

# One more type of “wave”: Tropical Depressions (and Easterly Waves)

JJA Tb



Symmetric

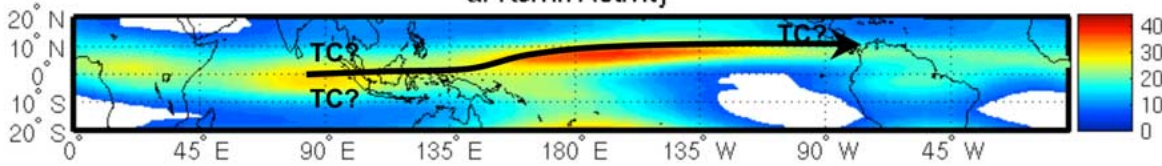


Antisymmetric

# Observed Wave Activity

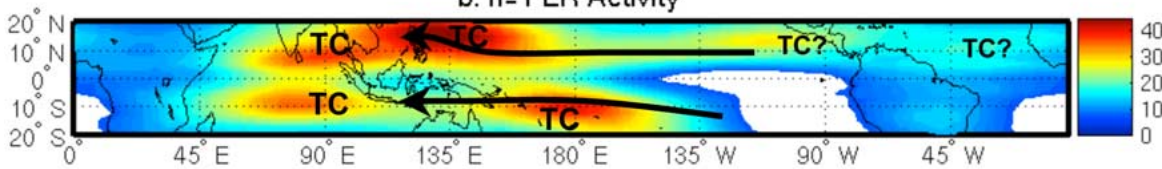
## Annual-mean variance in Tb and TC genesis

a. Kelvin Activity



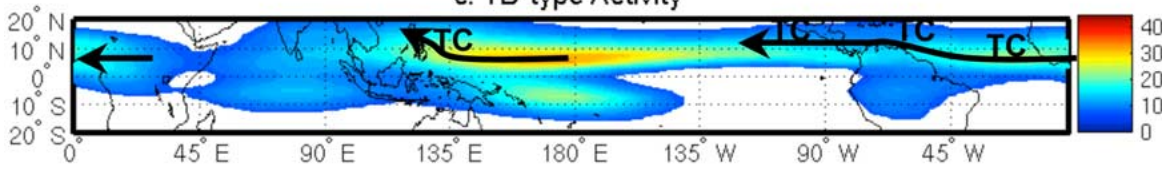
Kelvin

b. n=1 ER Activity



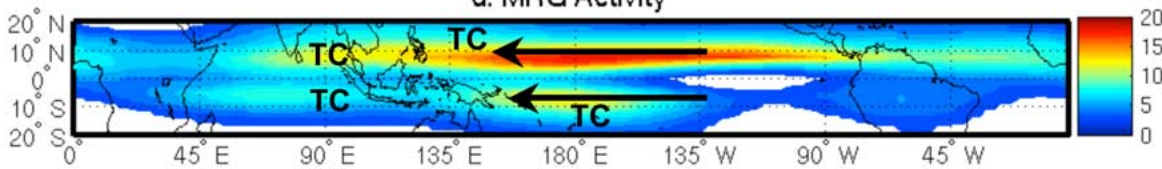
Rossby

c. TD-type Activity



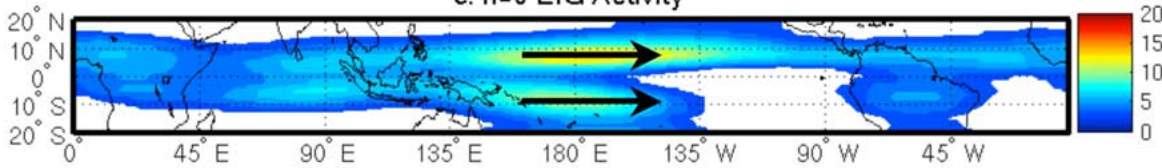
TD & AEW

d. MRG Activity



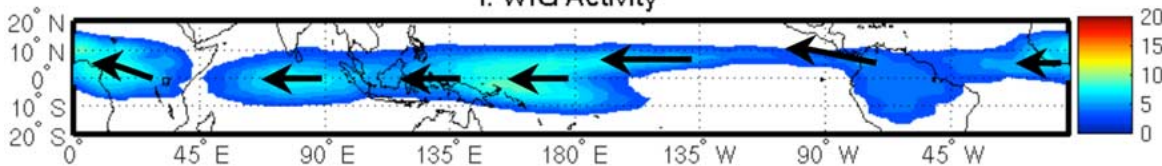
Mixed Rossby-Gravity

e. n=0 EIG Activity



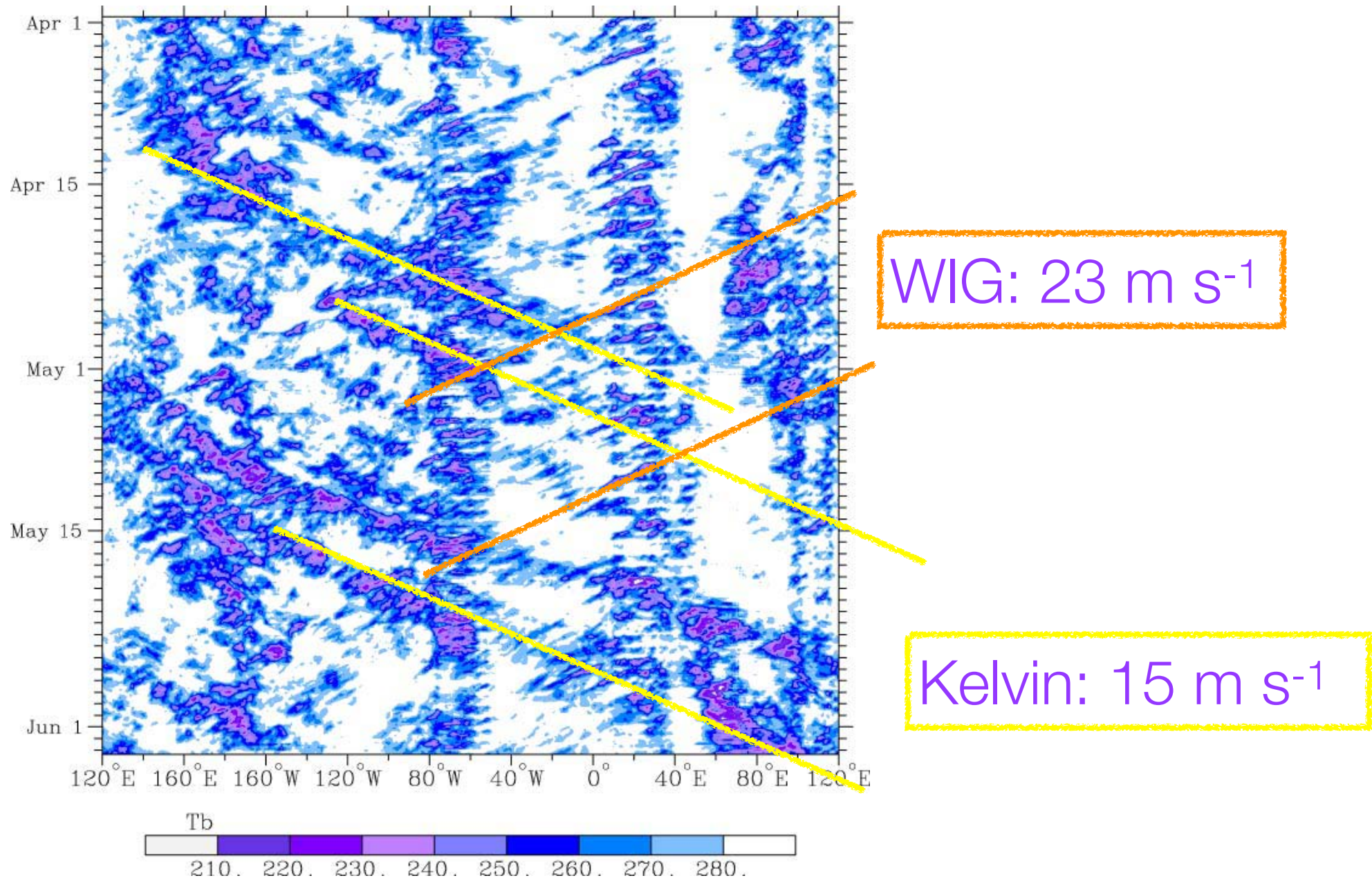
Inertia-Gravity

f. WIG Activity

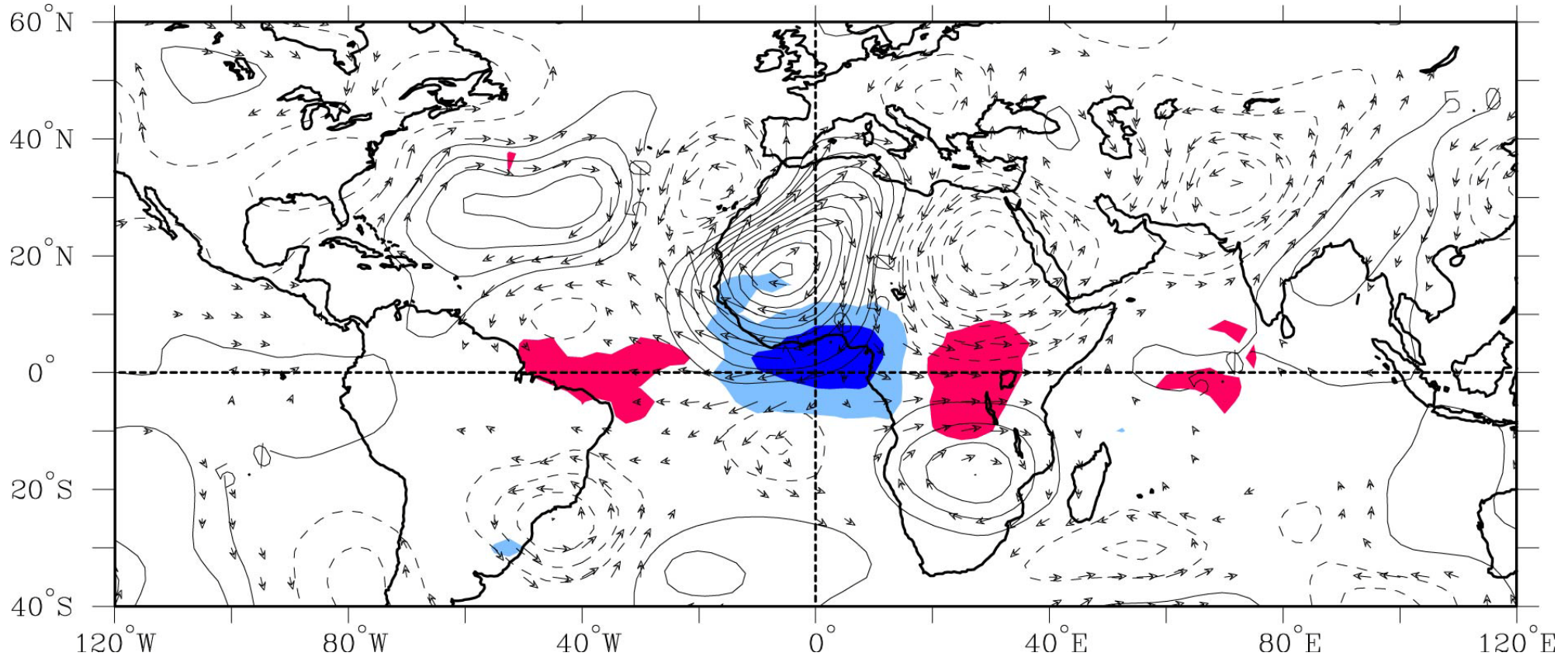


# A superposition of criss-crossing CCEW

CLAUS Brightness Temperature (2.5S–7.5N), April-May 1987



# Horizontal Structure of the observed moist Kelvin Wave(s)



**Regression against Kelvin filtered Tb anomalies**

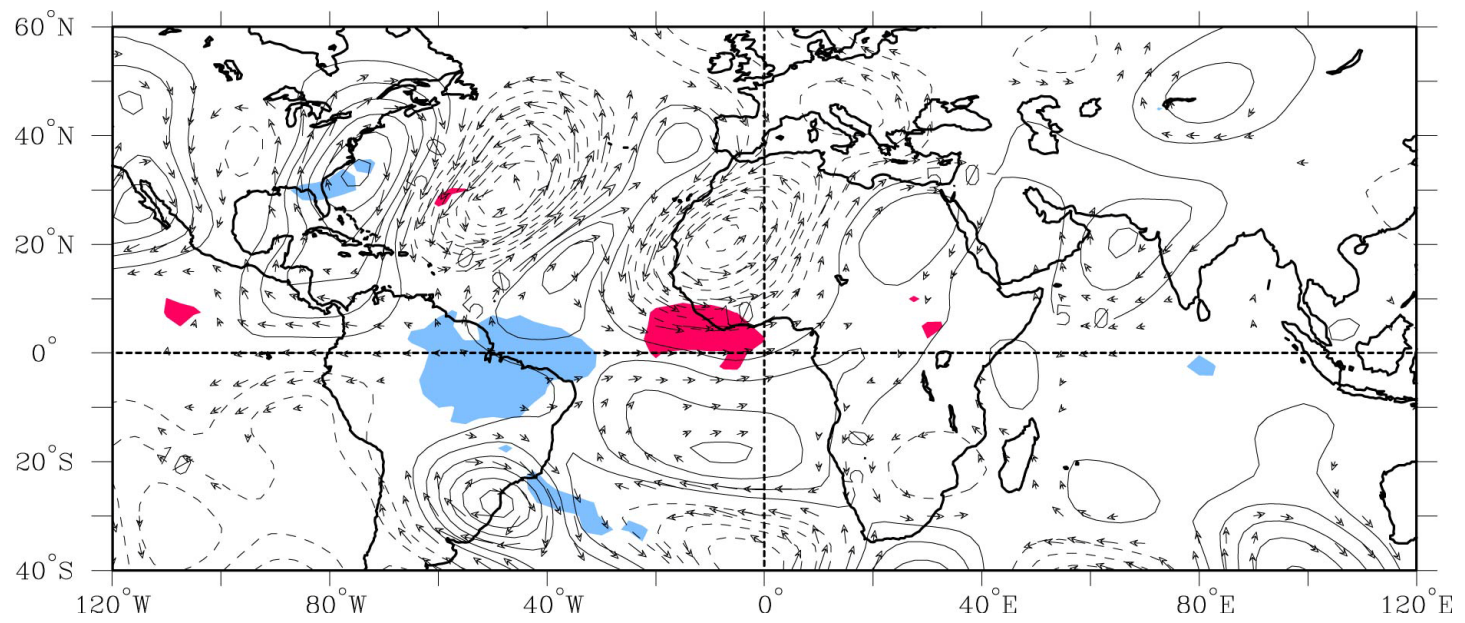
(DAY 0 at 2.5N, 0E, March-May)

200 hPa Streamfunction (contours  $5 \times 10^5 \text{ m}^2 \text{ s}^{-1}$ )

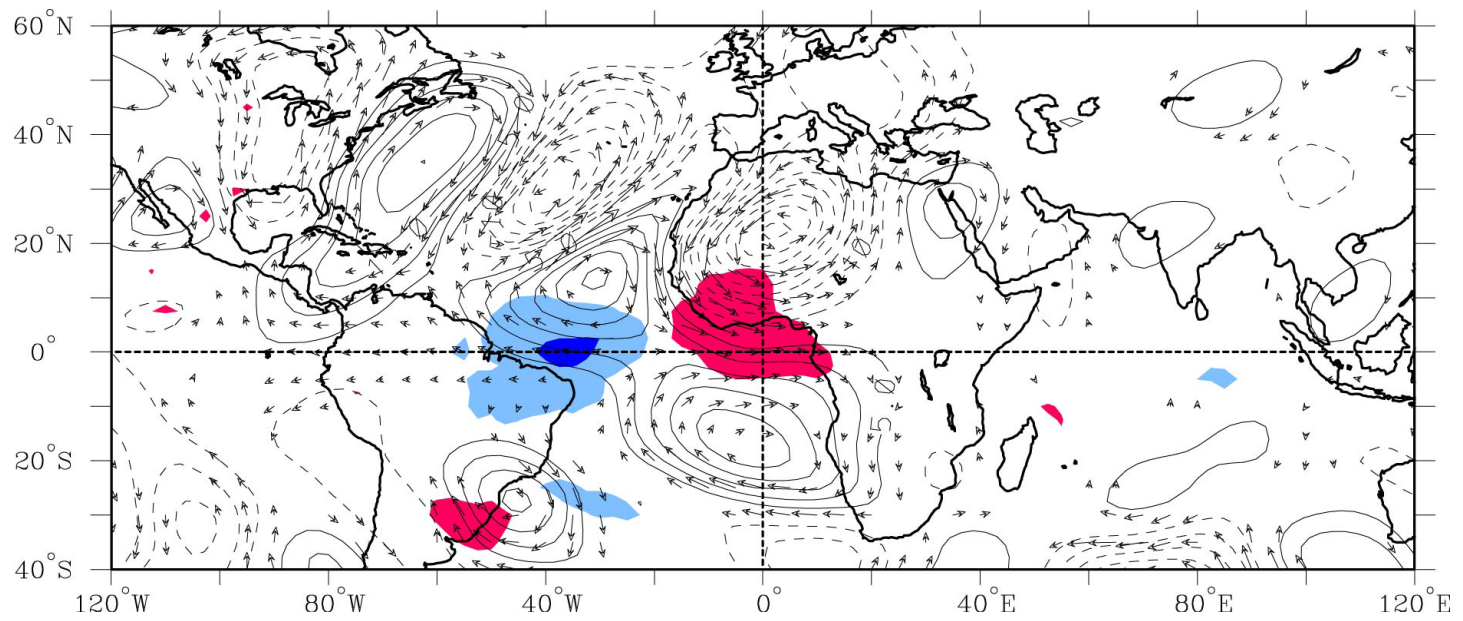
Wind (vectors, largest around  $2 \text{ m s}^{-1}$ )

Tb (shading starts at  $\pm 4^\circ \text{K}$ ), negative blue

# Day-4

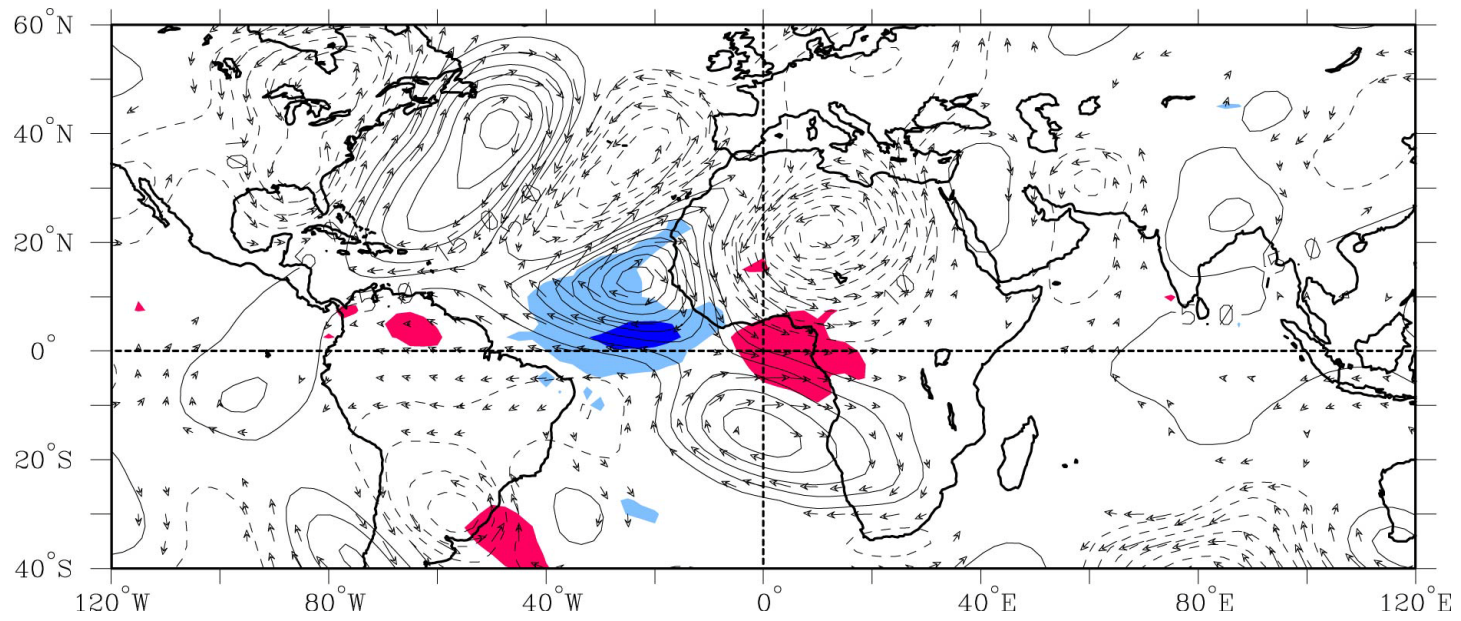


# Day-3

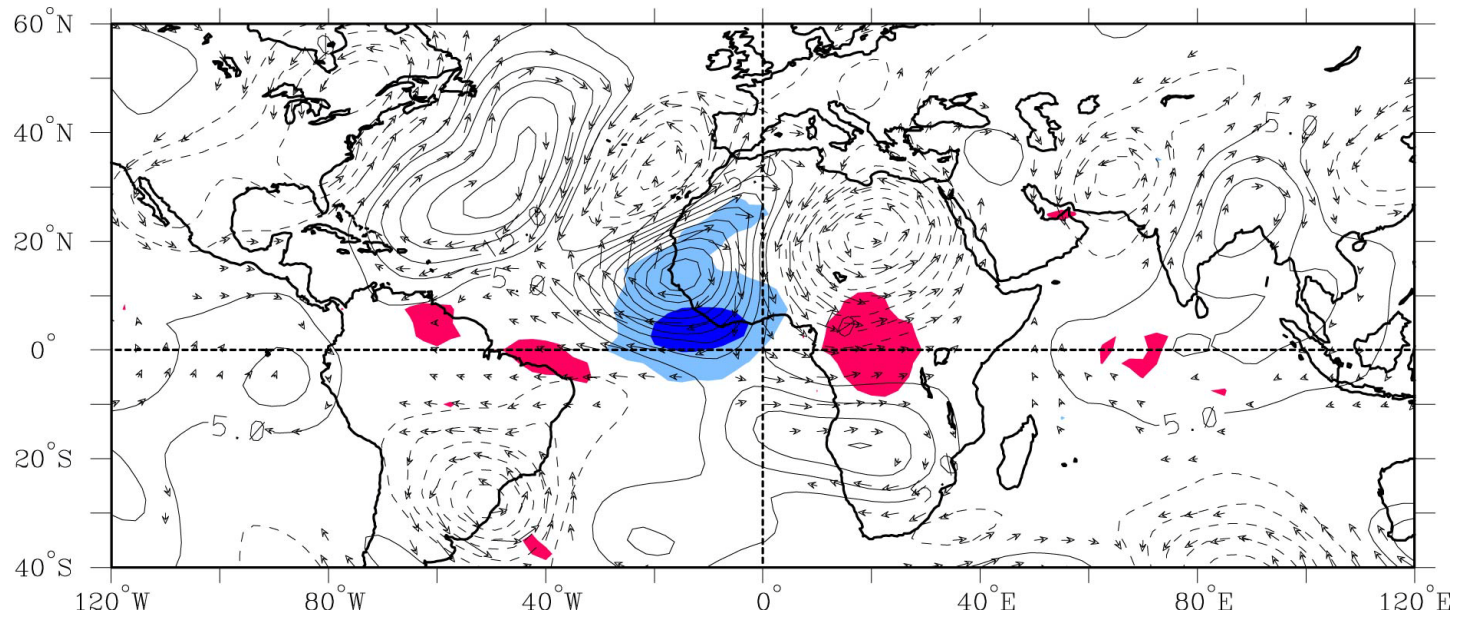




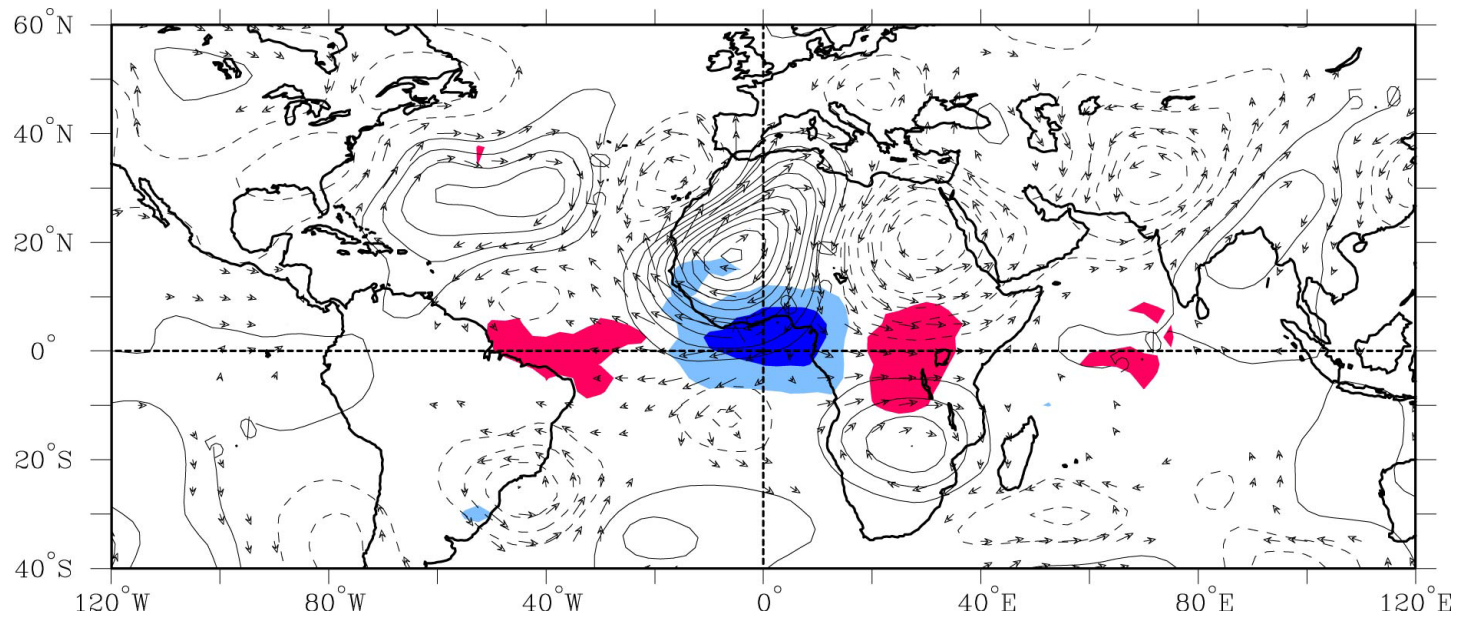
## Day-2



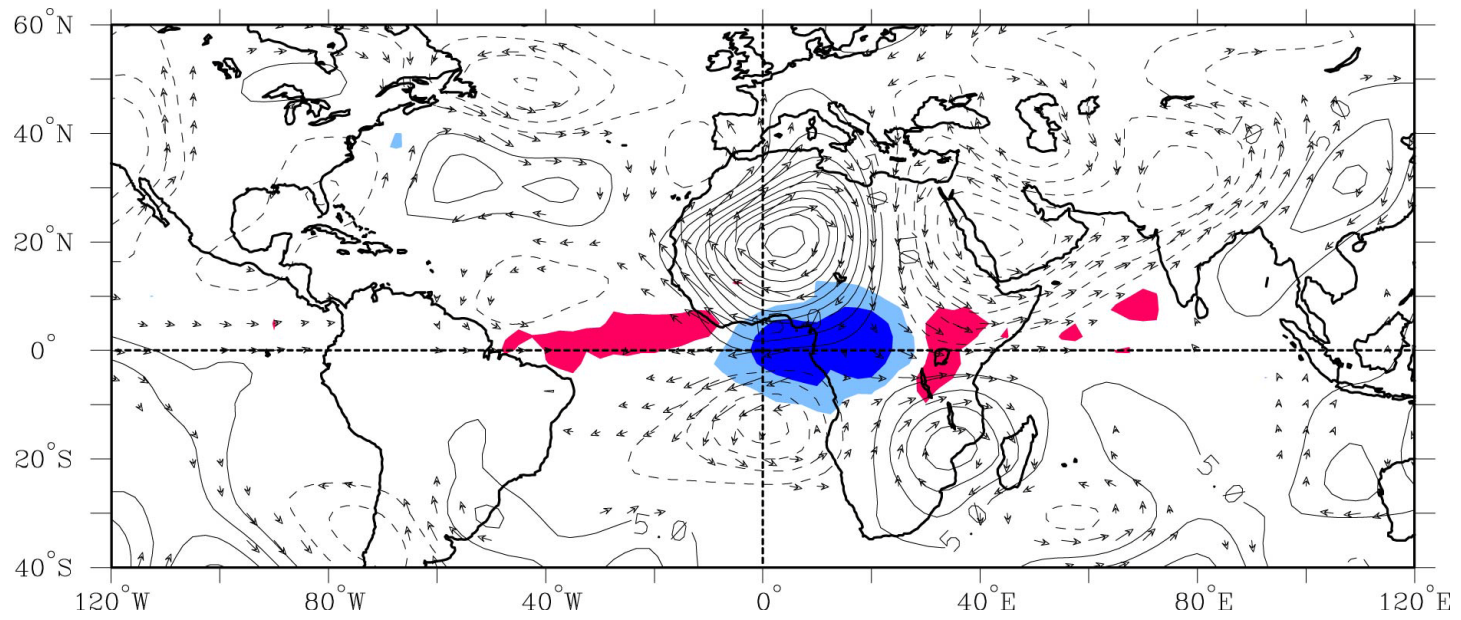
# Day-1



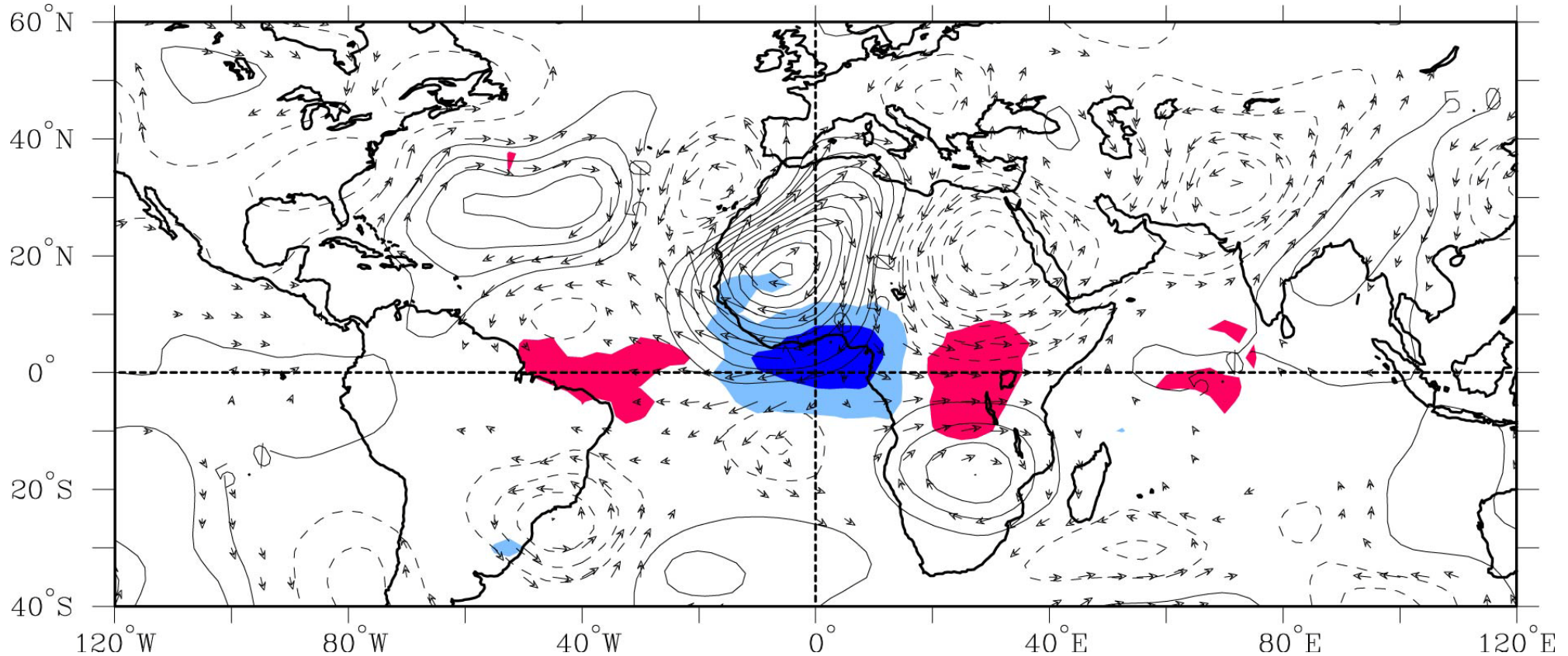
Day 0



Day+1



# Horizontal Structure of the observed moist Kelvin Wave(s)



**Regression against Kelvin filtered Tb anomalies**

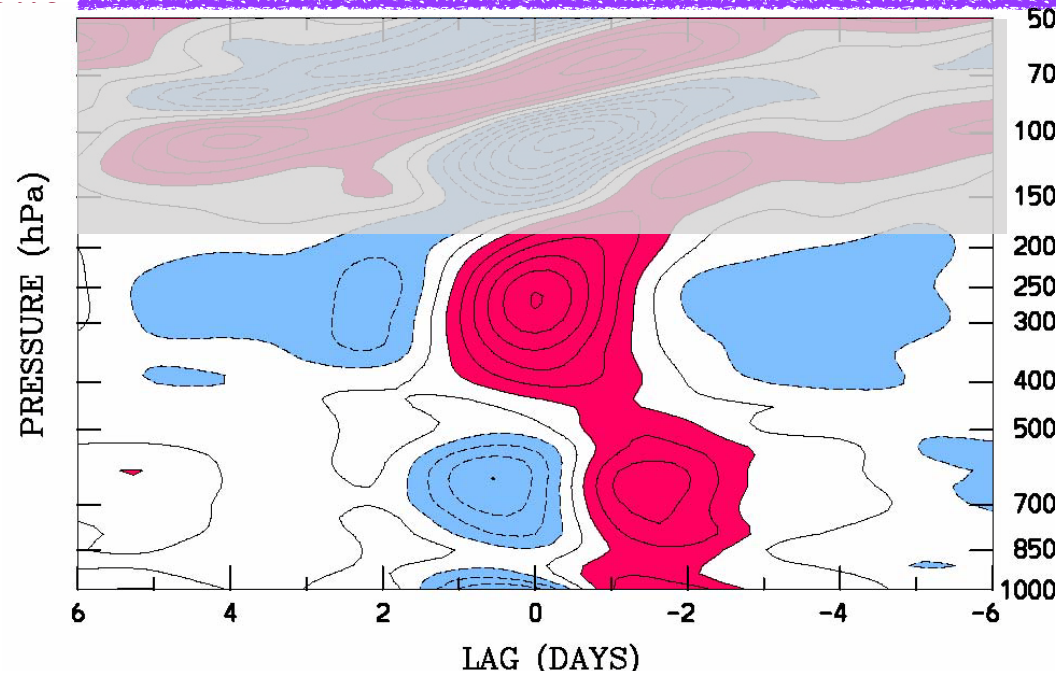
**(DAY 0 at 2.5N, 0E, March-May)**

200 hPa Streamfunction (contours  $5 \times 10^5 \text{ m}^2 \text{ s}^{-1}$ )

Wind (vectors, largest around  $2 \text{ m s}^{-1}$ )

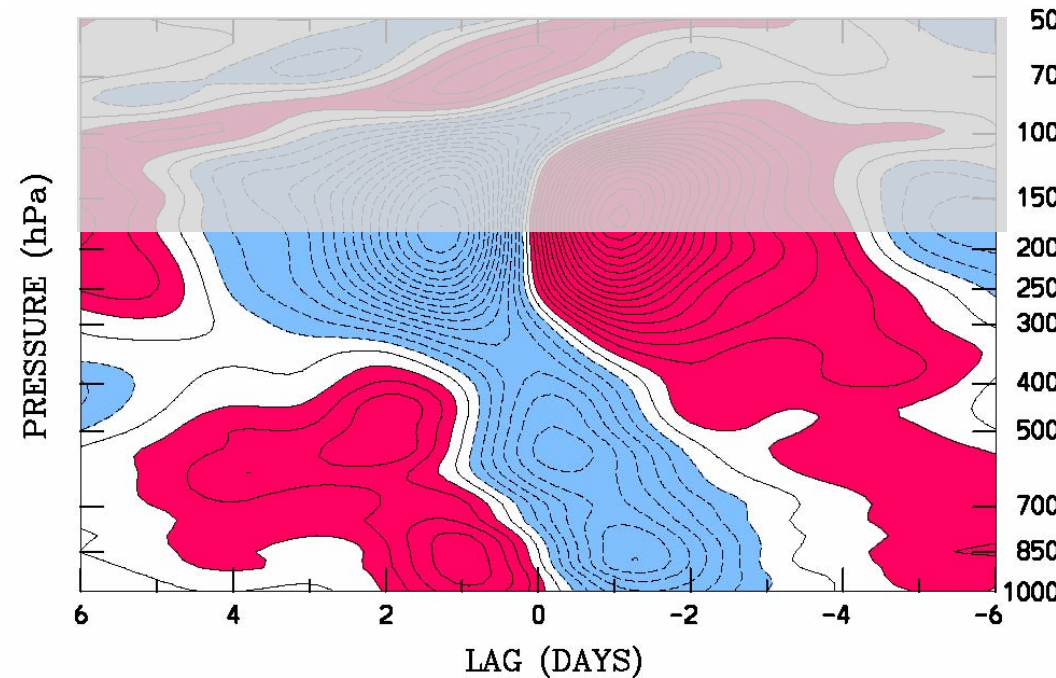
Tb (shading starts at  $\pm 4^\circ \text{K}$ ), negative blue

# Vertical Structure of the observed moist Kelvin Wave(s)



temperature

(contours, .1 °C), red positive

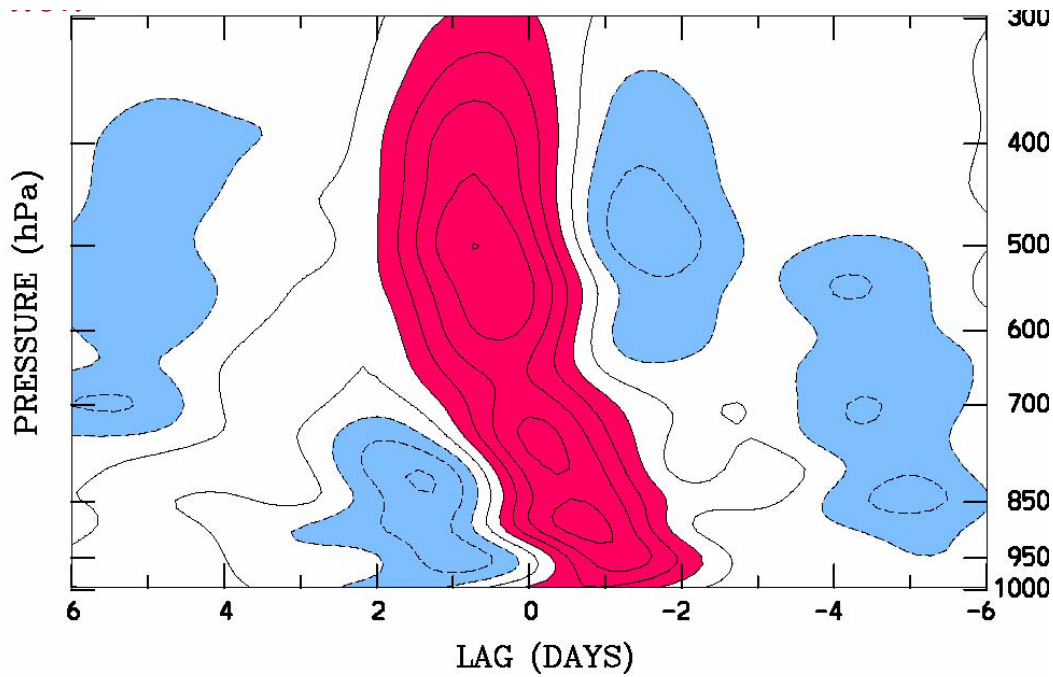


zonal wind

(contours .25 m s<sup>-1</sup>), red positive

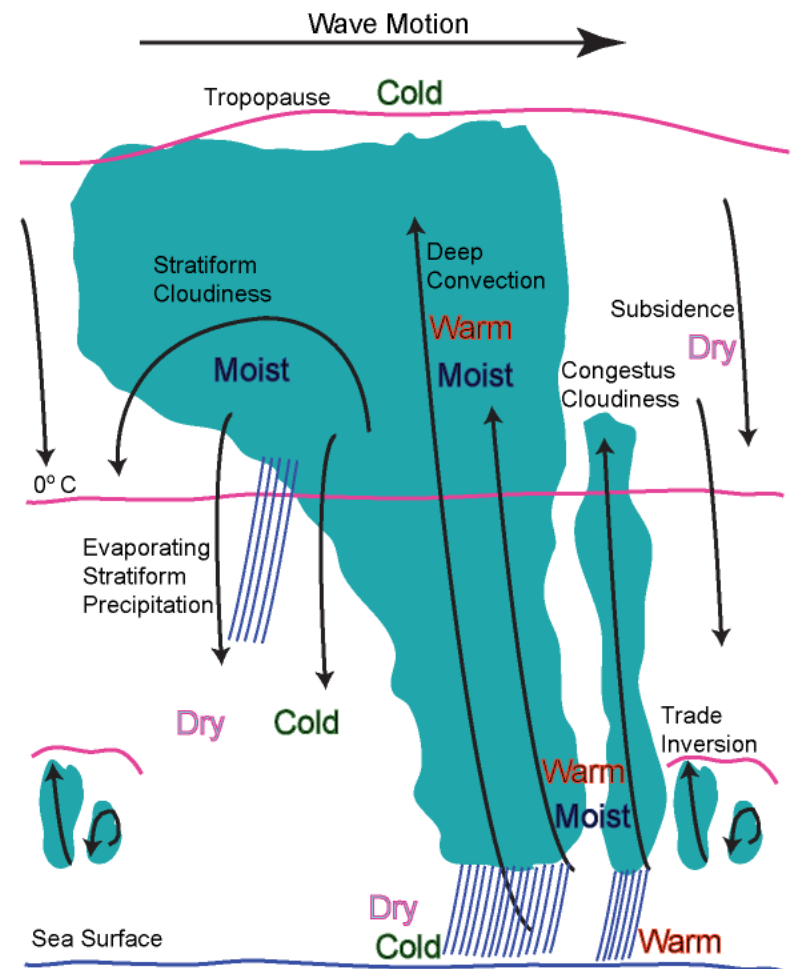
at Majuro (7N, 171E) Regressed against Kelvin-filtered OLR (1979-1999)

# Vertical Structure of the observed moist Kelvin Wave(s)



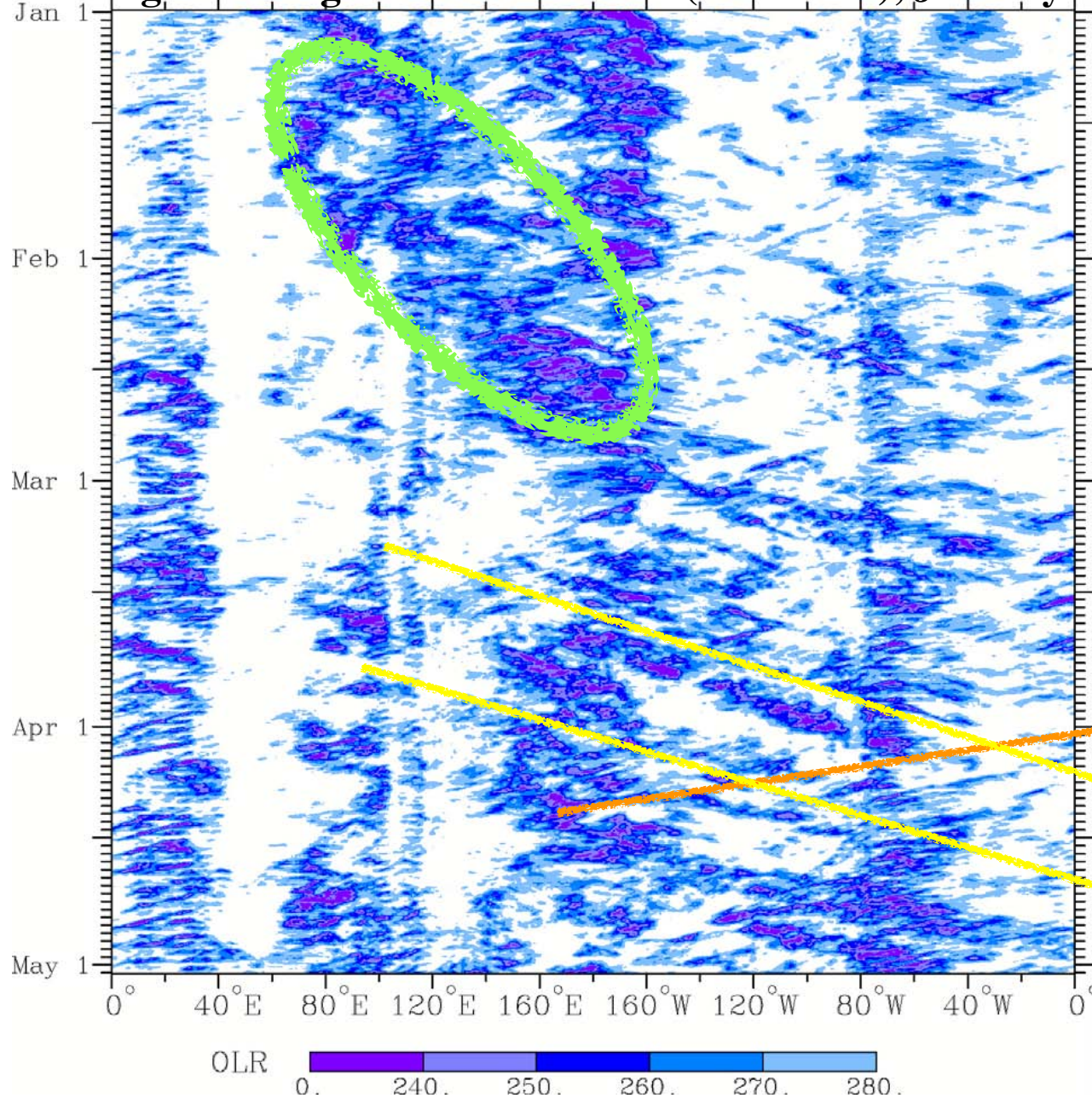
specific humidity  
(contours,  $1 \times 10^{-1} \text{ g kg}^{-1}$ ), red positive

Generalized Evolution of  
a Convectively Coupled  
Equatorial Wave  
(self similar organization?)

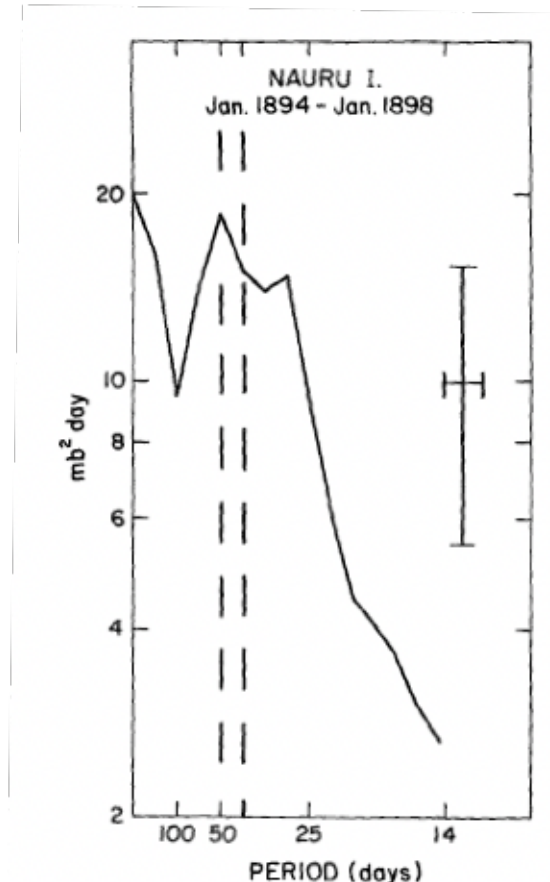


# The main mode of intraseasonal variability: the Madden-Julian Oscillation (MJO)

Time-longitude diagram of CLAU S Tb (2.5S–7.5N), January–April 1987



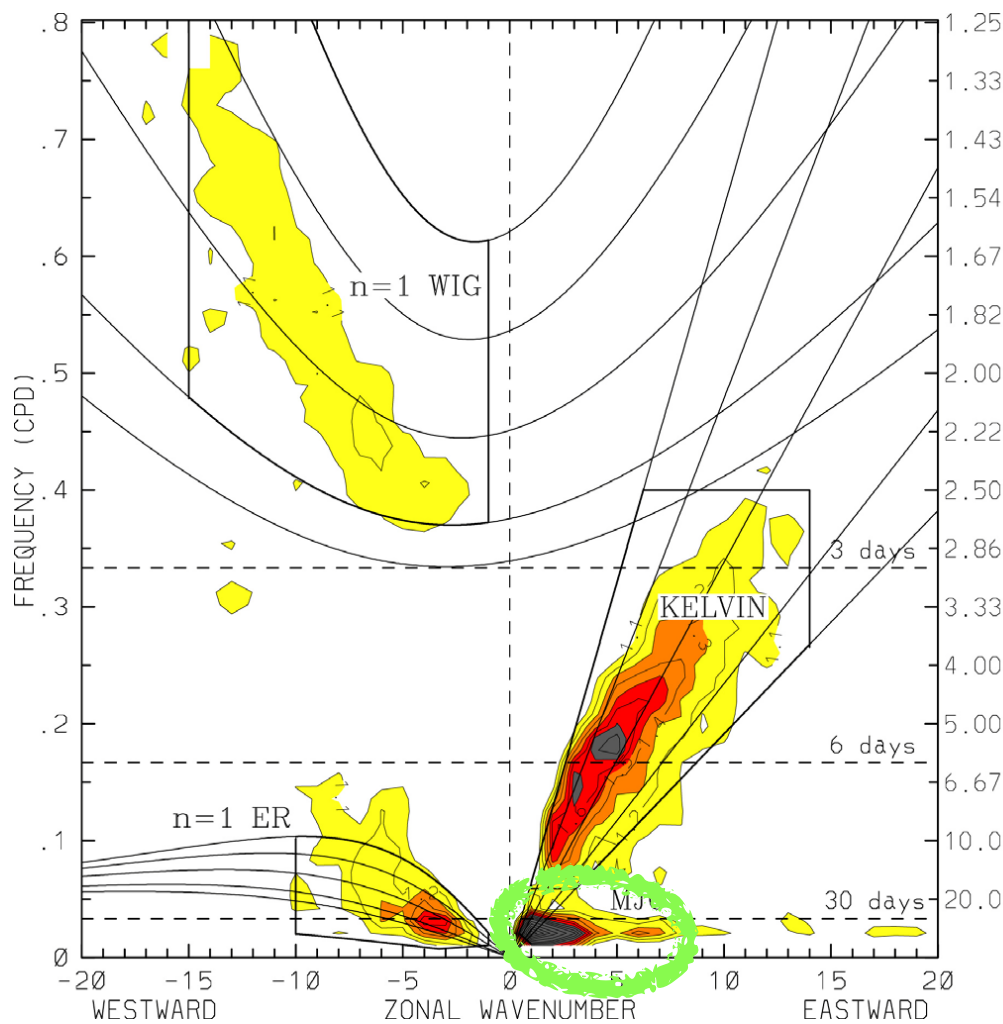
MJO: 5 m s<sup>-1</sup>



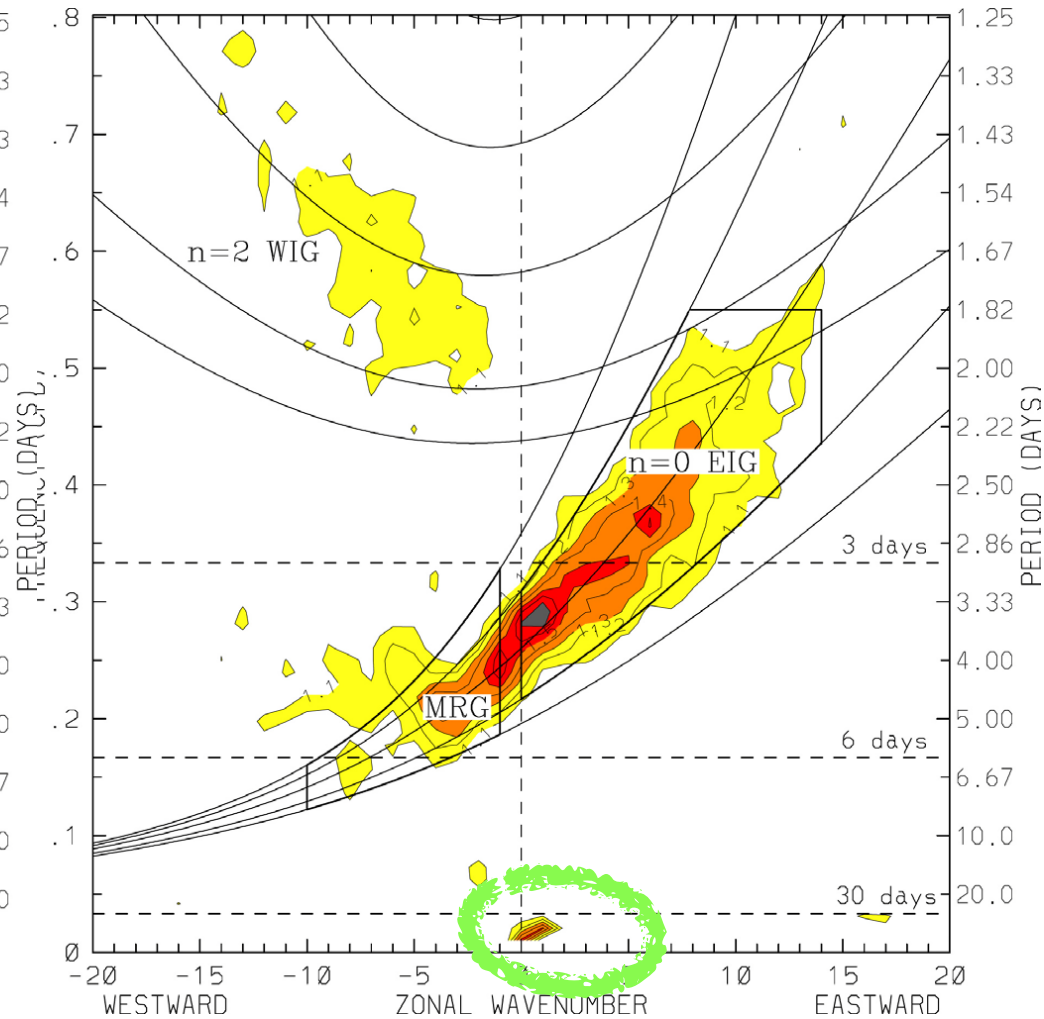
Madden and Julian



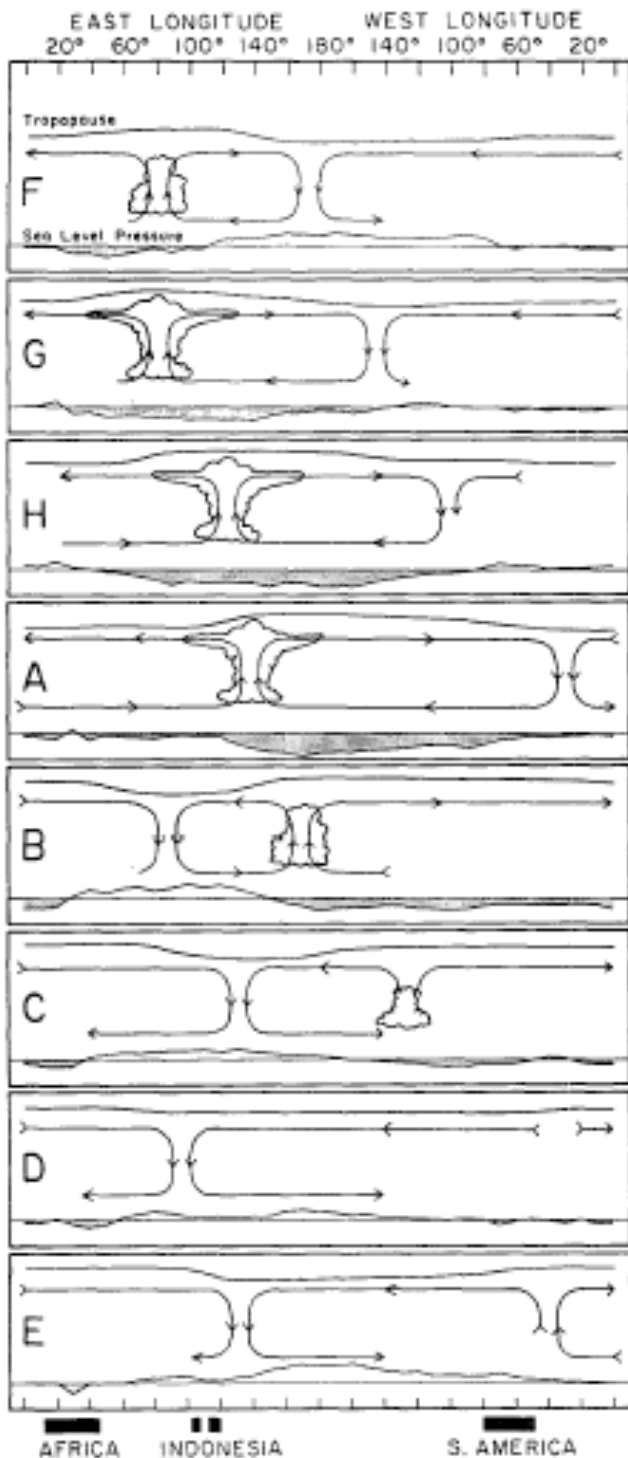
# The MJO is slower, hence not a Kelvin Wave



Symmetric



Antisymmetric



# Phenomenology of the MJO

- Organized planetary scale system
- Characterized by convectively active and inactive phases
- Convective signal strongest in Indian Ocean and West/Central Pacific.
- Phases connected by deep overturning zonal circulations
- Zonal winds reverse between lower and upper-level
- Dynamic signal seen throughout the tropics.
- Moves eastwards at about 5m/s
- Intraseasonal time scale (30-60 days)

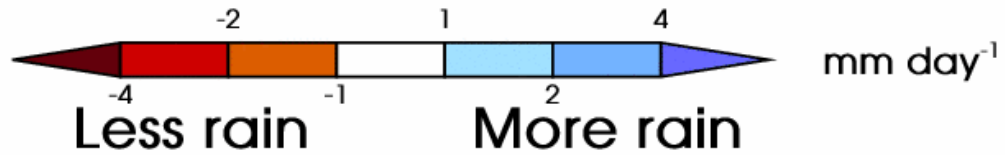
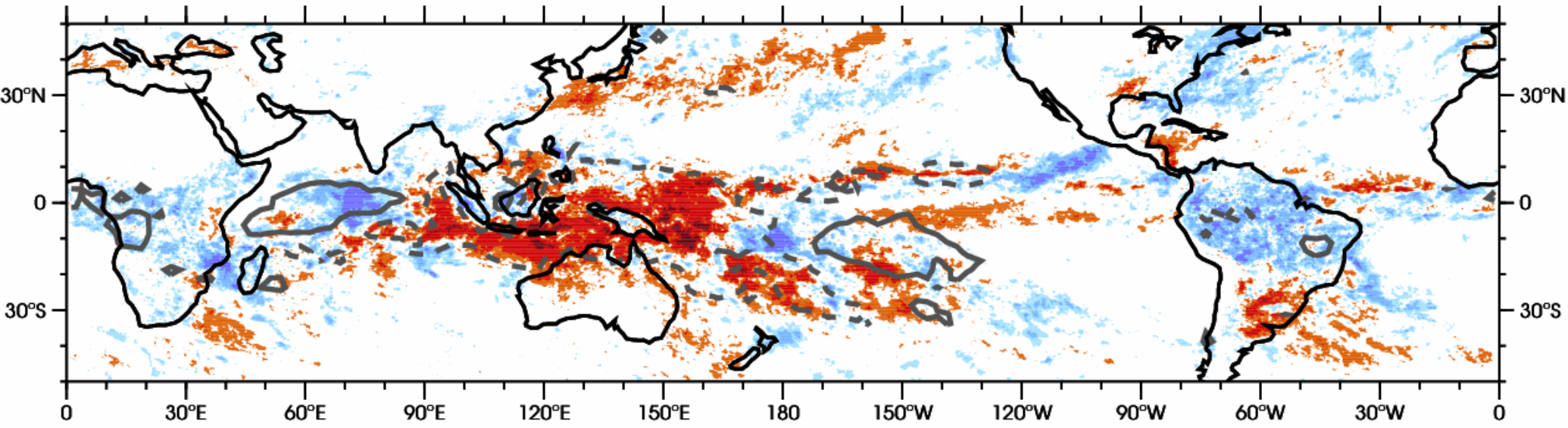
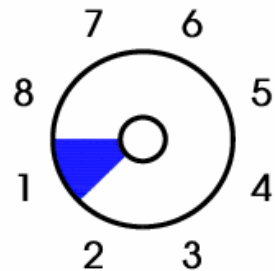
Indian Pacific

Madden and Julian

# MJO signal in rainfall

MJO CYCLE  
Precipitation rate (TRMM)

RMM Phase 1 of 8  
Day 0 of 48



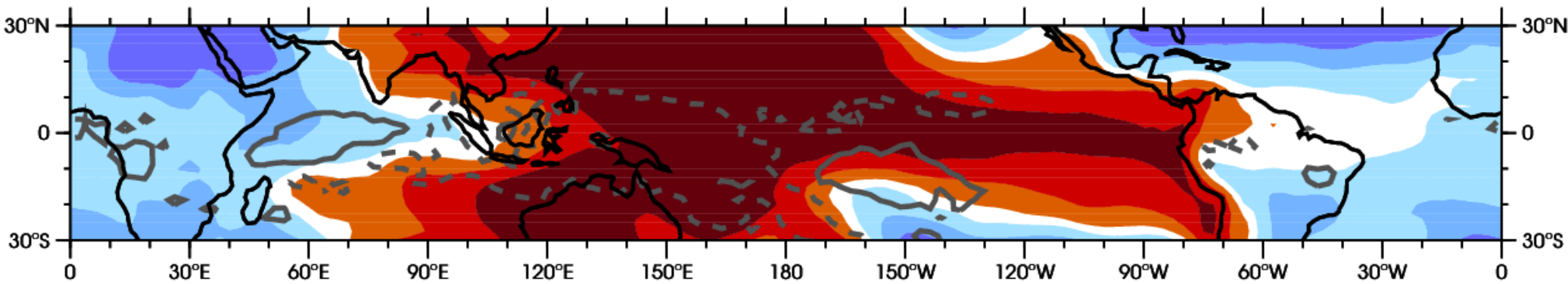
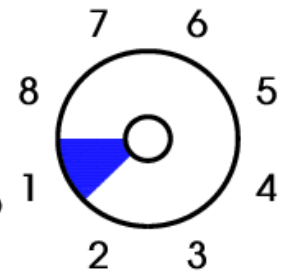
# MJO signal in sea-level pressure

MJO CYCLE

Mean sea level pressure (NCEP-DOE) Day

RMM Phase 1 of 8

0 of 48



Low pressure

High pressure

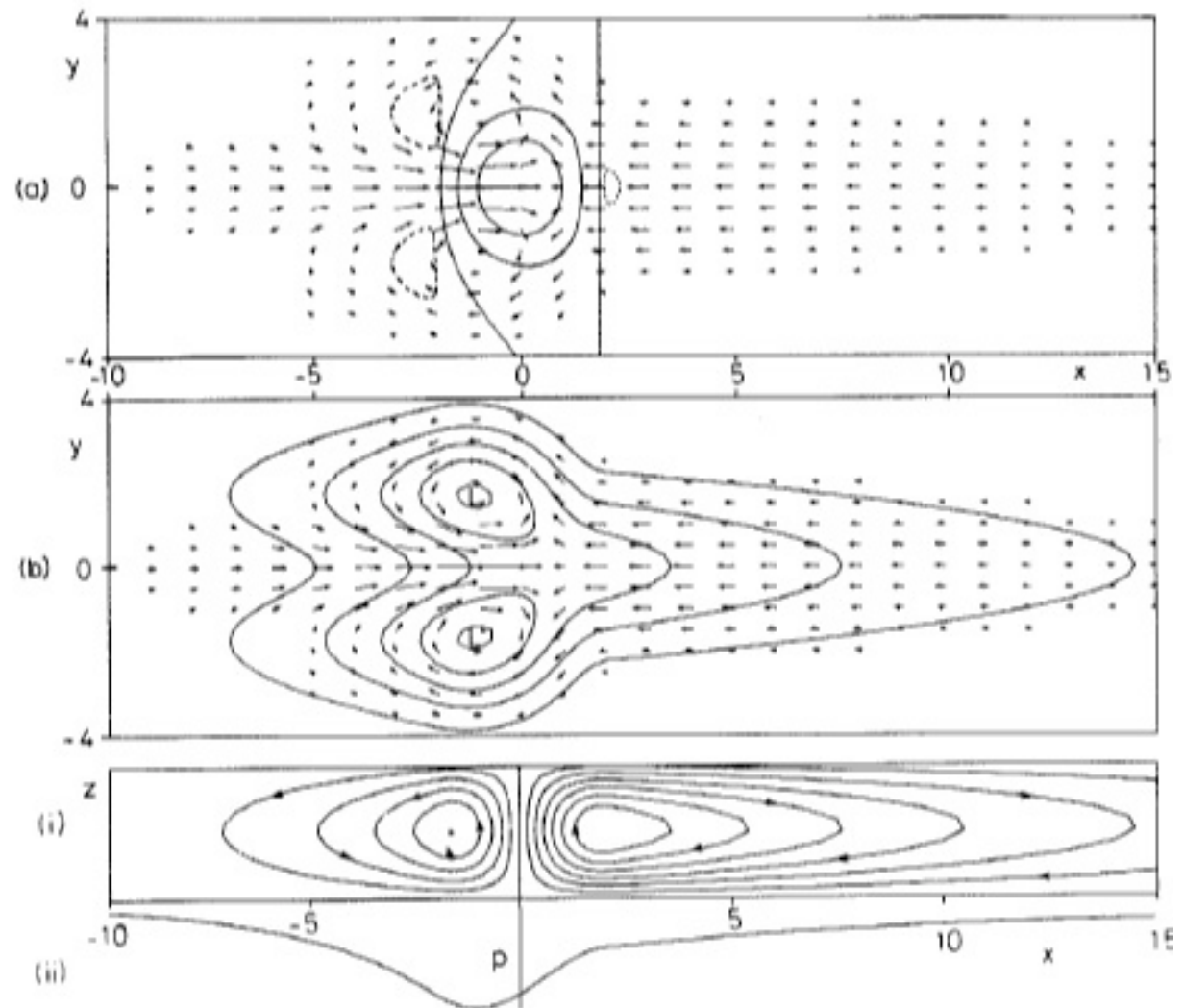
# Let's go back to the equations to seek a steady-state (damped, forced) solution

$$-\beta y v = -\frac{\partial p}{\partial x} - \epsilon u$$

$$\beta y u = -\frac{\partial p}{\partial x} - \epsilon v$$

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = Q - \epsilon_T p$$

Q = single equatorial heating



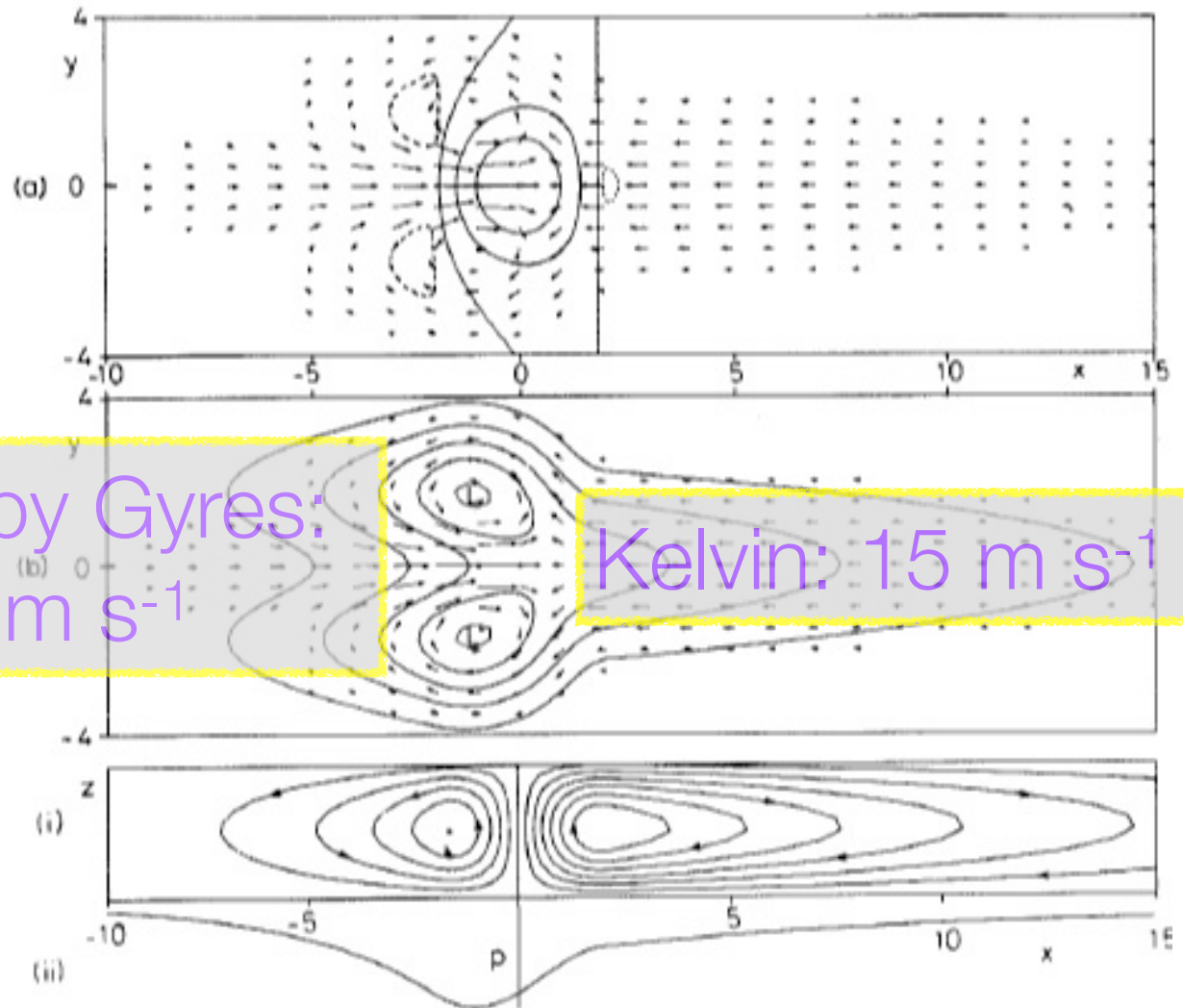
# Let's go back to the equations to seek a steady-state (damped, forced) solution

$$-\beta y v = -\frac{\partial p}{\partial x} - \epsilon u$$

$$\beta y u = -\frac{\partial p}{\partial x} - \epsilon v$$

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = Q - \epsilon_T p$$

Q = single equatorial heating



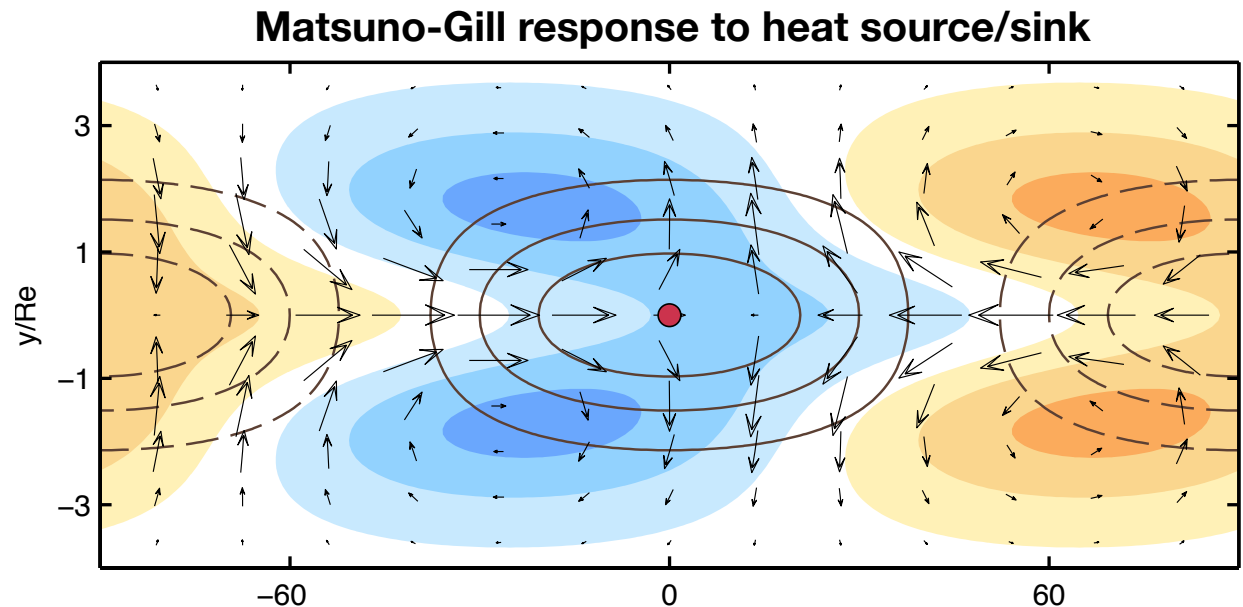
Rossby Gyres:  
5 m s<sup>-1</sup>

Kelvin: 15 m s<sup>-1</sup>

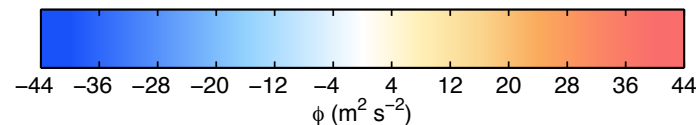
# We can superimpose linearly the solutions for different forcings

$$\begin{aligned}
 -\beta y v &= -\frac{\partial p}{\partial x} - \epsilon u \\
 \beta y u &= -\frac{\partial p}{\partial x} - \epsilon v \\
 \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} &= Q - \epsilon_T p
 \end{aligned}$$

Q = wavenumber 2 equatorial heating



**Figure:**  
Horizontal structure of a wavenumber 2, linear moist wave.  $P'$  contoured,  $\phi$  shaded.



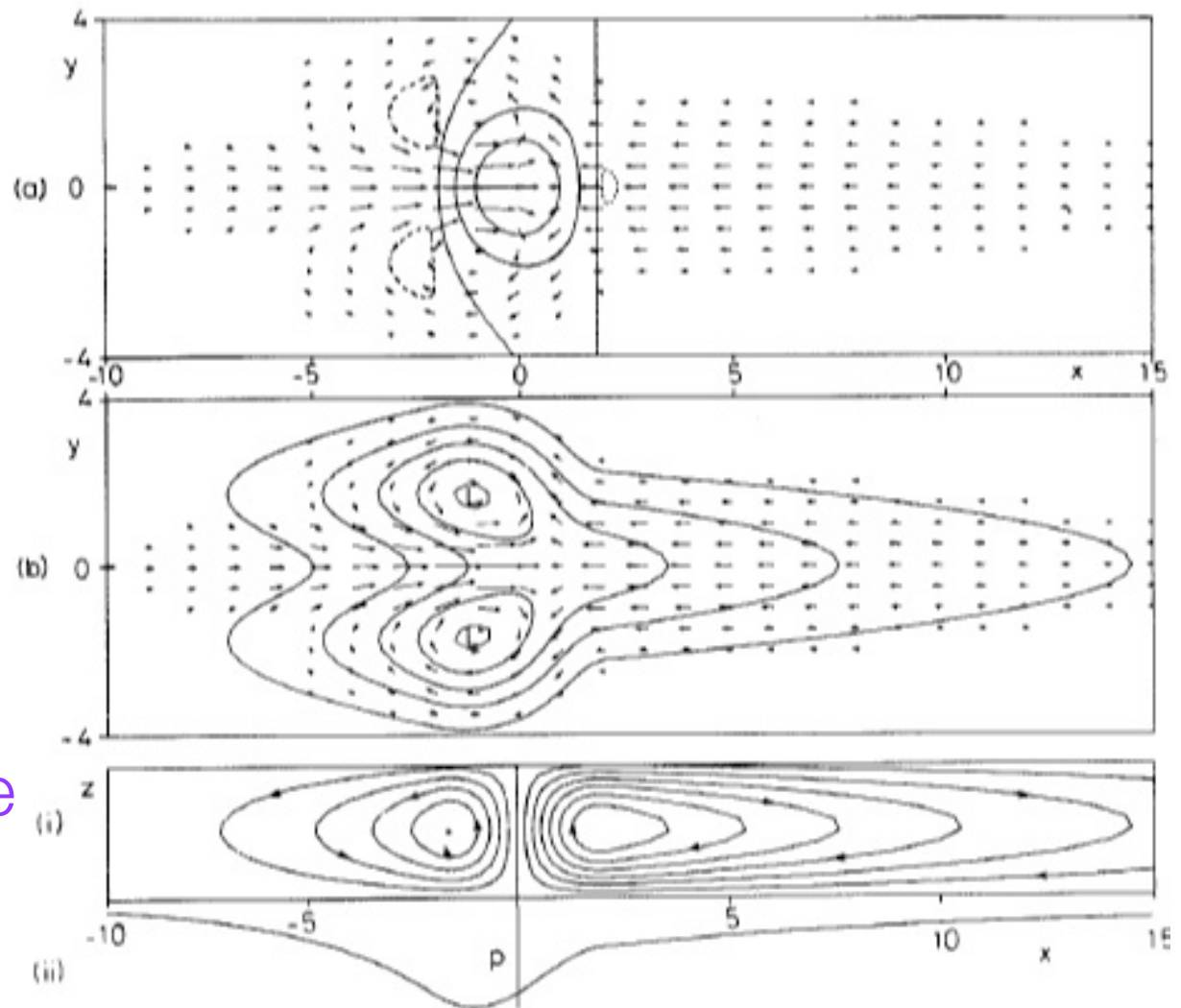
# Let's go back to the equations to seek a steady-state (damped, forced) solution

$$-\beta y v = -\frac{\partial p}{\partial x} - \epsilon u$$

$$\beta y u = -\frac{\partial p}{\partial x} - \epsilon v$$

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = Q - \epsilon_T p$$

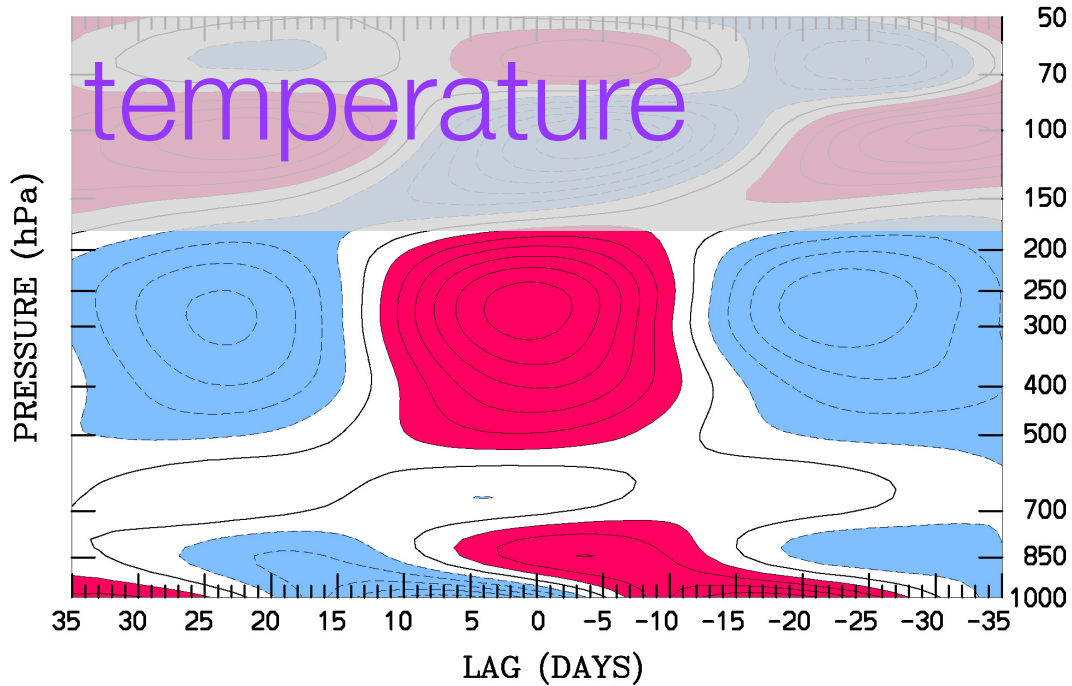
Q = single equatorial heating



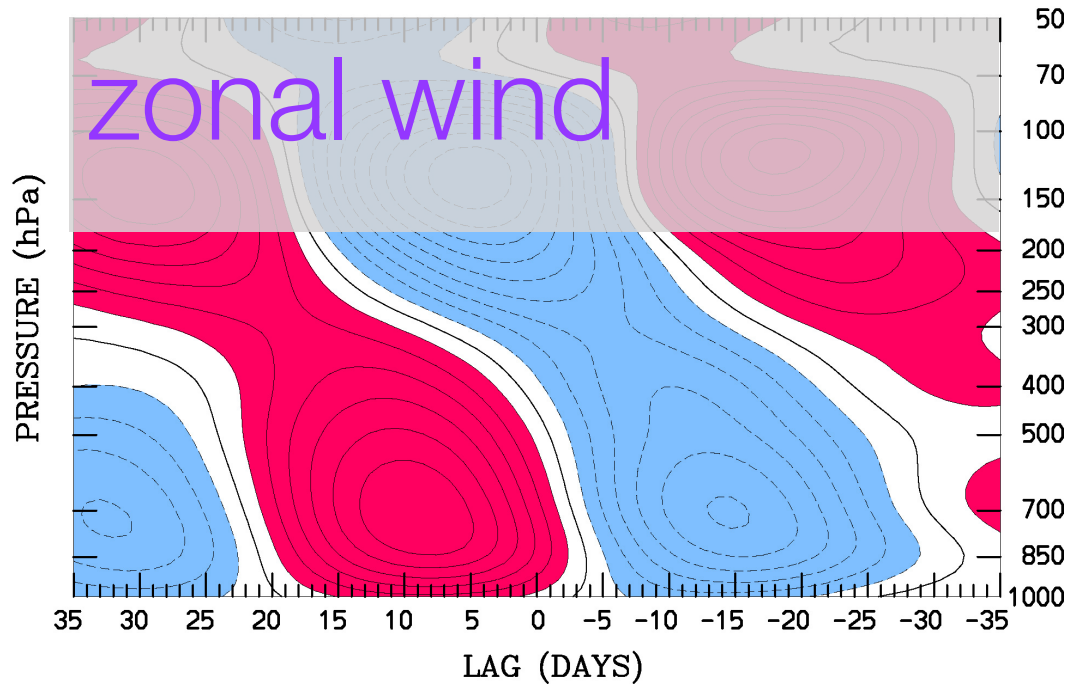
deep overturning in the meridional plane



# Observed Vertical Structure of the MJO



consistent with  
the Gill model



BUT the MJO  
PROPAGATES!

# Building a Theory of the MJO:

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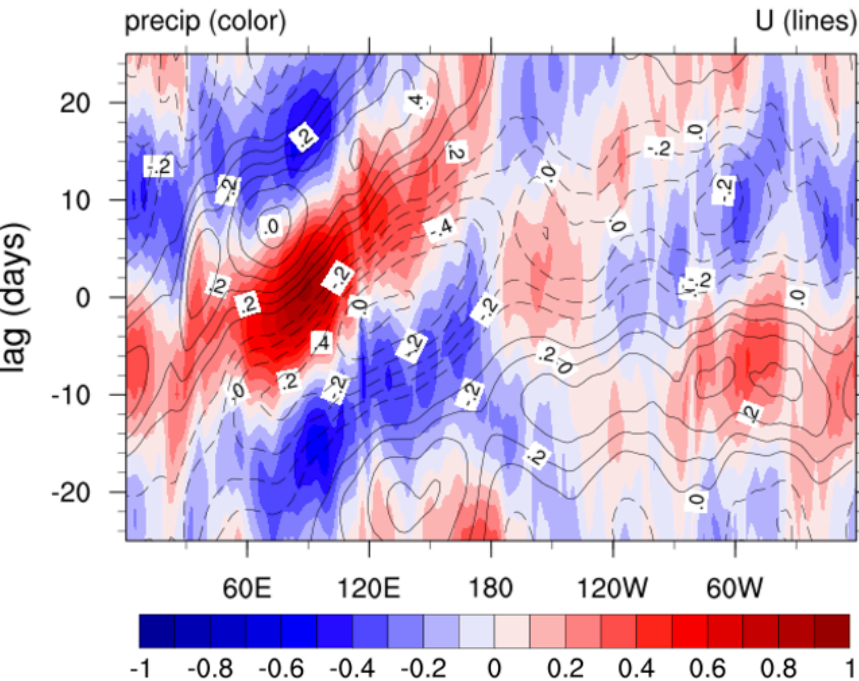
- Why does it propagate eastward?
- What sets the propagation speed?
  - the spatial scale?
  - the intra-seasonal time scale?
- Why is it much stronger over the Indo-Pacific?
  - What is its energy source?
- What is the connection to the embedded fast waves?

## A recent review of 4 modern MJO theories

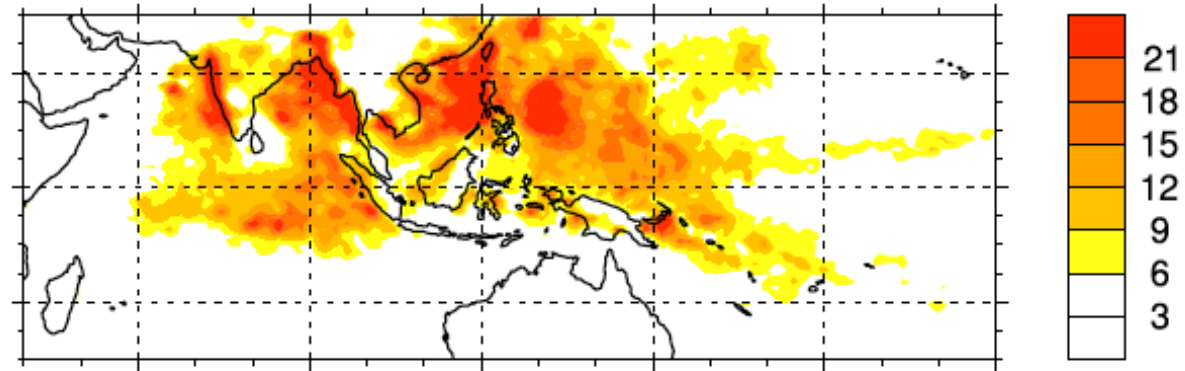
Yang, D., A. Adames, B. Khouider, B. Wang, C. Zhang, 2019: A Review of MJO Theories. The Global Monsoon System, (Eds C. P. Chang et al.). World Scientific Series on Asia-Pacific Weather and Climate, World Scientific, Singapore (in press).

# The MJO as a moisture mode. Rationale:

winter: 19961001-20051231



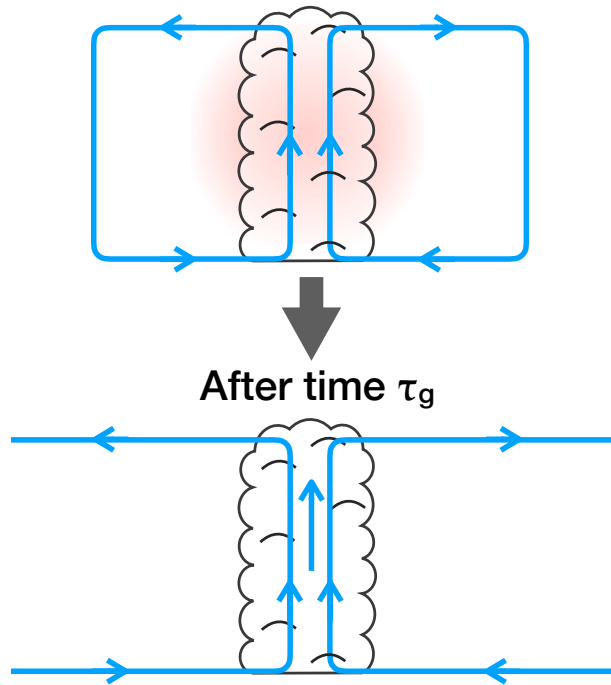
### 30-90 Day TRMM Variance (May-October)



The slow propagation speed is limited to the Warm Pool, where the MJO has a strong convective component

Intraseasonal rainfall variance is greater over warm SST and greater over ocean than land, which suggests a role for net surface heat flux (likely dominated by latent heat flux).

# The MJO as a moisture mode. Basic Idea:

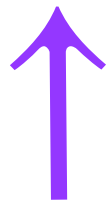


**A large-scale moist instability.** Hence, the timescale is slower than the speed of gravity waves, so that we are in the WTG and CQE regime.

Adames

$$\frac{\partial q'}{\partial t} + U \frac{\partial q'}{\partial x} = -MP' + E' - (1 - M)R'$$

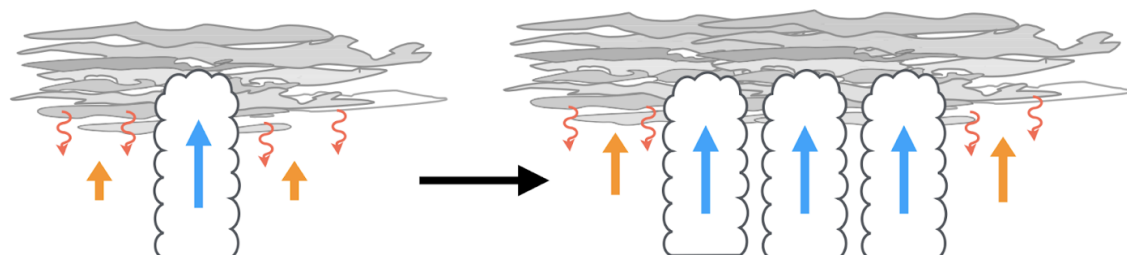
(energy units)



Energy Fluxes are the sources of energy

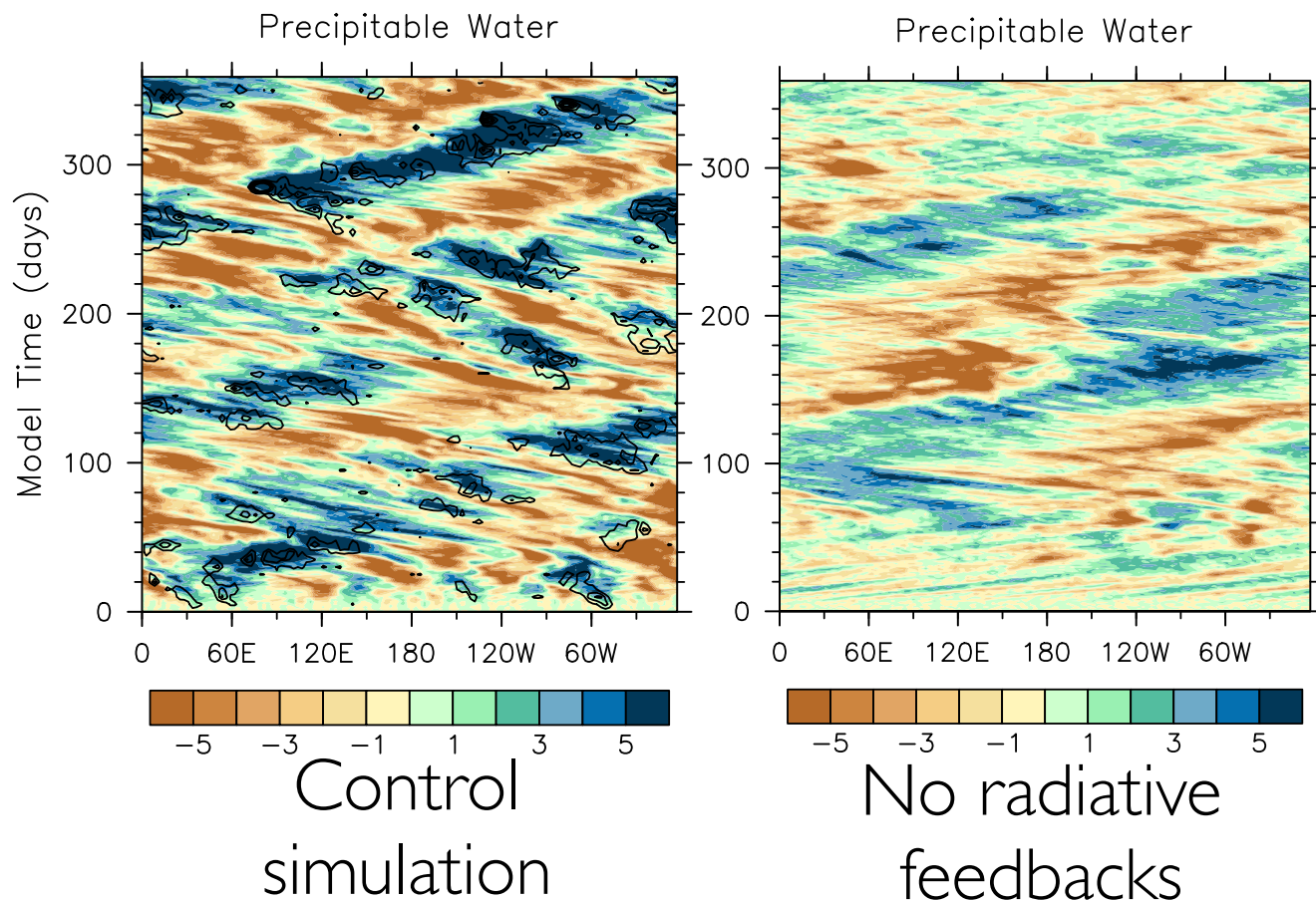
Precipitation is a restoring force

# Radiation is the main destabilizing process



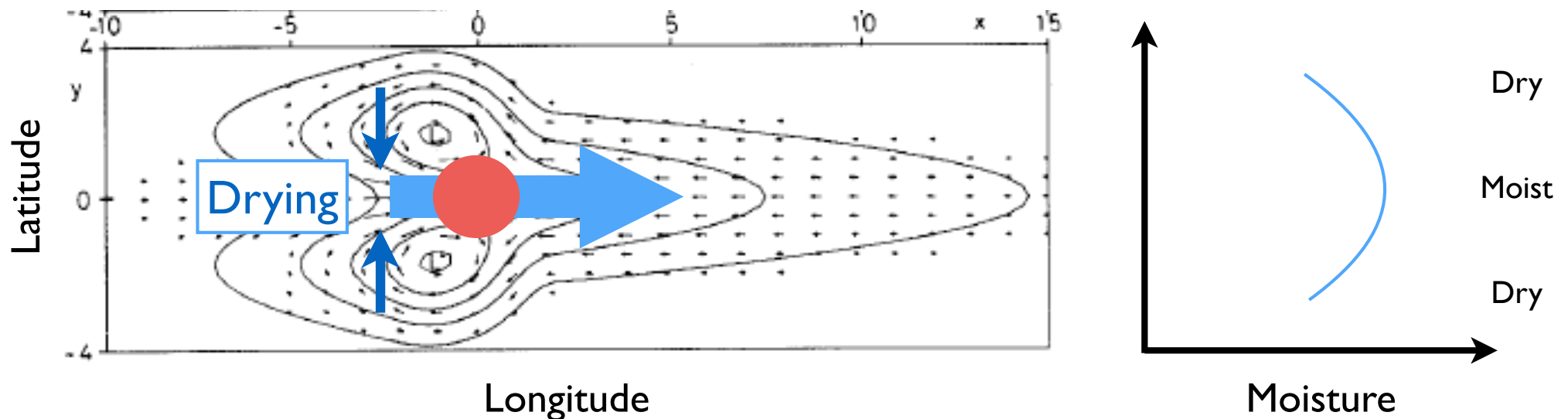
Adames

High cirrus in deep convection reduce OLR and causes a net warming, which is balanced by upward motion and moisture import into the free troposphere.



# Need a better representation of moisture advection for Eastward propagation

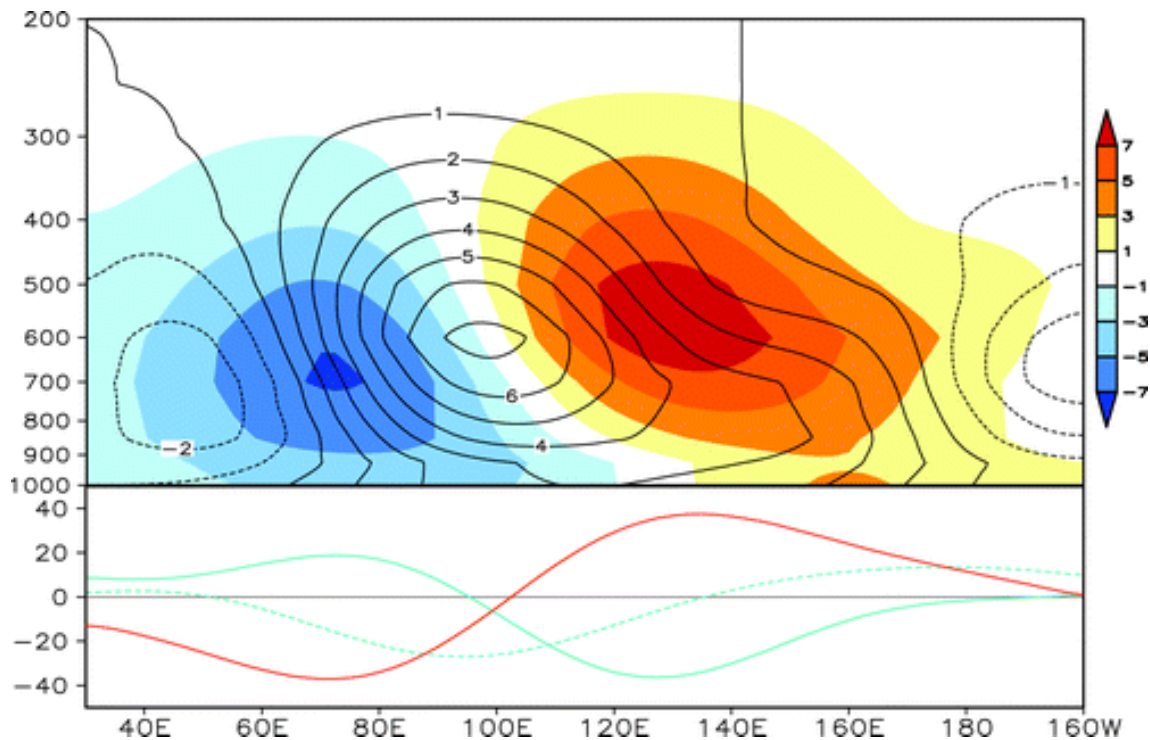
Horizontal Advection: meridional advection by the *MJO* wind of the *background moisture gradient*



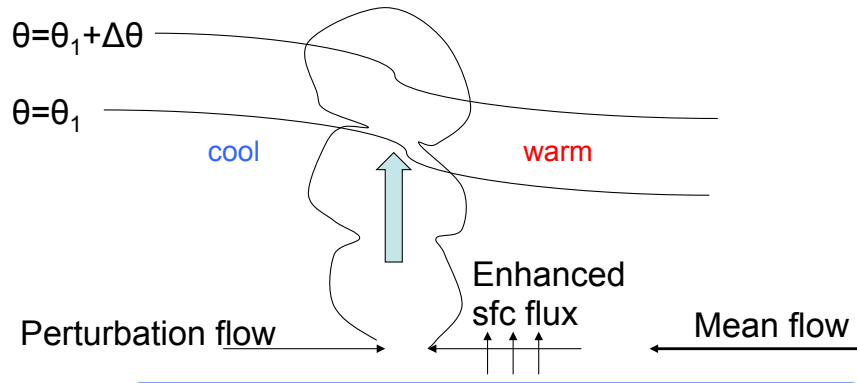
(during DYNAMO, zonal advection did the moistening to the east of the main convection)

# Need a better representation of moisture advection for Eastward propagation

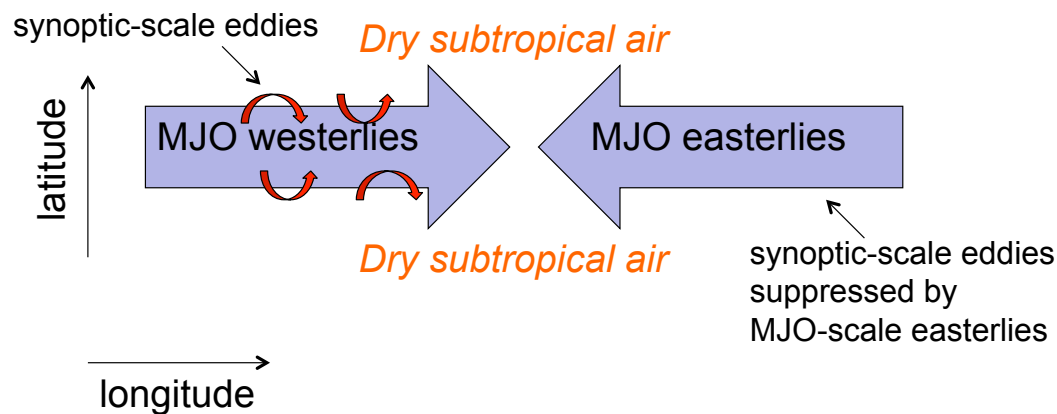
vertical moisture advection: Frictional convergence and a shallow circulation to the East create a positive moisture (and MSE) tendency.



# details and add-on's...



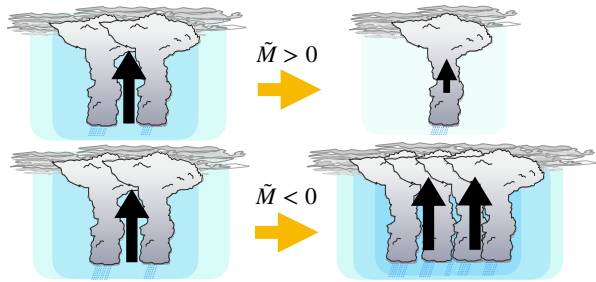
WISHE enhances surface fluxes when  $u'$  reinforces the mean wind



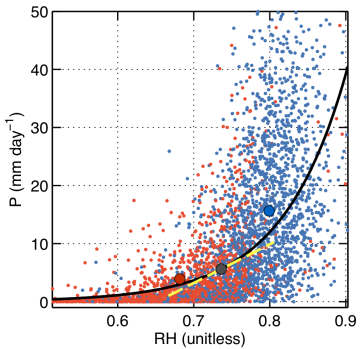
synoptic eddies affect dry/moist advection and help propagate the convective region  
(Maloney 2009, Andersen and Kuang 2012, Adames, 2017)



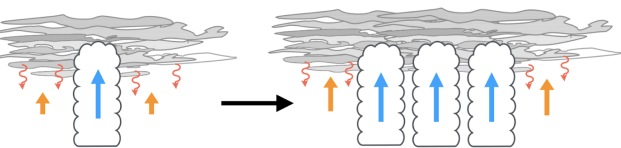
# Assumptions and issues with a pure moisture mode:



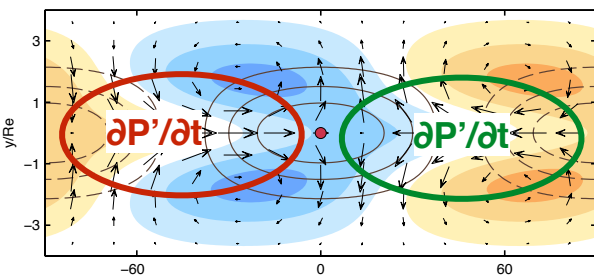
negative GMS needed for circulation to import energy and lead to amplification



precipitation only a function of moisture

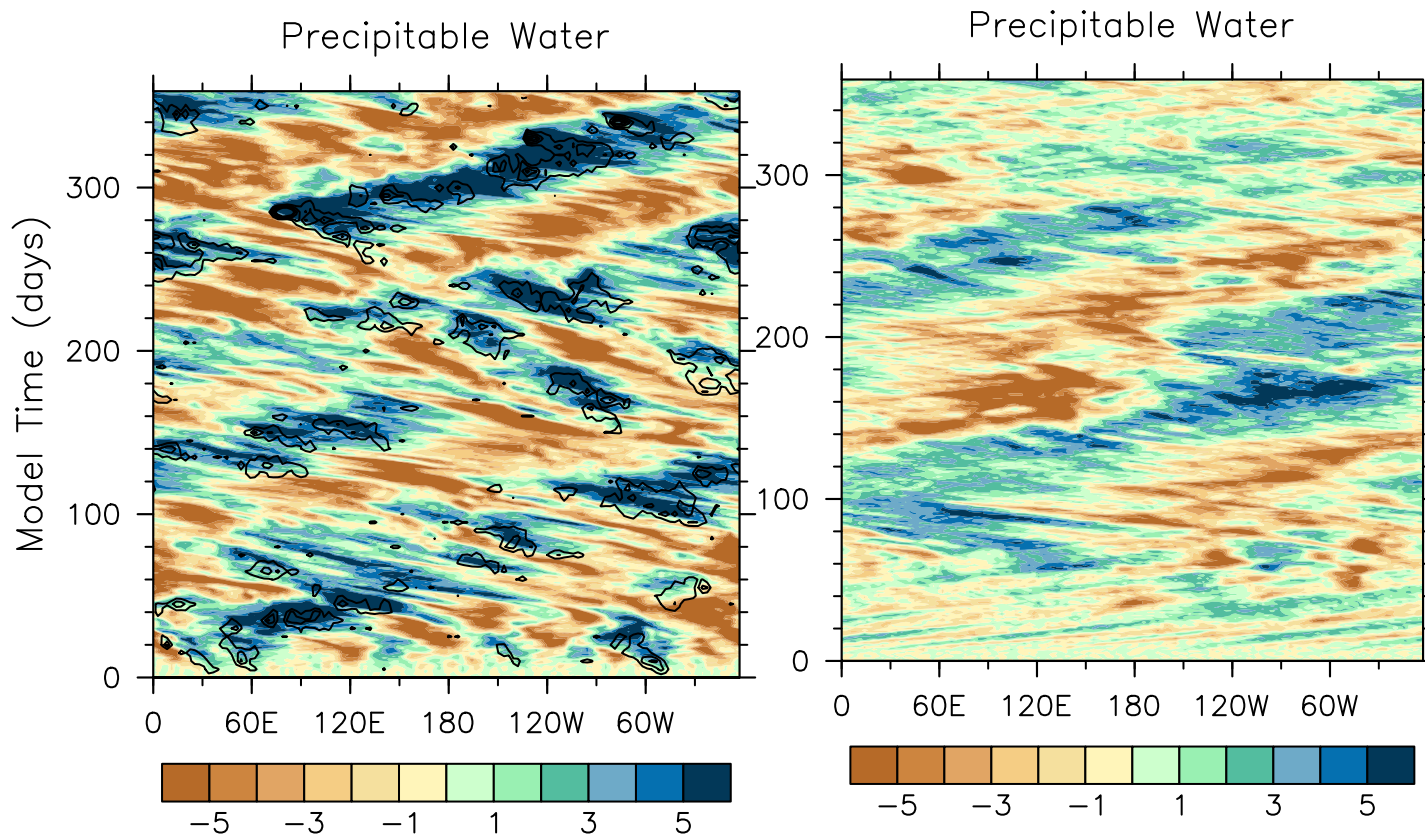


radiative feedbacks need to be scale dependent for the unstable modes to select planetary scale



depends on background moisture gradients for eastward propagation

Spatial scale remains unchanged when radiative feedbacks are switched off

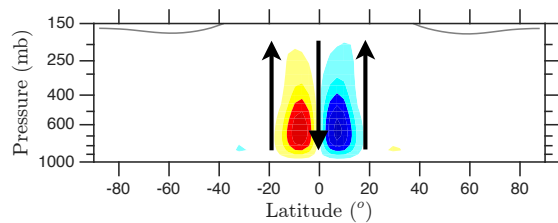


Amplitudes  
become smaller

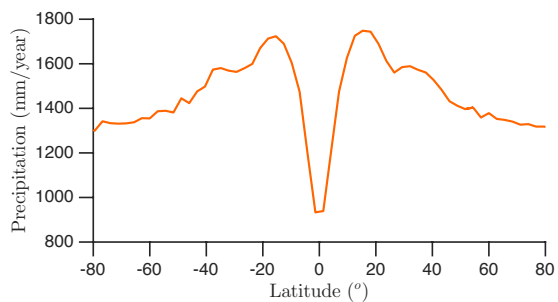
Control simulation

No radiative feedbacks

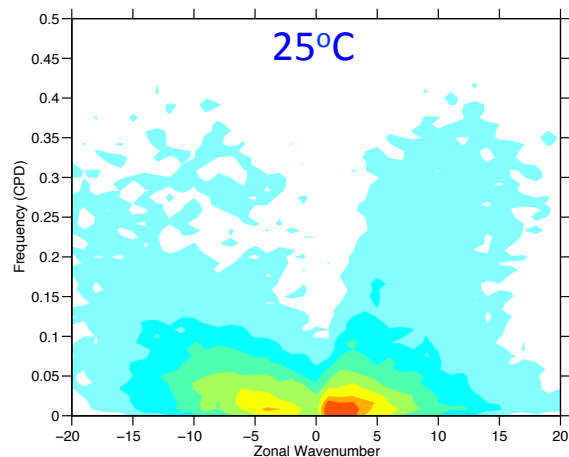
## The “MJO” eastward propagation is not always sensitive to horizontal moisture advection



Anti-Hadley circulation emerged

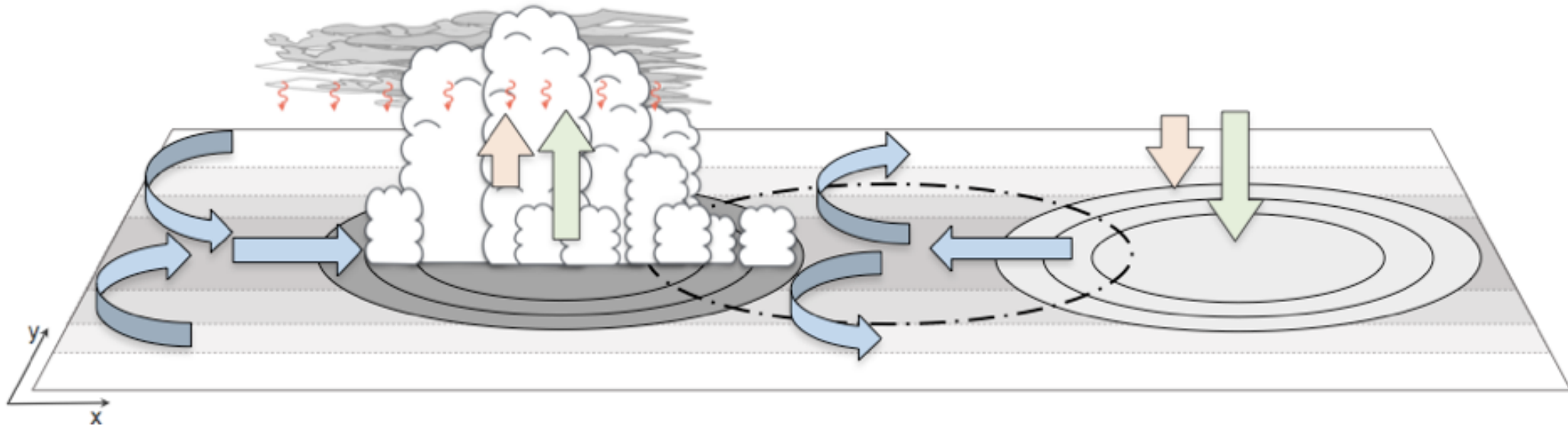


Two tropical rainfall peaks  
Tropics is dry; subtropics is humid



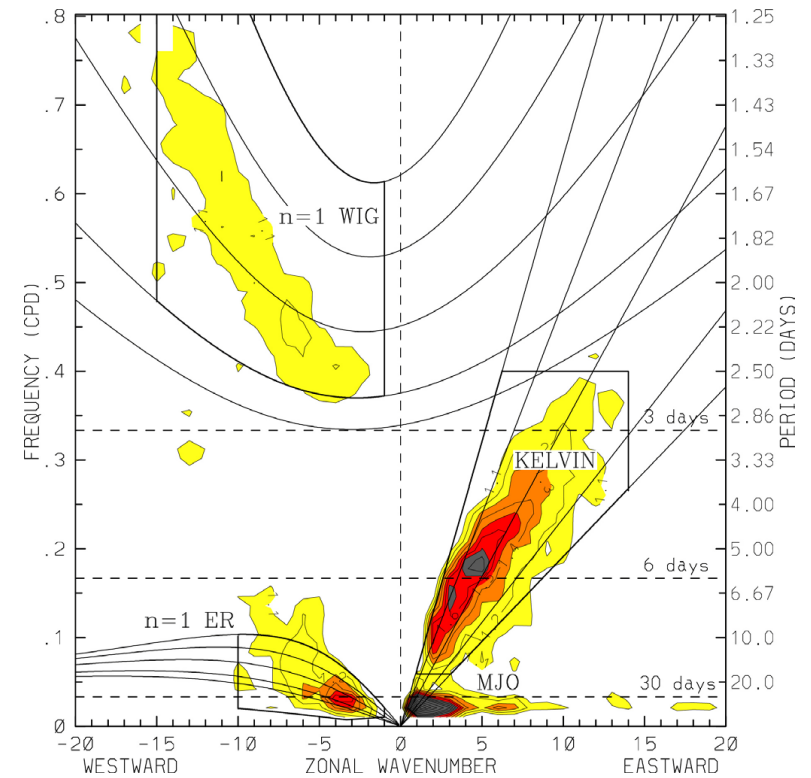
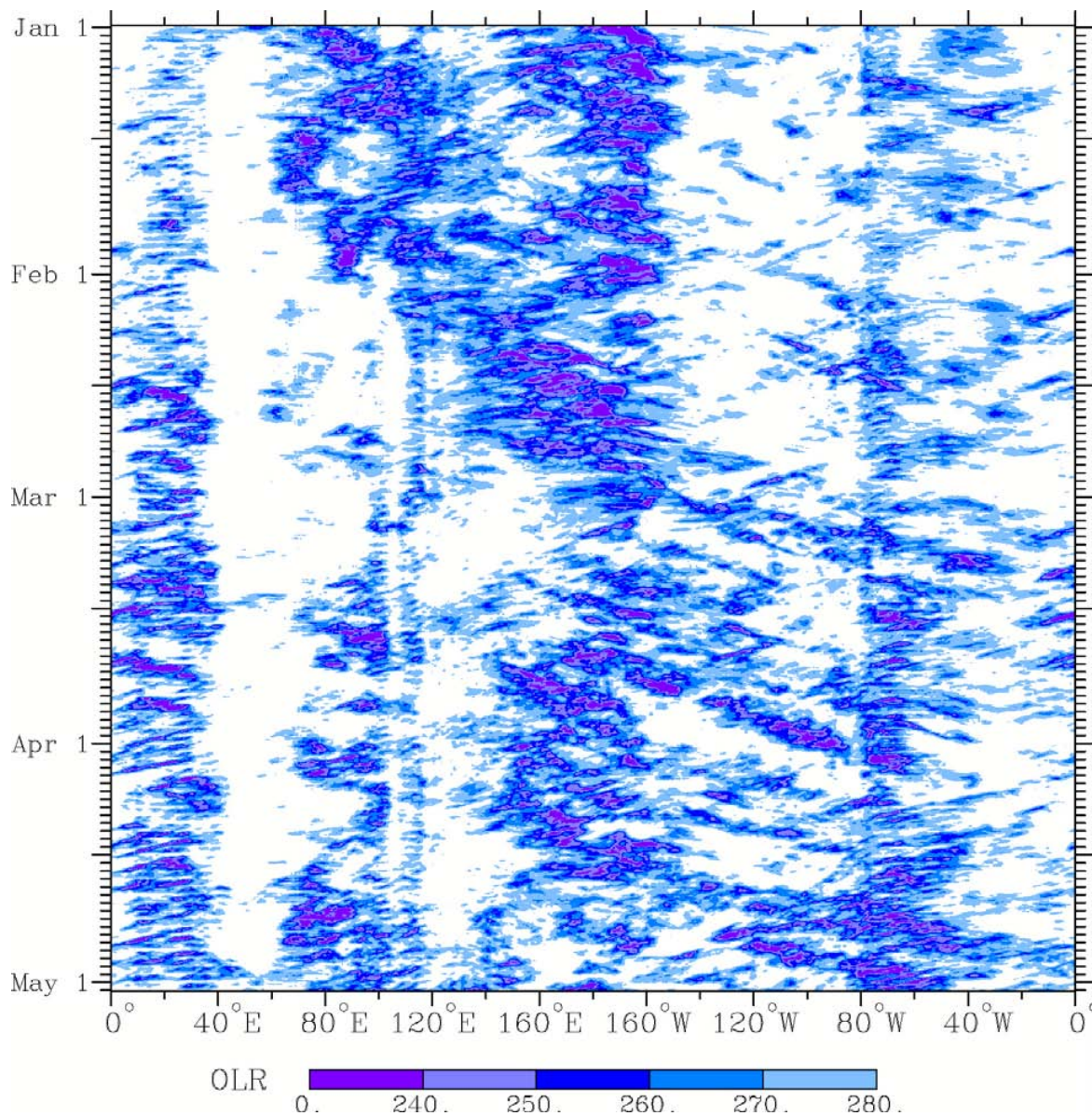
The MJO still propagates to the east

# The MJO as a moisture mode. Summary:



- Distinct (but can co-exist) with buoyancy-driven (gravity) modes. Flow dominated by rotational component.
- Destabilized (at least partly) by moisture mode instability. Instability can occur from: cloud radiation feedbacks, negative gross moist stability, and air-sea interaction.
- Exhibit slow or no propagation.
- The processes that change column moisture determine propagation of the disturbance.

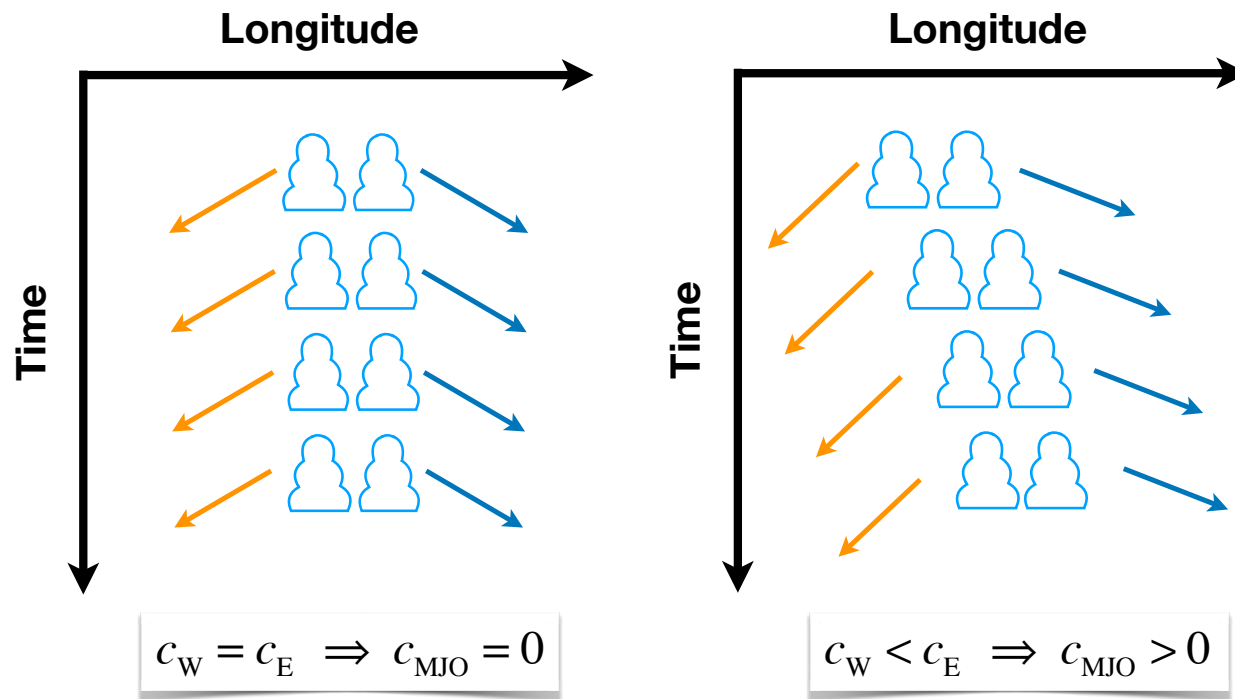
# Another kind of self organization: The MJO as an envelope of interfering WIGs and EIGs



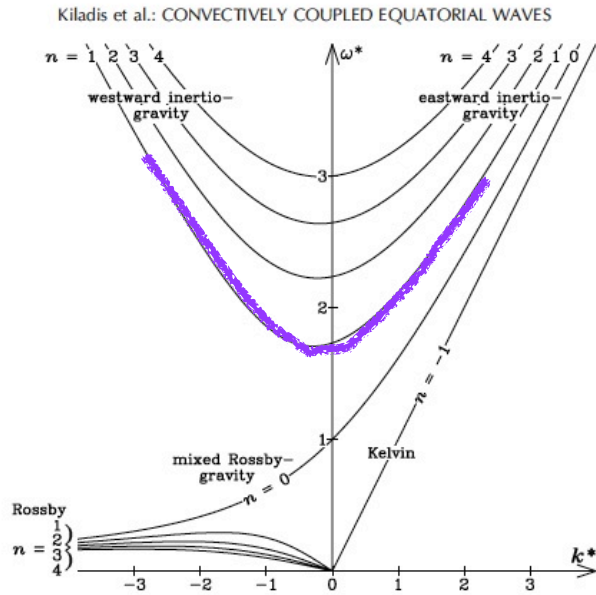
# The gravity-wave model

A multi-scale theory: The MJO is a large-scale envelope of small-scale high-frequency eastward and westward inertia-gravity (IG) waves.

Convection excites a quasi standing IG wave, which triggers more convection events in the vicinity of recent convection events. Because of the non-linearity of the trigger mechanism, convection excites a range of frequencies.

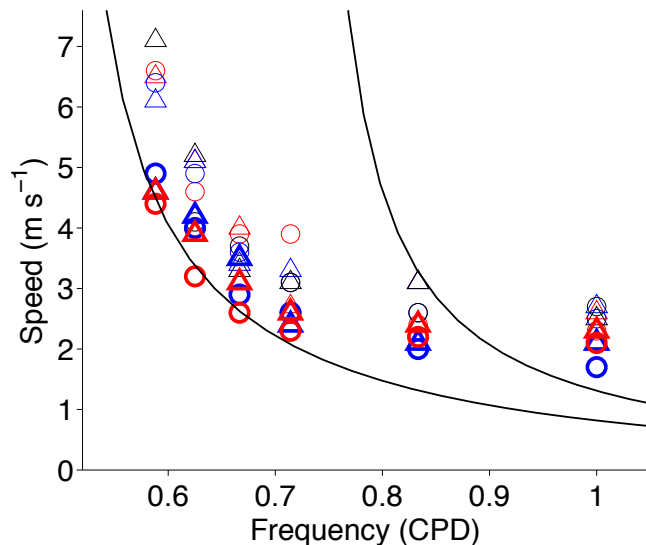


# The gravity-wave model



The zonal asymmetry of IG waves set the propagation speed of the MJO:

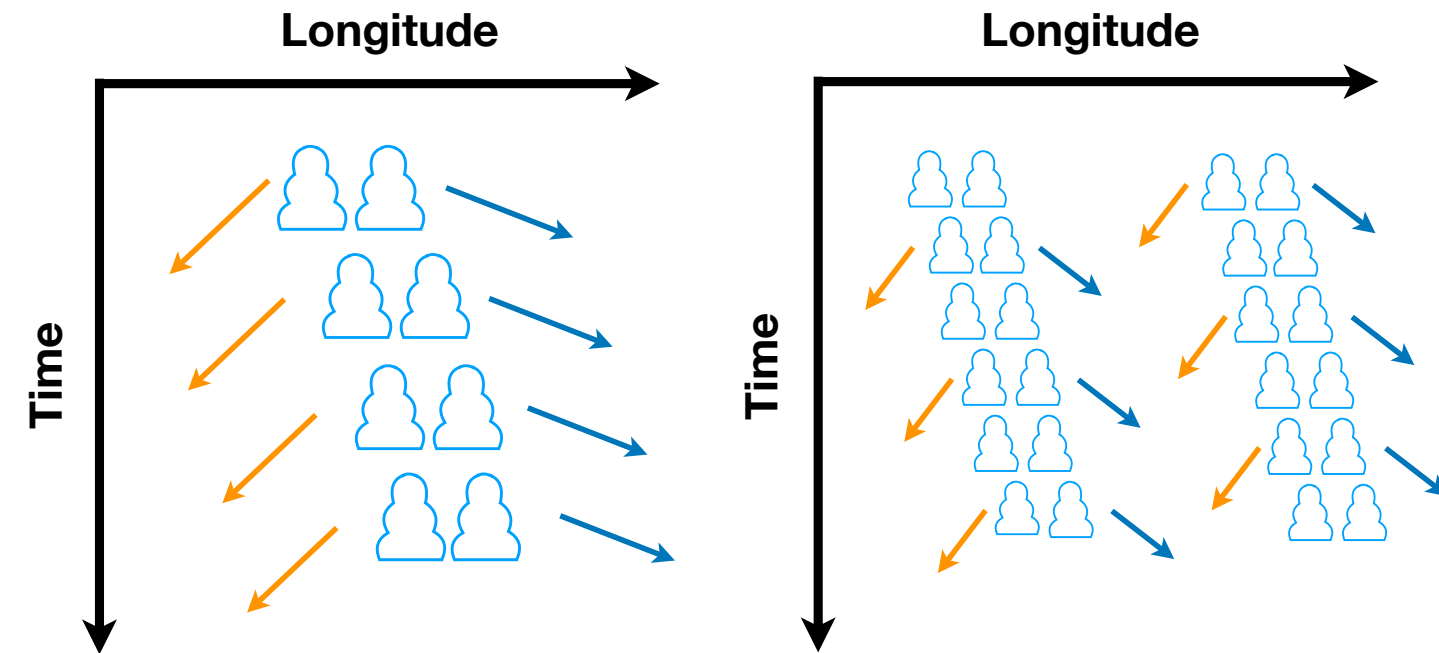
$$c = 0.5(c_E - c_W)$$



This figure presented 60 simulations with a wide range of parameter values. Each marker represents a simulation. The curves correspond to the theoretical MJO speed. The lower one is associated with the lowest meridional structure.

# The gravity-wave model

The zonal scale is set by the mean free path (MFP) of gravity waves



Fewer, stronger storms (large MFP) lead to large zonal scale of the MJO

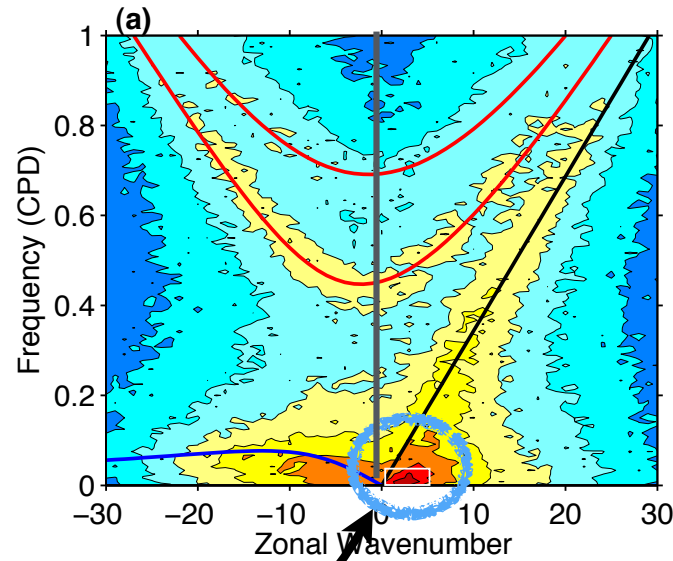
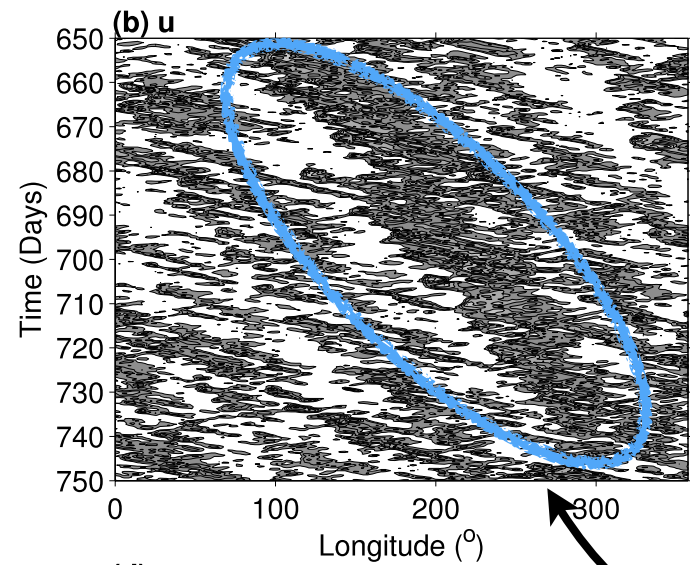
Faster gravity waves (small MFP) lead to small zonal scale of the MJO

=> faster, bigger, and stronger MJOs in warmer climates.



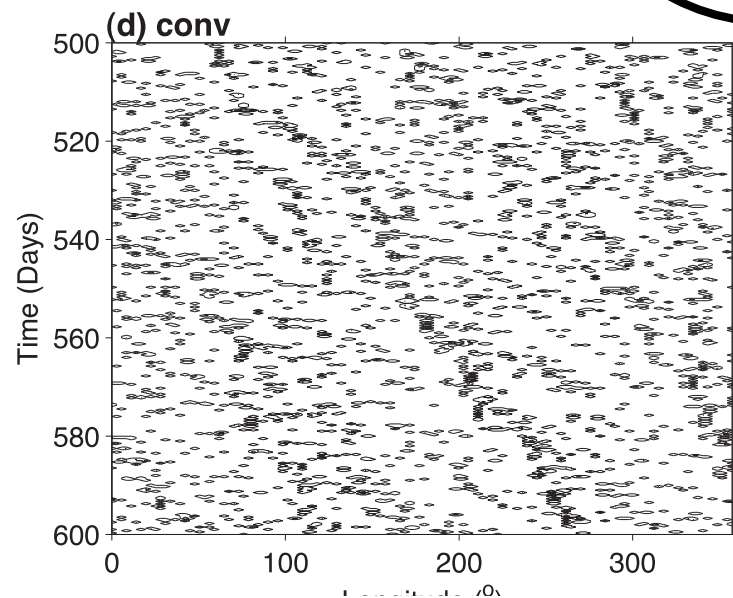
# The gravity-wave model

Results from a one-layer atmosphere model (shallow water model)



Dynamic Field  
(U)

MJO



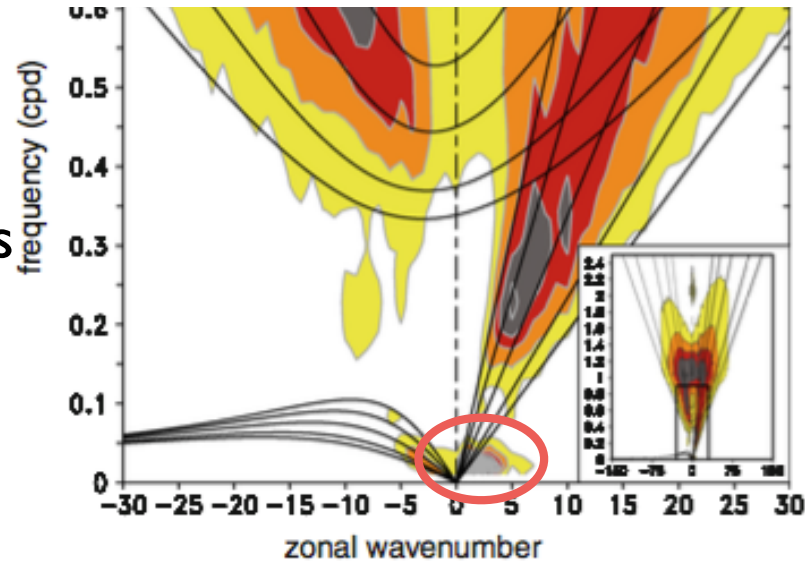
convection

# Validating the gravity-wave model:

Observation supports the multi-scale theory  
(in spectral — but not physical — space)

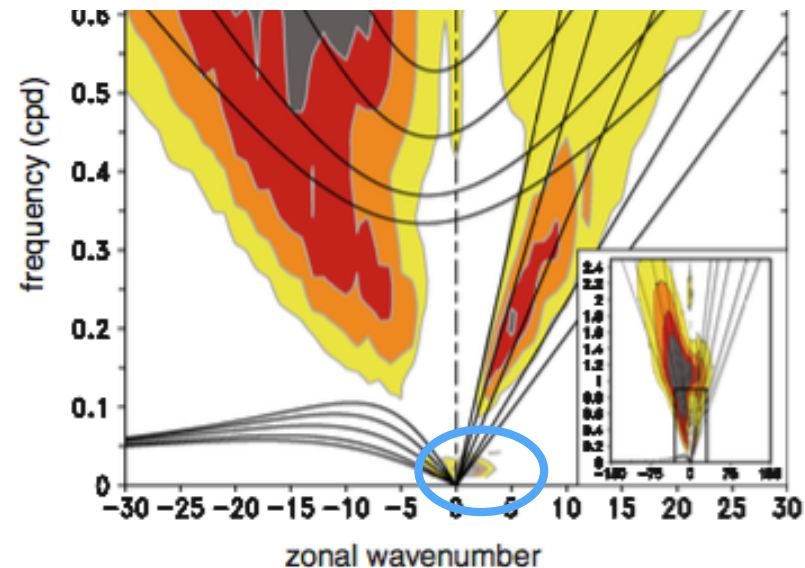
**Strong** MJO

Eastward & westward waves



**Weak** MJO

No eastward waves

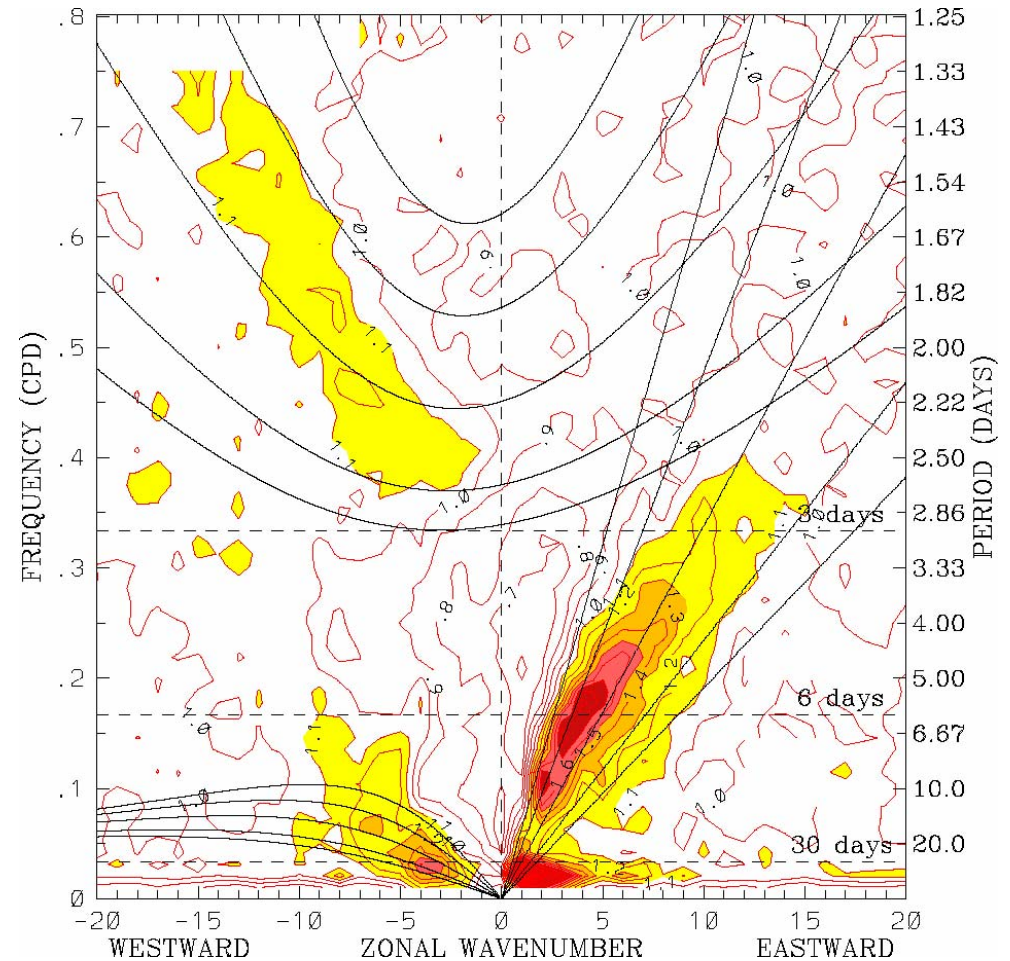
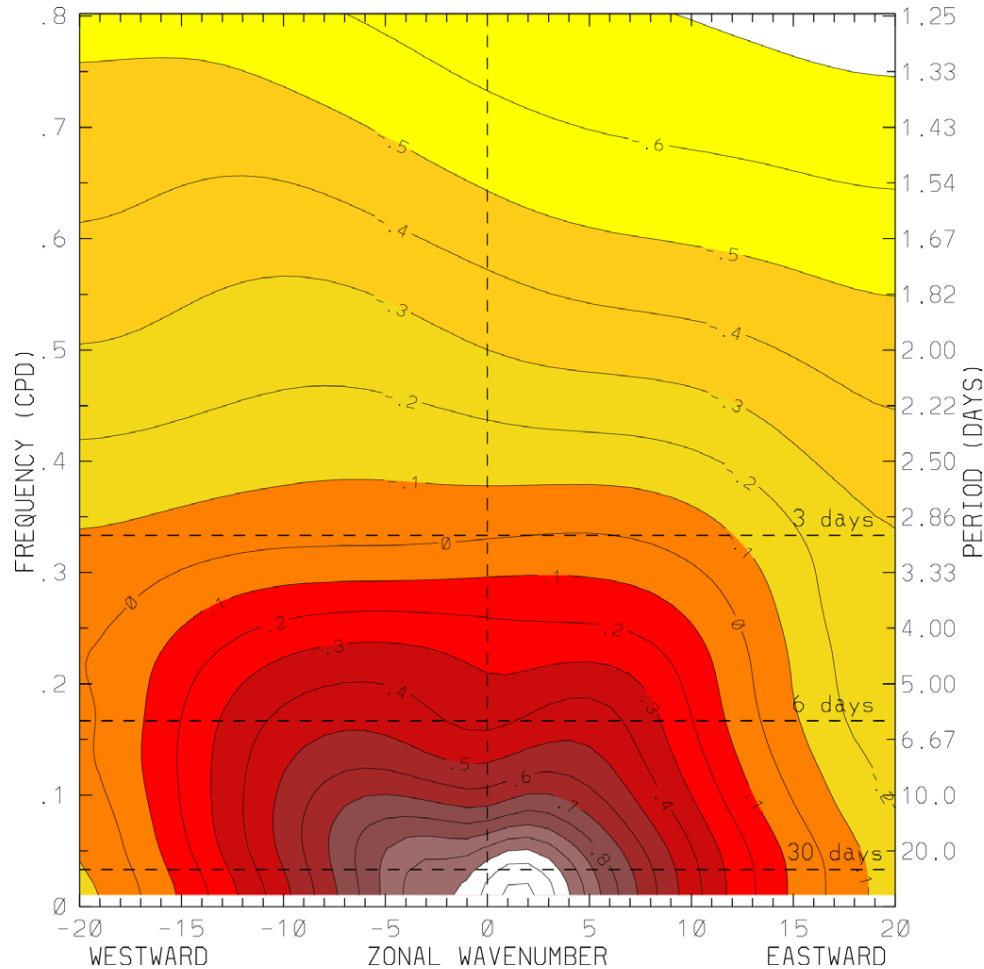


# Conclusions:

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- The tropical atmosphere self-organizes at sub-seasonal and intraseasonal time scales.
- All CCEWs have dry-atmosphere counterparts (gravity, and rotation are their restoring forces), but are slowed down by moist convection.
- The MJO is (probably, partly) a moisture mode: convection in near-balance with the L-S flow destabilized by radiation, surface fluxes, and GMS propagating because of moisture advection
- The MJO could be lots of other things... (combining Equatorial Waves, Convection, Radiation, and Boundary Layer Processes)
- Tropical Cyclones are a whole other ball of wax...

# CAVEAT: What about the background?





# “convection organization”

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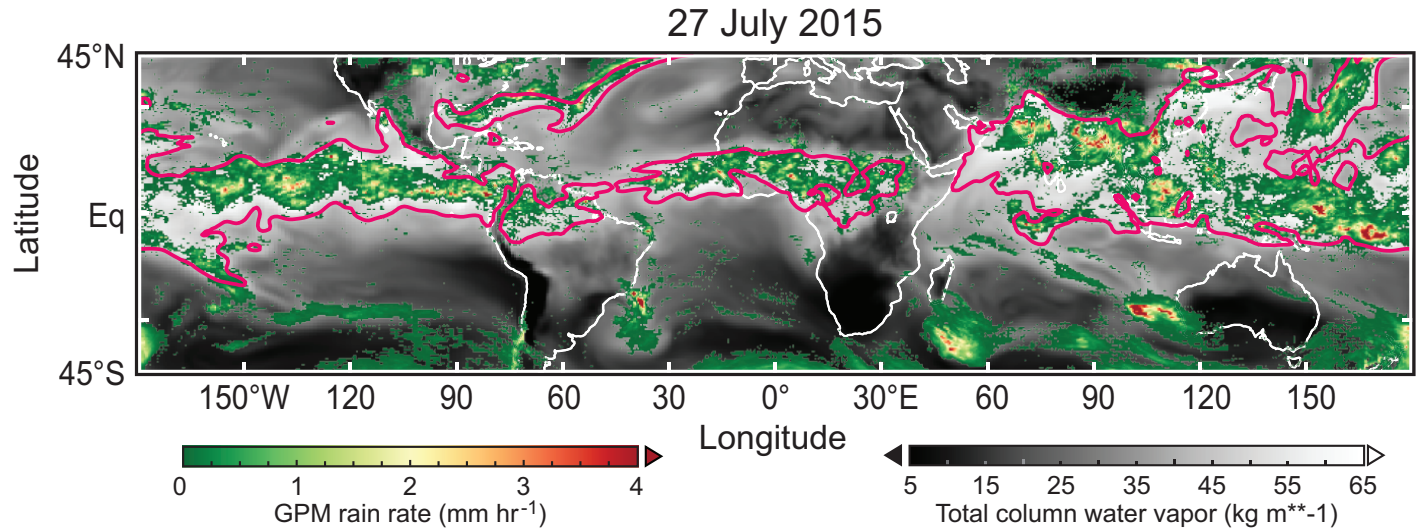
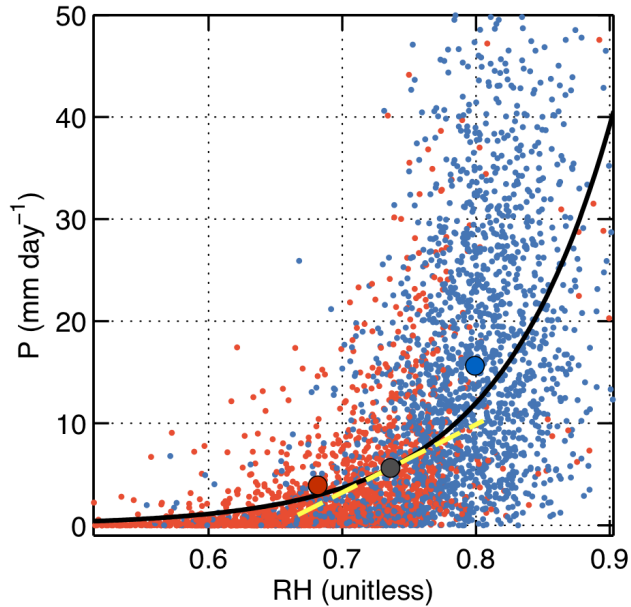
WHAT DO WE MEAN BY ORGANIZATION?

- organization by the boundary
- self - organization of the atmosphere
  - through (dry) dynamics
  - through moisture

WHAT DO WE MEAN BY CONVECTION?

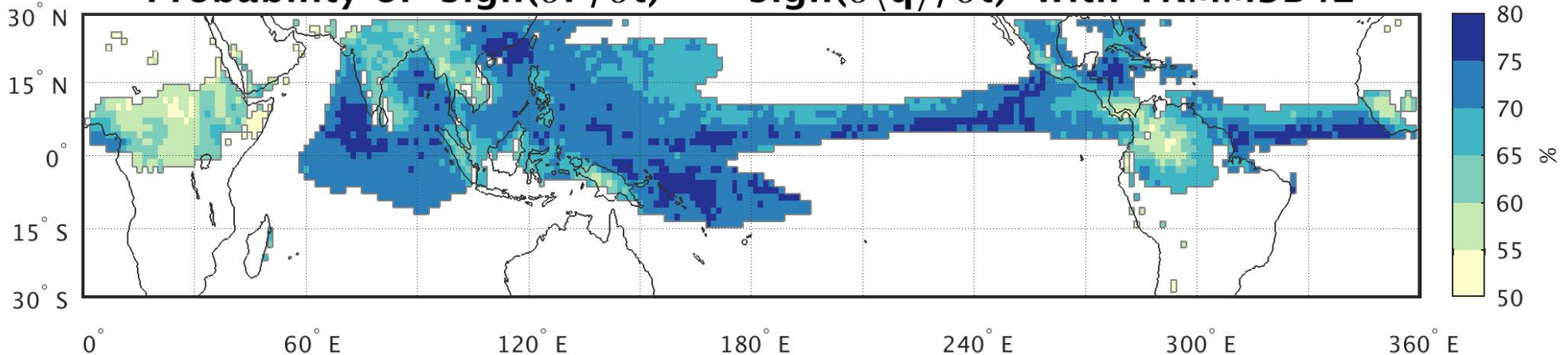
- deep clouds? rainfall? PW?

We have assumed that  $\langle q \rangle$  and  $P$  are tightly linked:



is that so over land?

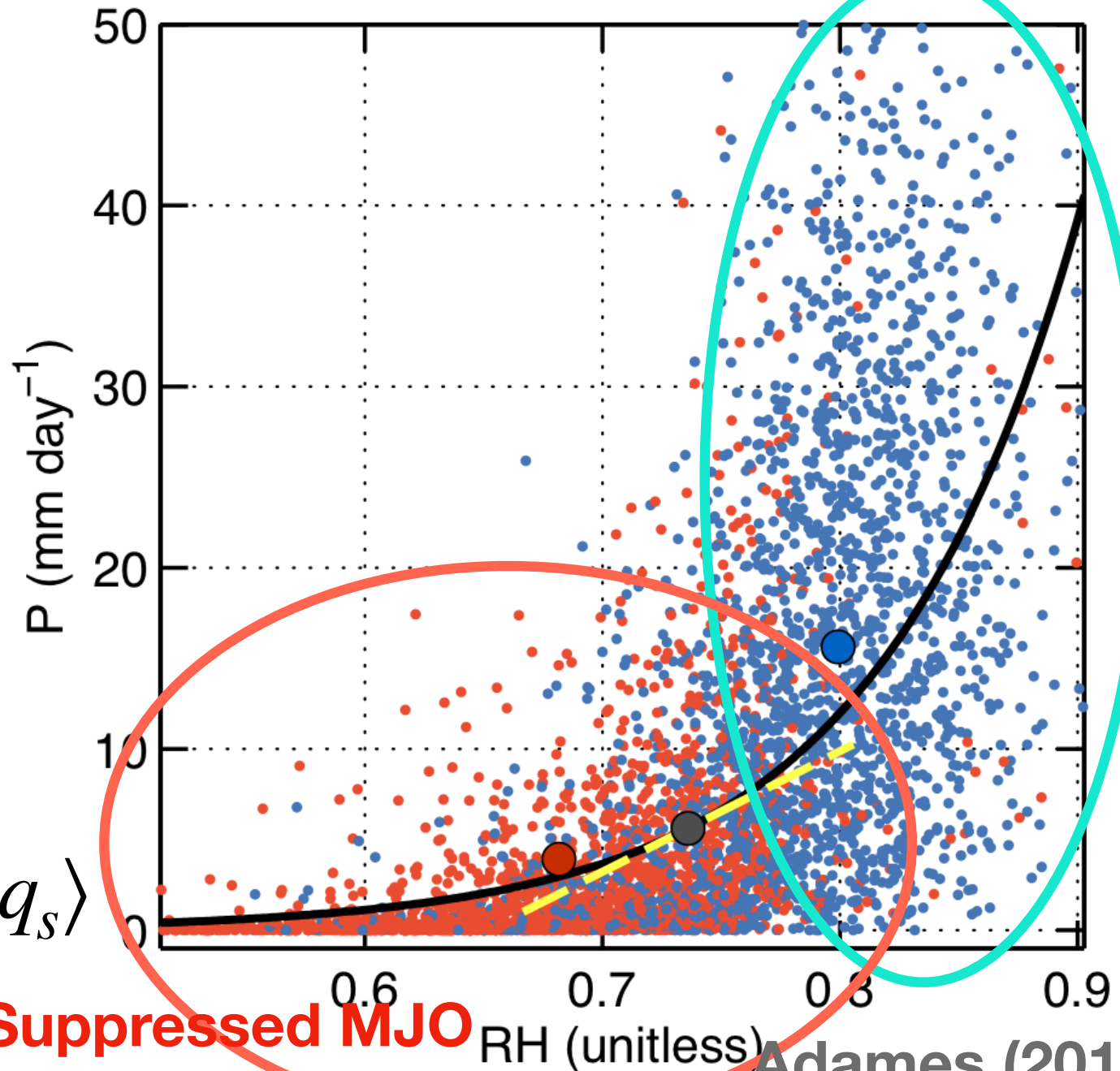
Probability of  $\text{sign}(\partial P / \partial t) == \text{sign}(\partial \langle q \rangle / \partial t)$  with TRMM3B42







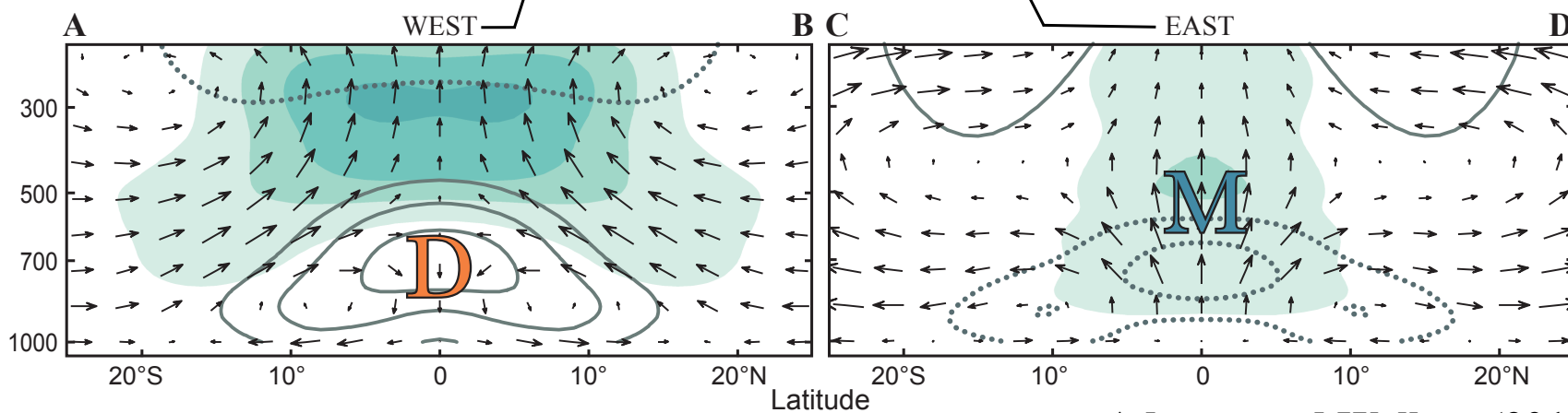
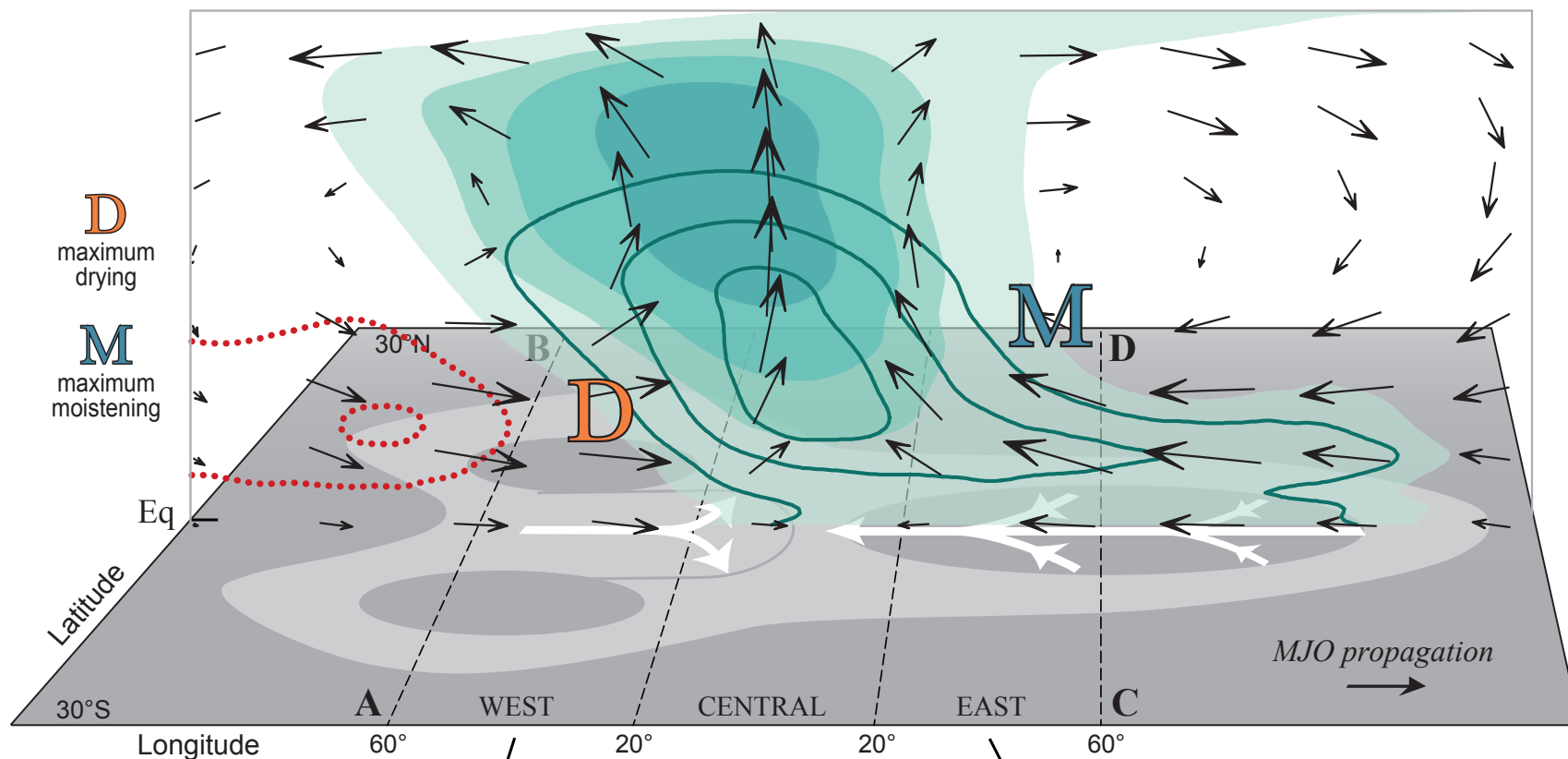
# Active MJO convection



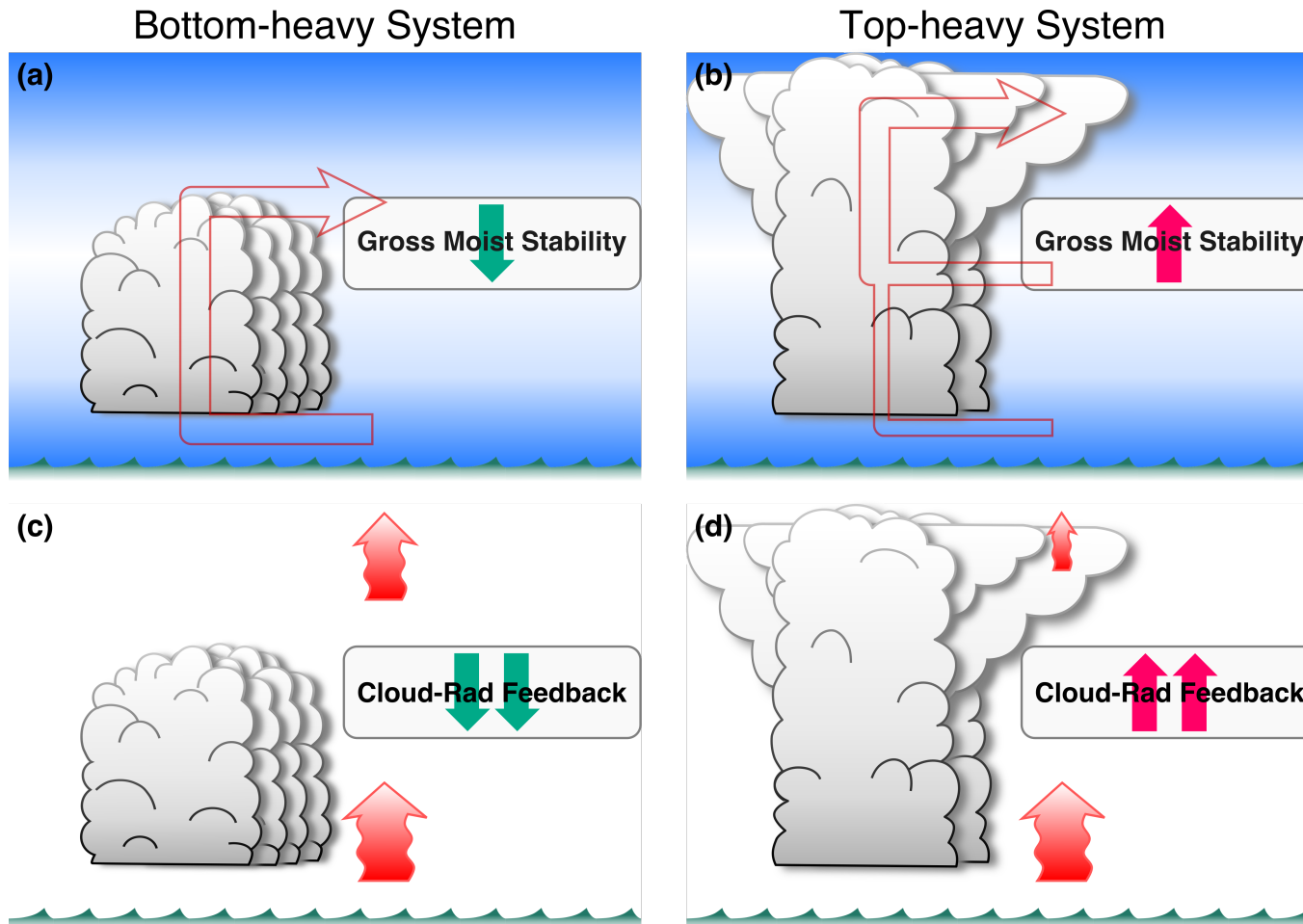
$$RH = \langle q \rangle / \langle q_s \rangle$$

Suppressed MJO

Adames (2017)

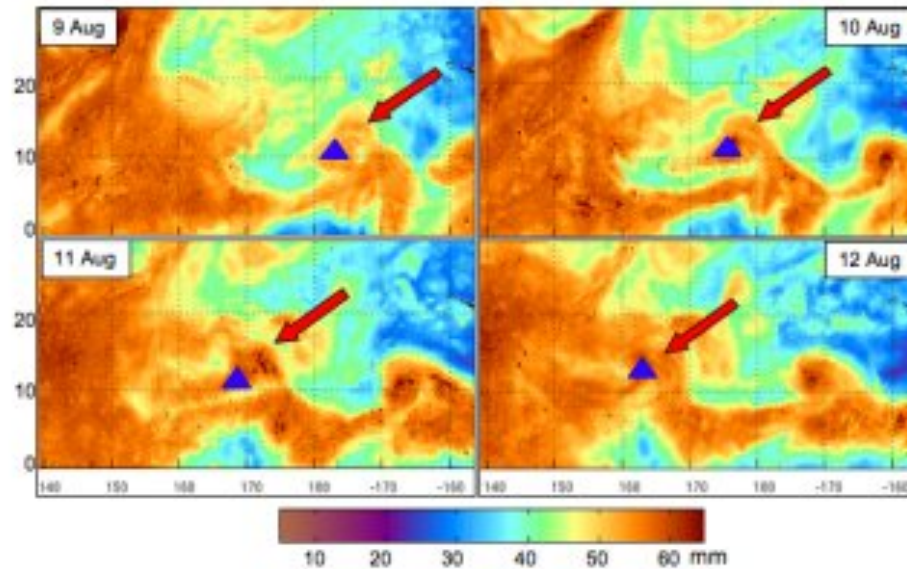


# Radiation and GMS in the MJO



# TCs as moisture modes.

Montgomery et al. (2010, *Atmos. Chem. Phys.* **10**, 9879-



**Fig. 6.** Four-day time series of CIMMS Morphed TPW valid at 12:00 Z each day. Red arrows point towards the cat's eye region of the easterly wave (i.e., the wave pouch), which is hypothesized by DMW09 to be an area of increased moisture in the low to mid-troposphere and which helps protect the proto-vortex from lateral intrusions of dry air. The blue triangles indicate the position of the sweet spot as diagnosed in the GFS FNL at the 925 hPa level.

A current theory of tropical cyclogenesis, known as "marsupial" (Dunkerton et al. 2009, *Atmos. Chem. Phys.*) holds that the incipient cyclone is essentially a blob of moist air that needs to be protected by closed streamlines against dry air advection.