

1 **CMIP5 Projected Changes in the Annual Cycle of Precipitation**  
2 **in Monsoon Regions**

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## ABSTRACT

7  
8 While projected total precipitation changes in monsoon regions are uncertain, twenty first  
9 century climate model projections show an amplification of the annual cycle in tropical pre-  
10 cipitation with increased strength in both wet and dry seasons. New analysis of World Cli-  
11 mate Research Program (WCRP) Coupled Model Intercomparison Project phase 5 (CMIP5)  
12 data are mostly consistent with those from CMIP3, and indicate reductions in early and  
13 increases in late summer precipitation in multi-model ensemble 21<sup>st</sup> century climate pro-  
14 jections for monsoon regions. The precipitation changes in the annual cycle are linked with  
15 two competing mechanisms in a warmer world. In winter, drier conditions prevail due to  
16 warmer upper troposphere, increased stability (especially over land), and increased moisture  
17 divergence associated with the descending branch of the Hadley circulation (the remote ef-  
18 fect). During the summer wet season, enhanced evaporation and decreased stability due to  
19 increased surface moist static energy (MSE) lead to increased precipitation (the local effect).  
20 However, during spring and early summer, even after tropospheric stability decreases due  
21 to an increase in low-level MSE, precipitation reductions continue for a short period. Ex-  
22 amination of the moisture budget through the annual cycle shows that increased divergence  
23 and reduced evaporation characterize the transition from dry to wet seasons. Surface mois-  
24 ture, which is essential for the local mechanism to engage, is therefore lacking at the end  
25 of a warmer and drier dry season. Thus both remote and local mechanisms act to create  
26 an enhanced early summer convective barrier which helps to reduce early season rainfall;  
27 however, once sufficient moisture is imported, decreases in tropospheric stability result in  
28 precipitation increases.

29 These changes are particularly apparent in the American and African monsoons. Im-  
30 portant exceptions are the Asian and Southeast Asian monsoon regions, where evaporation  
31 is abundant through the dry season, divergence is unchanged, and precipitation is does not  
32 decrease in spring and early summer. These regionally variable responses and an overall  
33 weaker northern hemisphere response compared to CMIP3 may be due to factors other than

34 greenhouse gas forcing in the RCP8.5 scenario. Nonetheless, while the models continue to  
35 exhibit substantial biases in tropical precipitation, there is more model agreement in the an-  
36 nual cycle changes than in annual or warm season means. These results further demonstrate  
37 that the role of local evaporation and boundary layer moisture in the land-based monsoon  
38 regions is critical in determining the regional transition season response. Changes in the  
39 global monsoon precipitation have been difficult to evaluate both in observations and pro-  
40 jections. As described in our results, viewing monsoons from their inherent ties to the annual  
41 cycle could help to fingerprint changes as they evolve.

## 42 1. Introduction

43 This analysis focuses on 21st century projections of the annual cycle of tropical precip-  
44 itation in monsoon regions (e.g. Wang and Ding 2006; Trenberth et al. 2000). Seasonally  
45 wet/dry monsoons result from directional shifts in winds and moisture transport due to the  
46 longer response time of oceans versus land to the annual cycle of solar heating (Chao and  
47 Chen 2001; Webster et al. 1998). The global monsoon has been defined as a seasonally  
48 varying, persistent overturning of the atmosphere throughout the global tropics and sub-  
49 tropics with the annual cycle of solar heating as its primary driver. Regional monsoons  
50 are embedded in this large scale overturning and connections between regions result from  
51 the requirement of mass conservation (Trenberth et al. 2000). There are ongoing efforts to  
52 examine coherent responses of the global monsoon to internal and external forcings which  
53 evolve on interannual (ENSO) (Wang et al. 2012) and longer (greenhouse gases) time scales  
54 in recent observations and climate model projections (Fasullo 2012).

55 Under radiative forcing scenarios dominated by increasing greenhouse gas concentrations,  
56 land-sea thermal contrasts are expected to increase. The increase is in part due to differ-  
57 ences in thermal inertia between land and ocean, but largely because oceans divert more of  
58 the anomalous incoming energy into latent heat rather than increasing surface temperature  
59 (Sutton et al. 2007). Where moisture is abundant (i.e., over oceans) warmer surface temper-  
60 atures lead to increased evaporation and robust increases in atmospheric water vapor due to  
61 the nonlinear Clausius-Clapeyron relationship, which are associated with weakening of the  
62 tropical (Hadley, Walker and monsoon) circulations (Held and Soden 2006).

63 Despite the weakening of tropical circulations, the World Climate Research Programme  
64 (WCRP) 3rd Coupled Model Intercomparison Project (CMIP3) multi-model climate projec-  
65 tions suggested a tendency towards increased monsoon precipitation and increased low-level  
66 moisture convergence (Christensen et al. 2007). Precipitation increases have been docu-  
67 mented in CMIP3 projections for Australia (Meehl et al. 2007) and South Asia (Douville  
68 et al. 2000). In South Asia a 5-25% increase in precipitation was found in the models that



69 best represented the interannual variability and teleconnections associated with the mon-  
70 soon (Annamalai et al. 2007). However, the North American monsoon region is expected to  
71 become drier in the annual mean (Seager et al. 2007), and much uncertainty exists for the  
72 future of the West African and South American monsoons (e.g. Giannini et al. 2008; Vera  
73 et al. 2006). The response of global monsoons to greenhouse warming is complicated by a  
74 number of factors, including the dynamical weakening of the tropical circulation (Tanaka  
75 et al. 2005; Vecchi and Soden 2007), related changes in the tropical tropospheric stability  
76 (Chou et al. 2001; Neelin et al. 2003), and the regional effects of aerosols and black carbon  
77 (Lau et al. 2006; Meehl et al. 2008).

78 Most previous studies have focused on the fully established wet and dry seasons (Dec-  
79 Feb, Jun-Aug). However, studies that examine the full annual cycle indicate a redistribu-  
80 tion of precipitation within the rainy season. For example, the South American and West  
81 African monsoons both exhibit drying in spring and increased precipitation during summer  
82 in projections (Seth et al. 2009; Biasutti and Sobel 2009; Biasutti et al. 2009). Despite the  
83 disagreement among climate models regarding projections of annual or warm season mean  
84 Sahel precipitation in the 21st century (e.g. Giannini et al. 2008), there is near consensus  
85 regarding a weakening of early and strengthening of late season rainfall (Biasutti and Sobel  
86 2009). Models indicate a similar reduction in spring and an increase in summer precipita-  
87 tion in the core region of the South American monsoon, which is associated with insufficient  
88 low level moisture convergence in spring and a substantial increase in convergence during  
89 summer (Seth et al. 2009).

90 Our study of monsoons based on CMIP3 data found a redistribution of precipitation  
91 from early to late summer in five of seven monsoon regions globally (Seth et al. 2011, here-  
92 after, SRRGC). The analysis of twentieth century (20C) and SRES A2 scenario experiments  
93 employed a moist static energy (*MSE*) framework, which exploits the role of evaporation  
94 in both energy and water budgets (Neelin and Held 1987). Based on Giannini (2010), two  
95 competing mechanisms were examined, involving the differing responses of simulated pre-

106 cipation to greenhouse gas forcing: *remote* (or top down) and *local* (or bottom up). A  
107 schematic of these mechanisms is provided in Fig. 1. In the *remote* mechanism, large scale  
108 tropospheric warming controls vertical stability in the global tropics (Sobel et al. 2002; Chi-  
109 ang and Sobel 2002), and reduces continental precipitation in those regions that cannot meet  
110 the increasing demand for near-surface moist static energy (Chou et al. 2001; Neelin et al.  
111 2003). In this case, the precipitation reduction is reinforced by a consequent reduction in  
112 evaporation due to decreased precipitation recycling. In the second, *local* mechanism, the  
113 land surface response to anthropogenically enhanced terrestrial radiative forcing dominates.  
114 Where surface moisture is sufficient, increased evaporation leads to near-surface increases  
115 in moist static energy, instability, and precipitation. The increase in precipitation is then  
116 reinforced by enhanced moisture convergence. Where moisture is insufficient, increased ter-  
117 restrial radiation is balanced by increased sensible heat flux. In our CMIP3 analysis, the  
118 *remote* mechanism dominates during the dry season and the *local* mechanism dominates  
119 during the rainy season. During the transition from dry to wet (i.e., in spring) the our re-  
120 sults suggested that insufficient moisture availability at the end of an intensified dry season  
121 would favor an extension of the top down mechanism and delay the hand off to bottom up  
122 destabilization, resulting in diminished early season rainfall. A similar mechanism has been  
suggested, to explain CMIP3 projected mean summer precipitation changes in monsoon re-  
gions (Fasullo 2012). In this study increased low level moisture convergence was required as  
surface temperatures increase and near surface relative humidity decreases over land, which  
is consistent with their analysis of recent observations.

117 The above mechanisms have focused on understanding the land response in monsoon  
118 regions. Meanwhile, possible causes for the changes in the global tropical annual cycle are also  
119 being investigated. Dwyer et al. (2012) have shown that a projected delay in high latitude  
120 SST, due to reductions in sea ice, is not likely to be a cause of the changes in the tropical  
121 annual cycle. However, increases in the amplitude of low latitude SST could play a role  
122 in delaying monsoon precipitation (Dwyer, 2012, personal communication). An alternative

123 possibility is that a poleward shift in mid-latitude storm tracks is largely responsible for the  
124 springtime weakening of rainfall in monsoon regions (Scheff and Frierson 2012a,b).

125 In the present study a new suite of experiments from the WCRP 5th Coupled Model  
126 Intercomparison Project (CMIP5) archive (Taylor et al. 2011) are analyzed to further explore  
127 the response of precipitation in monsoon regions to radiative forcings in the 21st century. The  
128 analysis is extended beyond that of SRRGC to evaluate the role of changes in the divergence  
129 of moisture fluxes in delaying the development of the *local* mechanism in spring. This analysis  
130 is performed through the annual cycle, thus permitting a view of both transition seasons. We  
131 show that, despite model uncertainties in annual precipitation, a shift in the annual cycle  
132 is continues to be discernable in the CMIP5 projections and is part of a global response  
133 to greenhouse forcing. However, there are notable changes from the CMIP3 results. The  
134 remainder of this paper is structured as follows: the coupled climate models, experiments and  
135 observations employed in this research are described in Section 2. In Section 3, results are  
136 presented from the CMIP5 database for present day and future periods using the Historical  
137 and RCP8.5 experiments. Discussion of results and analysis of additional experiments is  
138 provided in Section 4, with a summary and conclusions in Section 5.

## 139 **2. Methods**

140 This analysis employs multi-model ensemble experiments from the WCRP CMIP5 dataset  
141 (Taylor et al. 2011). Historical simulations (hereafter, Hist) are analyzed and compared with  
142 observed estimates from the Climate Prediction Center (CPC) Merged Analysis of Precipi-  
143 tation (CMAP) version 2 (Xie and Arkin 1996), which employes satellite and gauge data in  
144 a globally gridded product for the recent period (1981-2005).

145 The 21st century experiments in CMIP5 are based on representative concentration path-  
146 ways (RCP's) (van Vuuren et al. 2011). We analyze the higher concentration scenario in  
147 which the net radiative forcing in the year 2100 is  $8.5 W/m^2$  and focus on 30-year periods for

148 the historical (Hist, 1971-2000) and late 21st century (RCP8.5, 2071-2100). Note that the  
149 RCP8.5 scenario yields a larger global mean temperature response (+0.7 °C) compared to  
150 the SRES A2 scenario CMIP3 results (Rogelj et al. 2012). In addition, the CMIP5 models  
151 have different implementations of the effects of short-lived radiatively active trace gases and  
152 aerosols (Lamarque et al. 2011), which further complicate comparisons between CMIP3 and  
153 CMIP5 results. Seventeen models, identified in Table 1, comprise the ensemble for which  
154 monthly precipitation, moist static energy, divergence and evaporation are examined for the  
155 Hist and RCP8.5 experiments. In addition, the preindustrial Control (piCont) and the tran-  
156 sient 1% CO<sub>2</sub> (1%CO<sub>2</sub>), are examined in order to isolate and simplify the climate response  
157 to greenhouse gas radiative forcing. Data from the piCont and 1%CO<sub>2</sub> experiments are  
158 limited to an eleven-model subset (identified by stars in Table 1).

159 While comparison with the CMIP3 results of SRRGC cannot be made directly due to the  
160 many differences in the models and scenarios, the monsoon regions are defined similarly for  
161 some degree of consistency, as follows: North America (NAM, 115-102.5W, 20-35N), South  
162 America (SAM, 60-40W, 10-25S), West Africa (Waf, 10W-10E, 10-25N), South Africa (SAf,  
163 20-40E, 10-25S), South Asia (SAsia, 65-85E, 10-25N), Southeast Asia (SEA, 100-120E, 10-  
164 25N), and Australia (Aus, 130-150E, 10-25S). These regions are identified as boxes on the  
165 map in Fig. 2. Precipitation results are shown as percent differences to allow for comparison  
166 with SRRGC where possible. However, in the moisture budget discussion all variables are  
167 shown in mm/day. All model data have been regridded to the 64 x 128 (T42) resolution.

### 168 **3. Results**

169 In this section the following questions are posed: (1) Do the CMIP5 models show a  
170 response in the annual cycle similar to CMIP3? Given the stronger radiative forcing in  
171 RCP8.5 compared to that in SRES A2, the expectation would be for a similar, if not stronger,  
172 response. (2) How do the CMIP5 models simulate the annual cycle in the monsoon regions

173 for the recent observed record? (3) If the CMIP5 models show a redistribution from early to  
174 late summer, is the response embedded in a coherent global scale change in the annual cycle?  
175 (4) Why do the regional monsoons respond as they do? Does the mechanism suggested by  
176 SRRGC hold in these new results, and what role is played by moisture transport?

177 The projected regional precipitation changes are presented in Fig. 2 which shows a map  
178 of the early summer (June/November) ensemble mean percent differences in the north-  
179 ern/southern hemisphere. Also shown are precipitation differences (mm/day, masked for  
180 areas with  $< 0.5$  mm/day) in bar plots for each region, with individual model responses  
181 shown by month in the annual cycle. This map illustrates the global scale of the subtrop-  
182 ical spring response, with decreases in rainfall projected throughout the subtropics ( $10\text{-}30^\circ$   
183 poleward of the equator). Also noticeable are the different responses of the Asian monsoons  
184 compared to the monsoons in the Americas and Africa. The bar plots provide an indica-  
185 tion of the agreement among the models regarding the sign and magnitude of precipitation  
186 change by month. For the American and African monsoons, while the average of the rainy  
187 season may show little or no change in precipitation (and model disagreement on the sign  
188 of the change), the annual cycle presents a stronger model agreement in reduction of early  
189 and increase in late season rainfall. The models also agree regarding the projected precip-  
190 itation increases in the South and Southeast Asian monsoons. The Australian monsoon  
191 precipitation response remains uncertain through most of the annual cycle.

### 192 *Evaluation of simulated annual cycle*

193 Because the CMIP5 dataset is new, the multi-model ensemble precipitation annual cycle  
194 is briefly evaluated. The observed (CMAP) annual cycle is shown in Fig. 3 (black contours  
195 with thicker contours beginning at 5 mm/day), as a latitude vs time Hovmöller plot of  
196 with the zonal mean averaged precipitation for the longitudes in each monsoon region. The  
197 latitude axis provides a view of the poleward migration of rainfall during the warm season.  
198 The monsoons in the northern hemisphere exhibit peak rainfall and poleward extension in

199 July and August, and those in the southern hemisphere during January and February. The  
200 multi-model ensemble mean bias (difference from CMAP) is shown in color, and it is clear  
201 that the CMIP5 suite of models still has problems representing the monsoon rainfall: the  
202 models are drier than observed in the early rainy seasons of South America and South Asia  
203 and wetter in the late rainy season. Through much of the rainy seasons in Southeast Asia  
204 and Australia equatorward of  $20^\circ$  latitude they are also too dry. The precipitation in West  
205 Africa is overestimated, except in July and August on the northern margin of the monsoon,  
206 where the models exhibit a modest dry bias. In North America and South Africa the models  
207 overestimate rainfall. Although spring dry biases are evident in several regions, the structure  
208 of the errors by latitude and month appears to be unique to each region without consistency  
209 between regions. Results from analysis of projections will be considered in the context of  
210 these model errors in Section 4.

#### 211 *Global scale changes in the tropical annual cycle*

212 In the CMIP3 projections of future climate change under a high greenhouse gas forcing  
213 scenario (A2), a robust large-scale signal emerged in tropical and subtropical precipitation.  
214 Summer hemisphere wet seasons and winter hemisphere dry seasons simultaneously strength-  
215 ened, creating an asymmetric inter-hemispheric response (Tan et al. 2008), with impacts in  
216 various characteristics of the summer tropical climate response (Sobel and Camargo 2010).  
217 In the global monsoon, this shift was visible as an extension of the dry season into spring,  
218 and an enhancement of late summer precipitation (see Fig. 2(a,b) in SRRGC). Here we see  
219 a similar response in the CMIP5 models, as shown in the top panels in Fig. 4 which present  
220 the annual cycle of zonal mean precipitation in the tropics (land and ocean) for the Histori-  
221 cal experiments and changes in the RCP8.5 scenario. The global precipitation annual cycle  
222 (black contours, with thicker contours beginning at 5 mm/day) shows the tropical rainfall  
223 band migrating poleward in the summer hemisphere (DJF, southern and JJA, northern).  
224 The intensification of both wet and dry seasons is apparent in the projected changes (colors).

225 During the transition from dry to wet season, there is a reduction of precipitation (4a). This  
226 suggests that in the global monsoon, there is a redistribution of precipitation from early to  
227 late rainy season.

228 If we consider land only (4b), the precipitation reduction is comparatively weaker in  
229 its extension into the northern summer months, but the opposite is true for the southern  
230 hemisphere, which shows a stronger response over land compared to the global mean. This  
231 suggests that the southern hemisphere continental monsoon regions should exhibit a stronger  
232 early season drying, while those in the northern hemisphere may not. Nonetheless, the late  
233 rainy seasons (Feb–Mar, Aug–Sep) show clear strengthening of summer rainfall in both  
234 hemispheres. Compared to CMIP3, the northern hemisphere response in CMIP5 over land  
235 is weaker, as in CMIP3 both the northern and southern hemispheres displayed a more  
236 noticeable extension of the dry season in the land-only averages compared to global averages.  
237 This weaker northern hemisphere response will be examined further in Section 4 through  
238 the use of the CO2-only experiments.

239 The *remote* and *local* mechanisms are examined using changes in the vertical gradient  
240 of moist static energy, defined as  $MSE = DSE + Lq$ . The dry static energy is defined  
241 as  $DSE = c_p T + gZ$ , where  $c_p$  is the specific heat at constant pressure,  $T$  is the layer  
242 temperature,  $g$  is gravity,  $Z$  is the geopotential height,  $L$  is the latent heat of evaporation,  
243 and  $q$  is the specific humidity. Recall that the remote mechanism is related to increased  
244 stability that results from a warmer tropical troposphere. The gross stability of the tropical  
245 tropospheric is the difference between  $MSE$  in the poleward flow at upper levels and the  
246 low-level equatorward flow (Held 2001). Thus, as a measure of the free tropospheric stability,  
247 we examine changes in the vertical gradient of moist static energy,  $vMSE$ , which is defined  
248  $vMSE = MSE_{200} - MSE_{850}$ .

249 Fig. 4 (c,d) presents the annual cycle of zonal mean  $vMSE$  (4c) and for land only (??),  
250 where positive (negative) changes in  $vMSE$  indicate greater stability (instability), which  
251 would tend to inhibit (enhance) precipitation in future projections. Precipitation % dif-

252 ferences (RCP8.5 - Hist) are also shown in Fig. 4 (c,d) as black contours. Despite future  
253 projected increases in surface temperatures and humidity, changes in tropospheric stability  
254 are not consistent throughout the year in the subtropics. In winter, the  $vMSE$  increases,  
255 indicating greater stability to convection, and in summer it becomes more negative (*i.e.*,  
256 less stable). Fig. 4(d) also shows that during the spring transition from dry to wet seasons  
257 (Aug-Oct and Mar-May), the increase in  $vMSE$  persists, indicating increased stability to  
258 convection over land. Therefore, the projected springtime drying is controlled at least in  
259 part by the *remote* (top down) mechanism.

260 If we examine Fig. 4(d) closely, the wintertime decrease in precipitation continues into  
261 November (southern hemisphere spring), even after  $vMSE$  indicates a transition from a  
262 more stable to a less stable troposphere. The extension of the drying into early summer  
263 was examined in SRRGC by separating  $vMSE$  into its temperature and moisture terms  
264 and was shown that the early summer increase in low level moist static energy resulted  
265 from the temperature term. Only after the moisture term increased in early summer did  
266 the precipitation change reverse from drier to wetter conditions. In the CMIP5 simulations,  
267 similar changes in temperature and moisture terms occur over the southern hemisphere (not  
268 shown). However, again the northern hemisphere response in the CMIP5 models differs from  
269 CMIP3. The lag between the decrease in  $vMSE$  and the increase in precipitation in the  
270 northern hemisphere is smaller or even arguably absent in CMIP5 compared to CMIP3. We  
271 will investigate further the northern hemisphere reduction in the global signal of springtime  
272 drying over land by examining additional experiments in Section 4. The next question is:  
273 what is the regional response in each monsoon?

#### 274 *Annual cycle changes in monsoon regions*

275 To analyze the regional monsoon responses, the CMIP5 ensemble mean changes in the  
276 zonal mean annual cycles of precipitation (averaged over longitudes of each monsoon region)  
277 are shown in Fig. 5 (c-i, see Methods for region definitions which are shown in Fig. 2). Here



278 the regional precipitation is masked for land only grid points and the simulated climatology  
279 (black contours with thicker lines beginning at 5 mm/day) shows the poleward migration of  
280 precipitation during the warm season (JJA, northern and DJF, southern). An intensification  
281 of the dry season is seen in all of the regional monsoons. Early summer decreases and late  
282 summer increases in precipitation are evident in the American and African monsoon regions  
283 in both hemispheres. However, South and Southeast Asia show little change during spring  
284 and much of the rainy seasons. Compared with CMIP3 (SRRGC), the CMIP5 results indicate  
285 stronger responses in the Americas and Africa (expected due to the stronger radiative forcing  
286 in the RCP8.5 scenario) but a weaker response in Southeast Asia.

287 The remote and local mechanisms are further investigated for each region, using our  
288 measure of changes in vertical stability,  $vMSE$ . Fig. 6 shows projected changes in the zonal  
289 mean annual cycle of  $vMSE$ , with precipitation changes given in mm/day (black contours).  
290 All monsoon regions exhibit increased vertical stability (remote mechanism) during the dry  
291 season and increased instability (local mechanism) during the rainy season (not shown). In  
292 addition, the spring drying extends beyond the reversal of  $vMSE$  to an increased instability  
293 in the transition from dry to wet seasons. Previous results showed that where the precip-  
294 itation decreases continue beyond the transition to a decreased stability (according to the  
295  $vMSE$  measure) the low level increases in MSE were due largely to increases in tempera-  
296 ture rather than moisture. At the end of the dry season, local evaporation is likely to be  
297 less important than atmospheric moisture transport into the region. Because the transition  
298 from dry to wet seasons depends upon atmospheric moisture transport, our next step is to  
299 examine projected changes in the divergence of moisture fluxes.

### 300 *Evaluation of moisture budget*

301 In monsoon regions, the transition from the dry to the wet season occurs in three phases.  
302 First, where surface moisture is available, available potential energy increases locally due to  
303 increasing latent heat fluxes (initiation). Second, a transition in the large-scale circulation

304 leads to net moisture convergence (development). Finally, in the mature onset phase, an  
305 upper-tropospheric anti-cyclonic circulation continues to spin up until it reaches its full  
306 strength (Li and Fu 2004). The monsoon can therefore be delayed due to lower latent  
307 heat fluxes associated with negative springtime soil moisture anomalies (Collini et al. 2008;  
308 Small 2001). Once the rainy season begins, the local land surface influence becomes less  
309 important (Li and Fu 2004), although land wetness anomalies can also influence rainfall  
310 during the monsoon season (Taylor et al. 2010; Grimm et al. 2007). To investigate changes  
311 in the atmospheric moisture budget, we examine its components: precipitation, moisture  
312 flux divergence, and evaporation, all in units of mm/day, in the global tropics as well as in  
313 the regional monsoons.

314 Ensemble mean changes in the global zonal mean annual cycle of moisture flux divergence  
315 are shown in Fig. 7(c,d) with the precipitation (now in mm/day for comparison with diver-  
316 gence (7a,b) and evaporation (7e,f). The simulated 1981-2005 climatologies (black contours)  
317 are also given for each variable and illustrate the model seasonal evolution of moisture in  
318 the global monsoon. The tropical rainfall band migrates seasonally, as well as the moisture  
319 convergence (dashed lines in 7c,d) which follows the maximum in solar heating. The global  
320 zonal mean evaporation is greater than 3 mm/day with a weak annual cycle, however, over  
321 land evaporation with values greater than 3 mm/day is confined to the migrating band of  
322 tropical rainfall and convergence, *i.e.*, the global monsoon.

323 Comparing precipitation to moisture divergence changes reveals that globally the projec-  
324 tions indicate increased convergence in regions of climatological convergence and increased  
325 divergence in regions of climatological divergence, consistent with many earlier results (e.g.,  
326 Chou and Neelin 2004). Over southern hemisphere land areas, increased divergence and  
327 decreased evaporation (7d,f) are coincident with spring and early summer (Oct/Nov) pre-  
328 cipitation decreases (7b). Northern hemisphere changes are less noticeable and not significant  
329 in the CMIP5 results.

330 Figs. 8, 9 and 10 show the changes in moisture flux divergence, evaporation and near sur-

331 face relative humidity in the individual monsoon regions, to be compared with precipitation  
332 changes in Fig. 5. The simulated climatological values of each variable are given as black  
333 contours. In addition, the maps in Figs. 11 and 12 show the early (June in northern and  
334 November in southern hemispheres) and late (September, northern and February, southern  
335 hemispheres) summer changes in precipitation, moisture flux divergence and evaporation.  
336 Here we discuss each region and follow by summarizing the common responses.

337 In North America precipitation decreases year round, except for a short period of pro-  
338 jected increase in the late rainy season (Sep–Oct). The maxima in precipitation decreases  
339 (increases) are associated with maxima in moisture flux divergence increases (decreases), and  
340 there is a weaker increase in convergence in April and May that does not yield an increase  
341 in rainfall. Evaporation rates are unchanged after the rainy season (Aug–Dec) but then  
342 decrease through July with a maximum in April and May. This suggests that a reduction  
343 in moisture transport is important for the decrease in early summer precipitation, but de-  
344 creased local evaporation plays a role throughout the spring and early summer by limiting  
345 the increase of boundary layer moisture, which can be seen as decreases in near surface rela-  
346 tive humidity. Indeed, the map views in Figs. 11 and 12 show that in June evaporation plays  
347 a dominant role in reducing boundary layer humidity: evaporation is reduced throughout  
348 the region, while changes in moisture divergence are positive in the south and negative in the  
349 north. Thus, the North American monsoon is characterized by increased surface aridity, and  
350 requires additional moisture transport to meet an increased need for moisture in a warmer  
351 world.

352 The West African monsoon does not exhibit an intensified dry season, but projections  
353 do indicate a reduction in spring and early summer (May–Jul) with increased rainfall in  
354 late summer (Sep–Nov). The precipitation changes are closely associated with changes in  
355 moisture flux divergence where the maxima in divergence increases (decreases) are aligned  
356 with precipitation decreases (increases). Evaporation changes are negligible much of the  
357 year, but do show increases at the end of the rainy season (Sep–Nov) and a slight decrease in

358 April and May equatorward of  $10^{\circ}\text{N}$ . The increased late season rainfall yields increases in near  
359 surface relative humidity (Sep–Nov), which then does not show much change from present  
360 until the early rainy season, when decreased convergence and rainfall result in lower relative  
361 humidity. The early season reduction of rainfall in the West African region, then, appears to  
362 result mostly from increased moisture flux divergence, with decreases in early season, with  
363 the local evaporation playing a small, generally less important role. This can be seen also  
364 in Fig. 11, while Fig. 12 shows the increase in late summer rainfall being associated with  
365 increases in both evaporation and moisture convergence.

366 In South America precipitation decreases are projected in both spring (Sep–Nov) and fall  
367 (Mar–Apr) equatorward of  $25^{\circ}\text{S}$ . Coincident with these reductions are increases in rainfall  
368 between  $25\text{--}35^{\circ}\text{S}$ , which have been shown to result from the poleward expansion of the  
369 South Atlantic subtropical anti-cyclone and the South Atlantic Convergence Zone (SACZ)  
370 (Seth et al. 2011). During the peak rainy season (Dec–Feb) rainfall increases in the CMIP5  
371 projections. The rainfall changes are again closely aligned with changes in moisture flux  
372 divergence, however in spring, the reduction in moisture due to divergence is smaller than  
373 that due to reduced evaporation. Evaporation rates increase slightly towards the end of the  
374 rainy season, and then decline through the dry season with the maximum reduction occurring  
375 during the transition from dry to wet seasons (Sep–Nov). Near surface relative humidity is  
376 lower throughout the year, presumably due to warmer temperatures, with a sharp decrease  
377 in early rainy season (Sep–Nov) largely as a consequence of reduced evaporation with the  
378 moisture convergence having a smaller effect. The early season reduction in rainfall in South  
379 America results from a combination of reduced evaporation and reduced moisture transport  
380 into the region, while the early dry season reduction is largely due to increased moisture  
381 flux divergence. Figs. 11 and 12 are consistent with this picture and further suggest that  
382 evaporation and moisture transport changes contribute equally to drying in early summer. In  
383 late summer the local mechanism works effectively with increased evaporation and moisture  
384 convergence to yield excess rainfall.

385 The monsoon in Southern Africa responds similarly to that in South America in a number  
386 of ways. Precipitation decreases in spring (Sep–Nov) and increases in summer (Jan–Mar), as  
387 a consequence of changes in moisture flux divergence. Here too, reduced evaporation rates in  
388 spring (Sep–Nov) are comparable in magnitude to reduced moisture transport convergence  
389 (Fig. 11), which combine to amplify the reduction in boundary layer humidity as seen in the  
390 near surface relative humidity. Thus, the monsoon region in southern Africa is characterized  
391 by overall increased surface aridity, with insufficient local moisture at end of dry season,  
392 which requires moisture transport and additional convergence. Once this requirement is met  
393 increased convergence and rainfall occur (Fig. 12), but do not penetrate poleward of 20°S,  
394 where drier conditions are apparent, with reduced evaporation through the annual cycle.

395 The annual cycle of rainfall in Southeast Asia shows small precipitation decreases during  
396 dry season into Mar–Apr, followed by increases through much of the rainy season (May–Nov).  
397 The rainfall increases can be explained in large part by coincident increases in moisture  
398 convergence. However, unlike the monsoon regions discussed above, in Southeast Asia,  
399 the evaporation increases dominate through the rainy season (Jun–Dec), with no decreases  
400 apparent in spring (see also Figs. 11 and 12). While near surface relative humidity does  
401 increase due to warmer temperatures, there are no sharp increases in spring resulting from a  
402 lack of local moisture availability or due to a strong reduction in moisture convergence. In this  
403 region, then, the local mechanism can operate as usual, without limitations on early season  
404 moisture availability. Overall, despite increased divergence in winter, there is ample local  
405 evaporation to moisten the boundary layer and initiate moisture convergence, which then  
406 increases to result in more rainfall and increased recycling due to increases in evaporation  
407 during the rainy season.

408 The South Asian monsoon has similarities to the Southeast Asia monsoon. Although in-  
409 creased divergence is strong during the dry season, precipitation changes are generally small,  
410 with only some reduction in rainfall (Jan–Apr). Increases in moisture convergence are seen  
411 beginning in July and extend through November, which can explain much of the increased

412 rainfall seen during this period. Evaporation rates in the region are higher especially during  
413 the late rainy season, after rainfall has increased (Fig. 12), but they remain higher through  
414 much of the dry season. The lack of reduction in evaporation during winter and spring  
415 (Fig. 11) and no reduction in relative humidity both indicate that sufficient local moisture  
416 is available for the local mechanism to commence.

417 The Australian region is remarkable for the lack of overall changes projected in pre-  
418 cipitation, moisture divergence and evaporation, though relative humidity near the surface  
419 increases due to warming temperatures. This lack of change is in contrast with the increases  
420 in rainfall projected from CMIP3 in the 4th Assessment Report (Meehl et al. 2007), and will  
421 be addressed further in the next section.

422 The four regions that exhibit the springtime drying (American and African monsoons) in  
423 the zonal mean annual cycles suggest that decreases in both moisture convergence and evap-  
424 oration are responsible for the drying. Although the near surface relative humidity decreases  
425 through much of the year, the largest decreases are seen in spring, coincident with decreases  
426 in evaporation and convergence. Over South America and South Africa, the decreases in  
427 early summer evaporation and moisture convergence are similar in magnitude, suggesting  
428 that both play an important role in reducing moisture availability for the local mechanism  
429 to take effect. Over North America, the decrease in evaporation extends over the entire  
430 spring, while moisture convergence decreases in winter, increases briefly in spring, and then  
431 decreases in the early monsoon period. West Africa shows a stronger decrease in moisture  
432 convergence than evaporation. Interestingly, the two regions that do not show spring drying  
433 - Southeast Asia and South Asia - do show some decreases in moisture convergence in but  
434 no decreases in evaporation.

435 These results suggest that the effects of the remote mechanism - a reduction in winter  
436 precipitation - lead to an overall drier land surface and reduced evaporation in spring. Despite  
437 more energy being available to evaporate water in the future (and therefore feed back to  
438 precipitation via the local mechanism), the lack of surface moisture means that the local

439 mechanism cannot be activated as it normally would to increase precipitation. This is also  
440 consistent with a reduction of near surface relative humidity due to increasing temperatures,  
441 with a maximum reduction in spring. Once the moisture transport into the region increases  
442 (i.e. moisture flux divergence decreases) the increase in low level moist static energy is  
443 sufficient for the local mechanism to initiate. Further, the increasing moisture transport and  
444 warmer temperatures result in greater rainfall and increased recycling through evaporation  
445 (e.g. Giannini 2010).

446 The results also suggest an important role for moisture availability during the transition  
447 from dry to wet seasons. In the regions where boundary layer (and surface) moisture re-  
448 mains abundant, there is no decrease in early season rainfall, yet those regions in which the  
449 boundary layer (and surface) "dries out" during winter, the transition to wet season requires  
450 a build-up of boundary layer moisture which relies on increased moisture transport.

## 451 **4. Discussion Projections - 1%CO<sub>2</sub>**

452 The CMIP5 results thus far suggest that the precipitation annual cycle response of the  
453 American and African monsoons is similar to those seen in CMIP3, with a redistribution of  
454 rainfall from early to late summer. However, the Southeast Asian monsoon shows a weaker  
455 response, i.e., less drying in early summer in CMIP5, and the global response in the Northern  
456 Hemisphere is also reduced. Thus, we return to the differences between CMIP5 and CMIP3  
457 global tropical precipitation changes in northern hemisphere early summer.

458 Recall from the discussion of Fig. 4 (b,d) that the results of SRRGC indicated a stronger  
459 drying response over land than the global mean in both hemispheres. However, the present  
460 CMIP5 results do not show a stronger response over land in the northern hemisphere. Al-  
461 though the RCP8.5 scenario achieves a higher radiative forcing in the year 2100 ( $8.5 W/m^2$ )  
462 than did the SRES A2 scenario which was analyzed for CMIP3, the new scenario incor-  
463 porates reductions in several aerosol species (including sulfate aerosols, black carbon and

464 organic carbon) during the 21st century. The reductions are largest over Asia and Africa,  
465 and their effects can complicate the climatic response regionally (Lamarque et al. 2011; Vil-  
466 larini and Vecchi 2012). In addition, the A2 scenario employed in CMIP3 did not include  
467 as many aerosol species and the models simulated primarily their direct radiative effects.  
468 Therefore, in order to simplify and isolate the response to greenhouse gas forcing in the  
469 CMIP5 model suite we examine the 1%CO<sub>2</sub> experiment using the piCont as the control  
470 for the 11-models available. In these idealized experiments with the CMIP5 models, if the  
471 northern hemisphere land response is similar to that seen in the CMIP3, then there is some  
472 basis to state that additional factors (beyond greenhouse gases) are playing a role in the  
473 reduced response in the RCP8.5 results.

474 We compare Fig. 13, which shows the global 1%CO<sub>2</sub> minus PiCont precipitation and  
475 *vMSE* to Fig. 4 (RCP8.5 minus Hist). Indeed, the idealized experiments results are similar  
476 to CMIP3, with a larger decrease in rainfall over land extending further into summer in  
477 the northern hemisphere as well as in the southern hemisphere. And as in CMIP3, the  
478 precipitation declines extend beyond the time at which the change in stability, given by  
479 *vMSE*, switches from more to less stable than present. Because these idealized CMIP5  
480 experiments (with CO<sub>2</sub> forcing only) show a response similar to that from CMIP3, we  
481 suggest that the "additional factors" incorporated in the RCP8.5 forcing are likely to be  
482 important in explaining the difference in the northern hemisphere response.

483 The regional monsoon precipitation changes in the idealized CMIP5 experiment are  
484 shown in Fig. 14. Compared with Fig. 5 the regional changes are generally consistent,  
485 but with some differences. There is a greater early summer drying and a reduced late season  
486 precipitation increase in the Southeast Asian and West African regions in this simplified  
487 greenhouse gas experiment. At the same time the South Asian monsoon shows increased  
488 rainfall earlier (in June rather than July) in the idealized case compared with the RCP8.5  
489 scenario.

490 The CMIP5 aerosol forcing reductions during the 21st century are larger than those em-



491 ployed in CMIP3 yielding fewer aerosol species in 2100, especially over Asia and Africa,  
492 where they are relatively abundant in present day. According to recent observational and  
493 modeling studies, while monsoon precipitation responses to various aerosol species can be  
494 complex, the expectation is for an increase in monsoon precipitation given a reduction in  
495 aerosol counts. If this is indeed the case, the CMIP5 results, which show increased precipita-  
496 tion in spring in the Southeast Asian and African monsoons are consistent with the changes  
497 in aerosol forcing from CMIP3 (Lamarque et al. 2011; Turner and Annamalai 2012).

498 These results further suggest that monsoon region annual cycle responses are related to  
499 the greenhouse gas forcing, and reductions in this response are likely due to the complex  
500 effects of additional factors in the RCP8.5 scenario in three of the four northern monsoons  
501 and hence, the global signal. On the other hand, rainfall anomalies in Australia do not  
502 conform to the expected pattern of early season decrease and late season increase, a result  
503 that stresses how regional and local-scale rainfall changes continue to be uncertain.

## 504 **5. Conclusions**

505 Twenty-first century projections of precipitation in a number of monsoon regions have  
506 been plagued by uncertainty due to model disagreement on even the direction of change  
507 (Giannini et al. 2008; Turner and Annamalai 2012; Vera et al. 2006). Yet several recent  
508 studies have suggested that coherent shifts can be seen within the annual cycle, which are  
509 not represented in annual or warm season averages (Biasutti and Sobel 2009; Seth et al.  
510 2011). Our analysis has examined projected changes in the annual cycle of precipitation in  
511 monsoon regions, using a moist static energy framework to evaluate competing mechanisms,  
512 which have been previously identified as being important in precipitation changes over land.

513 The two mechanisms can be described as a *local* mechanism wherein enhanced evapora-  
514 tion leads to increased low level moist static energy and decreased stability with consequent  
515 increases in precipitation as well as recycling of moisture, and a *remote* mechanism in which a

516 warmer tropical troposphere results in increased stability, and decreased precipitation. These  
517 are evaluated in time through the annual cycle, with an emphasis on the transition from dry  
518 to wet seasons. Also examined are relevant terms in the moisture budget (moisture flux diver-  
519 gence and evaporation). The *remote* (top down) mechanism controls the projected changes  
520 during winter and the *local* (bottom up) mechanism controls during summer in all monsoon  
521 regions. However, during the spring/early summer transition, reductions in boundary layer  
522 moisture availability due to decreases in evaporation and moisture convergence result in an  
523 enhanced convective barrier during early summer.

524 Our results indicate an early summer drying and late summer increase in rainfall in the  
525 American and African monsoons. This response is seen in the individual model results as  
526 well as the ensemble mean. In South and Southeast Asia, the precipitation changes do not  
527 show early summer drying, which appears to be due to abundant evaporation (and moisture  
528 availability) through the dry season. This suggests that evaporation can play an important  
529 role in the transition season: where moisture is available for evaporation, the local mechanism  
530 is activated and there is no reduction in early summer rainfall. Where there is insufficient  
531 moisture for local evaporation to initiate the local mechanism, early summer rainfall is shown  
532 to decrease. In all cases, once additional moisture is brought into the region via transport and  
533 convergence, rainfall increases compared to present due to increased atmospheric humidity  
534 resulting from warmer temperatures.

535 Analysis of idealized CMIP5 experiments which include only greenhouse gas forcing sug-  
536 gest that reductions in the early summer drying responses in Southeast Asia and West Africa  
537 are due to additional factors in the RCP8.5 scenario (i.e., the non-greenhouse gas forcings  
538 which include reductions in a number of aerosol species).

539 A number of caveats must be considered in the interpretation of these results. First, while  
540 there is more model agreement in these changes in these annual cycle changes than in annual  
541 or warm season means, it is clear that the models continue to exhibit substantial biases in  
542 tropical precipitation and in the annual cycle of rainfall in monsoon regions. In addition,

543 the responses in several monsoon regions have been modified due to additional factors in the  
544 RCP8.5 scenario compared with CMIP3 SRES A2 results. Furthermore, while these results  
545 can help to explain the mechanisms which underlie projected precipitation changes over  
546 land-based monsoon regions, clearly these changes are embedded in large scale circulation  
547 response which is important over oceans. Thus, the global drivers of these changes over land  
548 may well be oceanic (amplification of SST annual cycle in the tropics, Dwyer et al. (2012)),  
549 and there may also be some influence on the northern margins of the subtropics related to  
550 poleward shifts in mid-latitude storm tracks (Scheff and Frierson 2012a,b).

551 Nevertheless, there are important implications of these results. First, annual or warm  
552 season averages will mask the coherent signals shown here in the CMIP5 projected annual  
553 cycle of rainfall. Second, the projected changes in the annual cycle of rainfall appear to be  
554 a response to greenhouse gas forcing. And third, the role of local evaporation and boundary  
555 layer moisture in the land-based monsoon regions is critical in determining the regional  
556 transition season response. Fasullo (2012) has also made this argument in a CMIP3 analysis  
557 of the global monsoon. Changes in the global monsoon precipitation have been difficult to  
558 evaluate both in observations and projections. As described in our results, viewing monsoons  
559 from their inherent ties to the annual cycle could help to fingerprint changes as they evolve.

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## 711 **List of Tables**

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TABLE 1. CMIP5 coupled models analyzed in this study using the Historical and RCP8.5 experiments. Stars indicate models for which Pre-industrial Control and 1%CO2 experiments are employed. Atmosphere resolution is shown as the number of grids in latitude and longitude, respectively.

Modeling Center	Model	Realization	Atm Resolution
NCAR	CCSM4	r1i1p1	192 x 288
CNRM-CERFACS	CNRM-CM5	r2i1p1	128 x 256
CSIRO-BOM	CSIRO-Mk3-6*	r1i1p1	96 x 192
CCCMA	CanESM2*	r1i1p1	64 x 128
FIO	FIO-ESM	r1i1p1	64 x 128
NOAA GFDL	GFDL-CM3	r1i1p1	90 x 144
	GFDL-ESM2M*	r1i1p1	90 x 144
NASA GISS	GISS-E2-R	r1i1p1	90 x 144
MOHC	HadGEM2*	r1i1p1	144 x 192
IPSL	IPSL-CM5A-LR*	r1i1p1	96 x 96
	IPSL-CM5A-MR*	r1i1p1	143 x 144
MIROC	MIROC-ESM*	r1i1p1	64 x 128
	MIROC5*	r1i1p1	128 x 256
MPI-M	MPI-ESM-LR*	r1i1p1	96 x 192
MRI	MRI-CGCM3	r1i1p1	160 x 320
NCC	NorESM1*	r1i1p1	96 x 144
INM	INM-CM4*	r1i1p1	120 x 180

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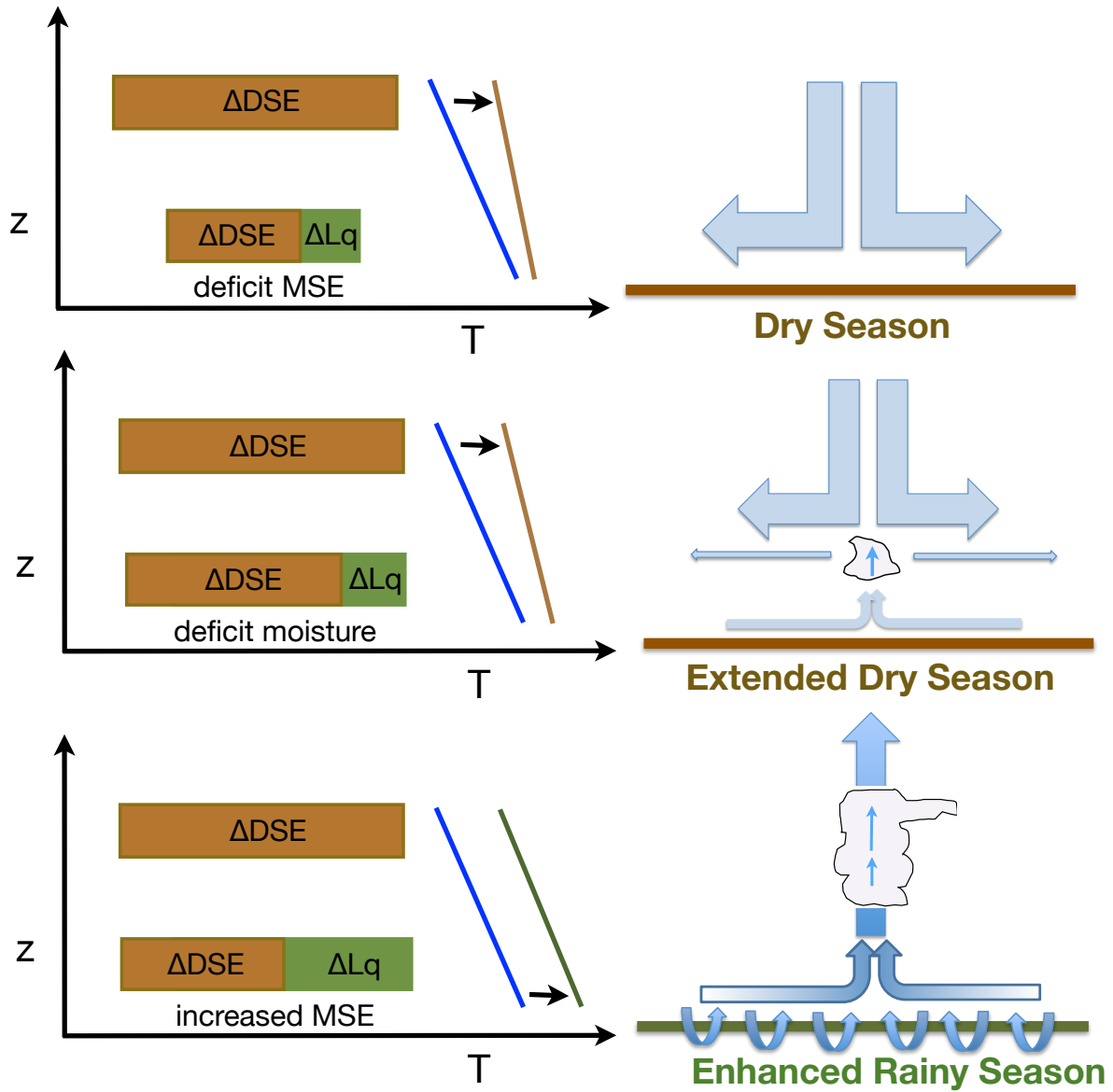


FIG. 1. Schematic of remote and local mechanisms as described in the text.

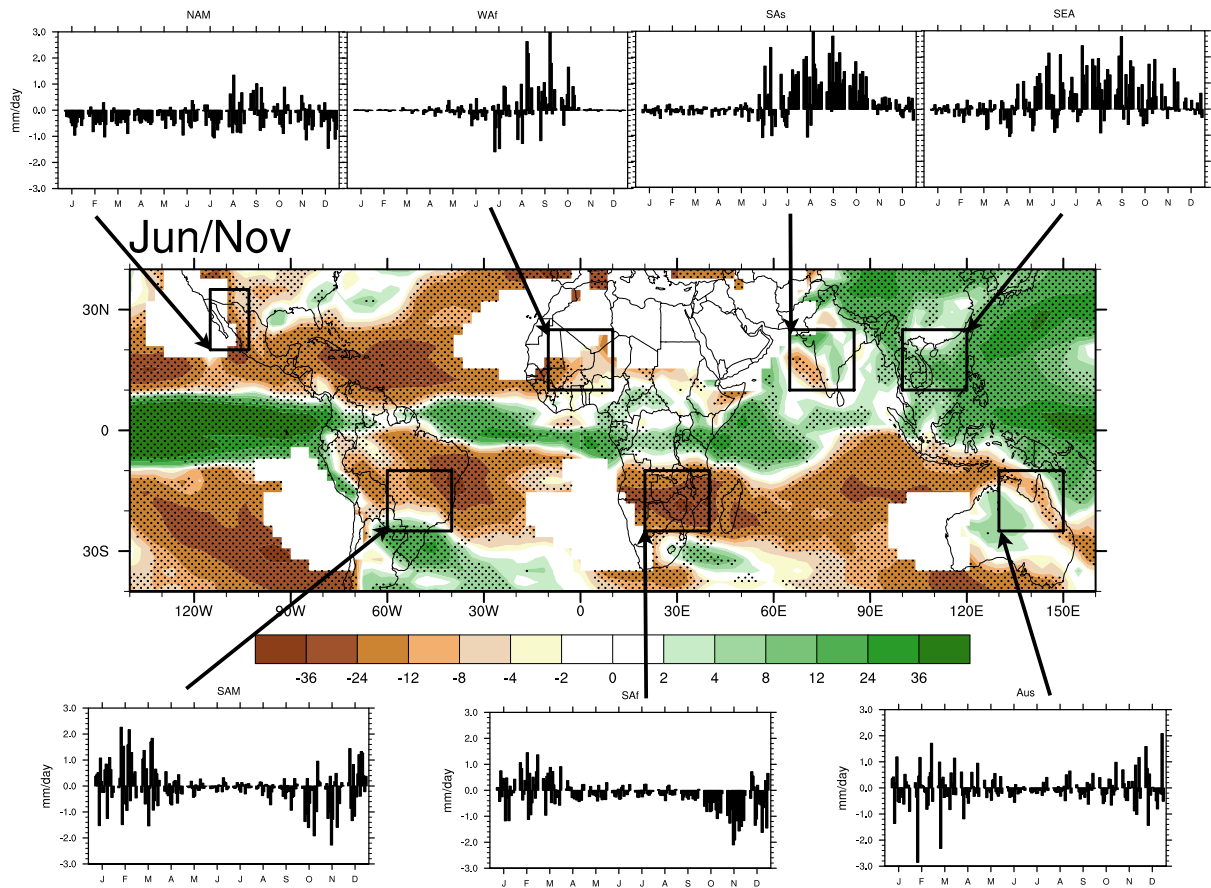


FIG. 2. Precipitation percent difference (colors) between the 17-model ensemble mean RCP8.5 minus Hist, masked for areas where climatological precipitation is less than .5 mm/day. Map shows June for northern and November for southern hemispheres. Stippling indicates significance at the 1% level. Individual model monthly precipitation differences (mm/day, RCP8.5 - Hist) are given in bar charts for each region as specified in the map.



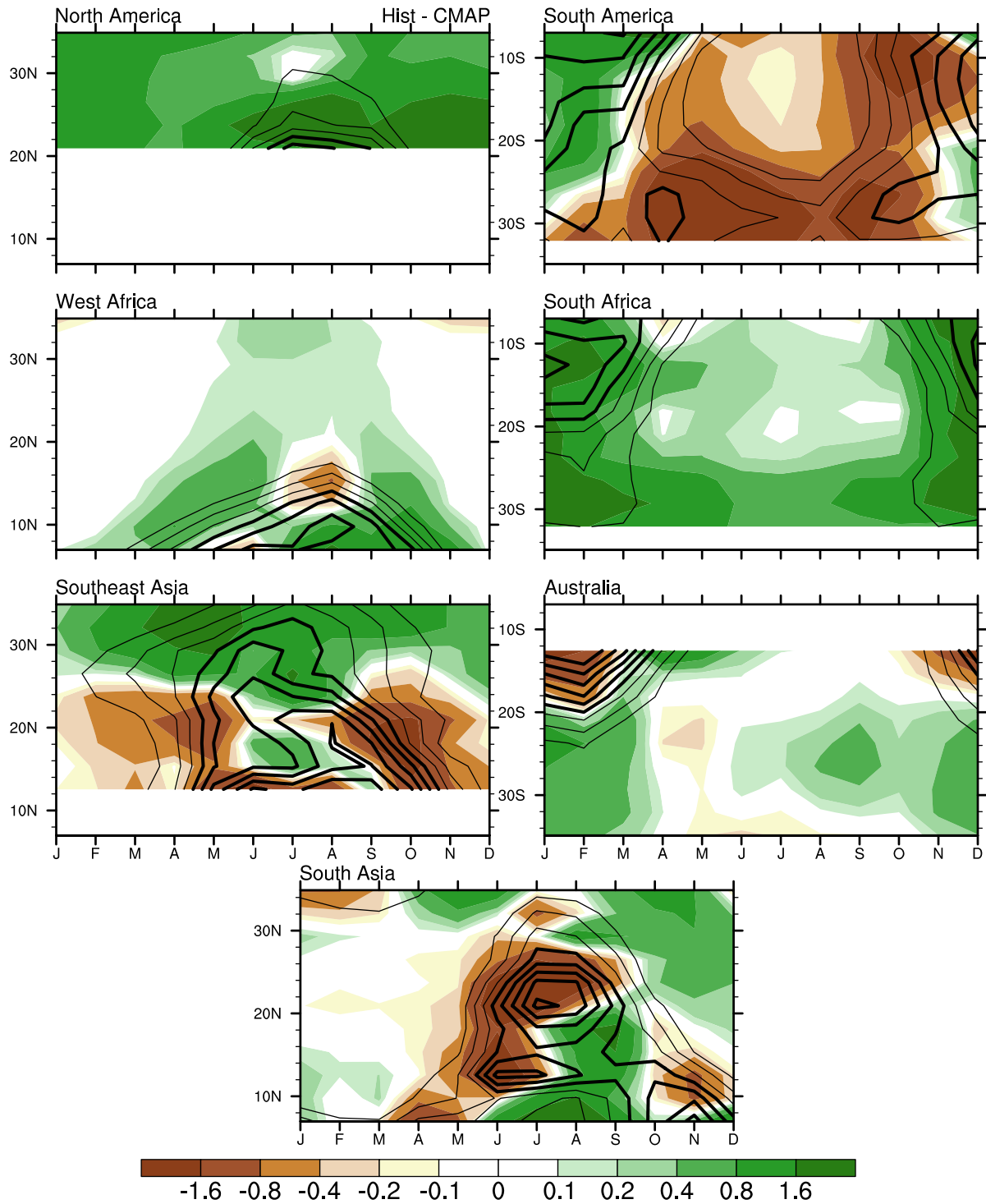


FIG. 3. Annual cycles of regional monsoon precipitation, averaged for longitudes as specified in text, from CMAP observed estimate (black contours, 2 - 10 with interval 1, thicker lines begin at 5 mm/day) and differences between the CMIP5 17-model ensemble mean Hist minus CMAP (colors, mm/day) for the period 1981-2005.

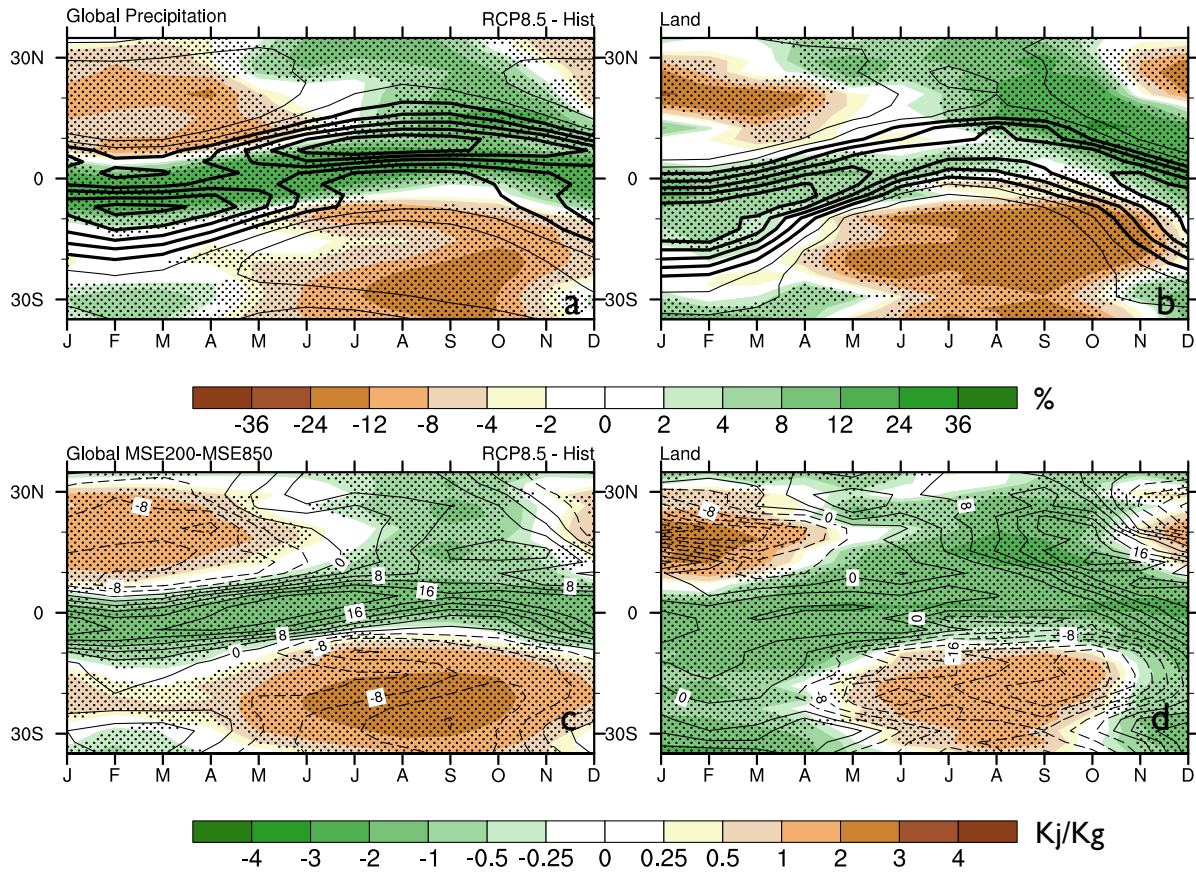


FIG. 4. Annual cycles of global tropical precipitation (a,b) and  $vMSE$  (c,d), averaged for all longitudes (a,c) and for land only (b,d) for 17-model ensemble mean Hist (black contours) and RCP8.5 minus Hist (colors). Stippling indicates significance at the 1% level.

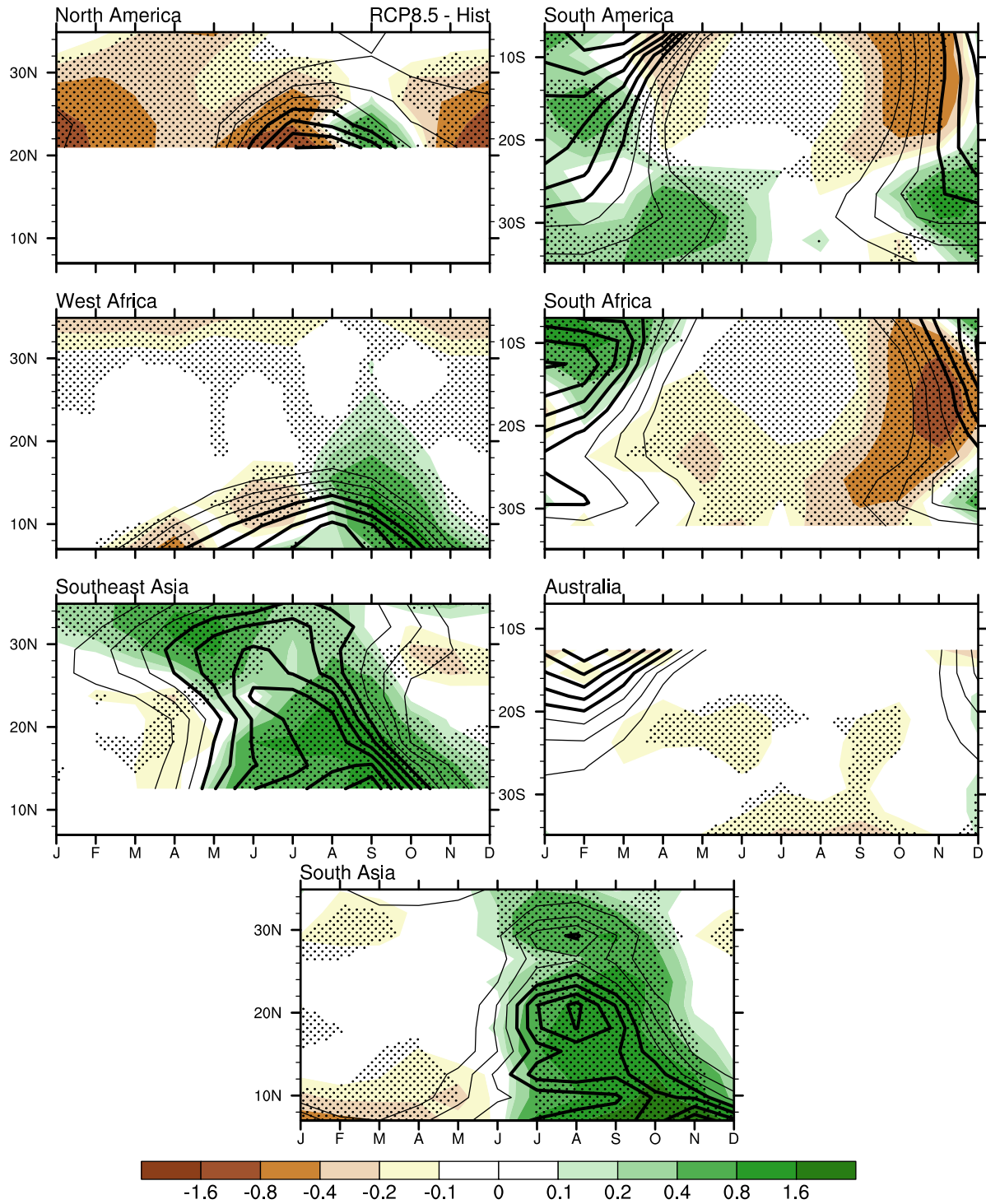


FIG. 5. Zonal mean annual cycles of precipitation (mm/day), averaged for longitudes for each monsoon region as specified in the text for the 17-Model ensemble mean Hist (black contours) and projected changes, RCP8.5 minus Hist (colors). Climatology and differences are masked for land.

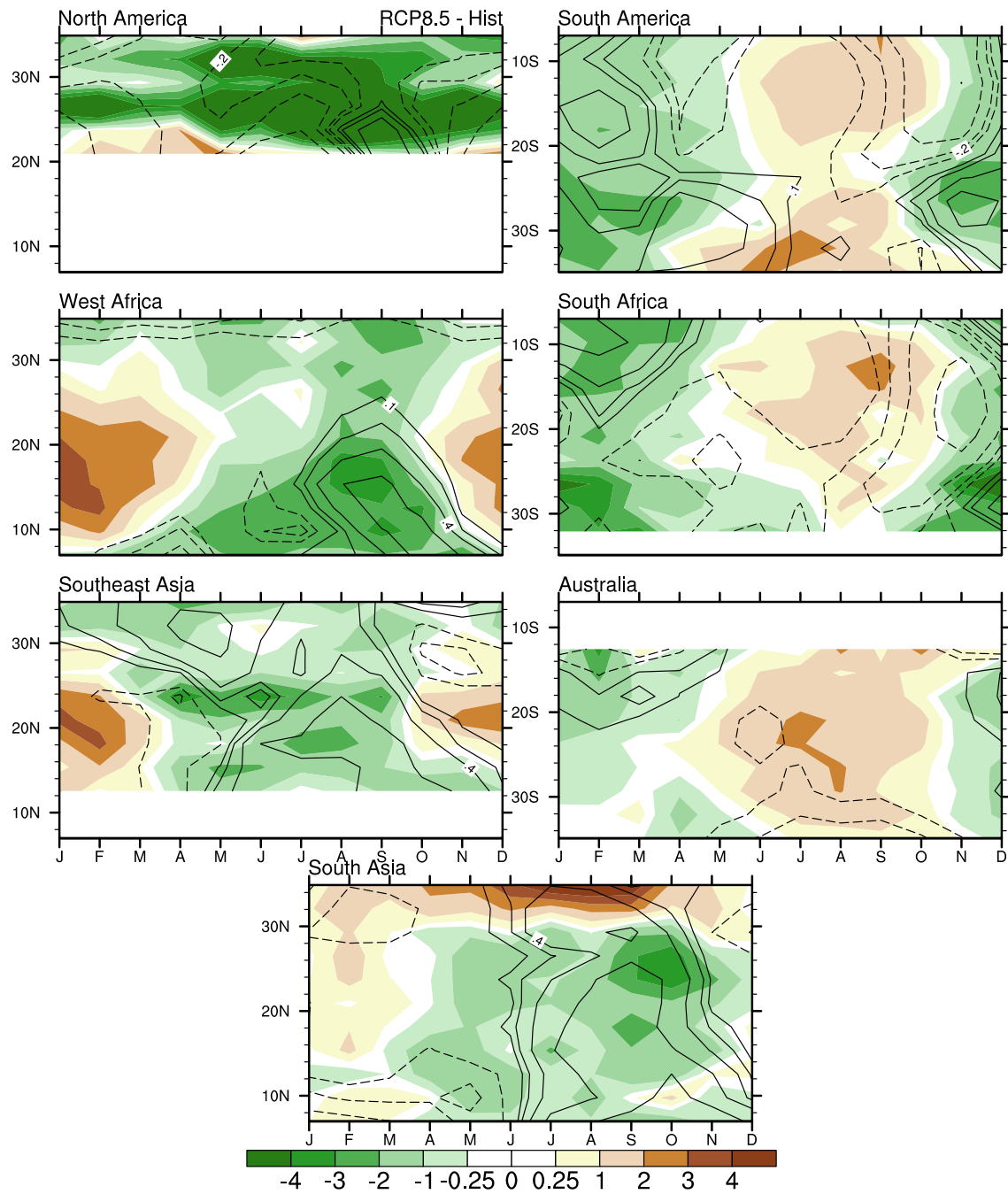


FIG. 6. As in Fig. 5 except for Moist Static Energy vertical difference (MSEv), with precipitation changes given in mm/day (black contours) for reference .

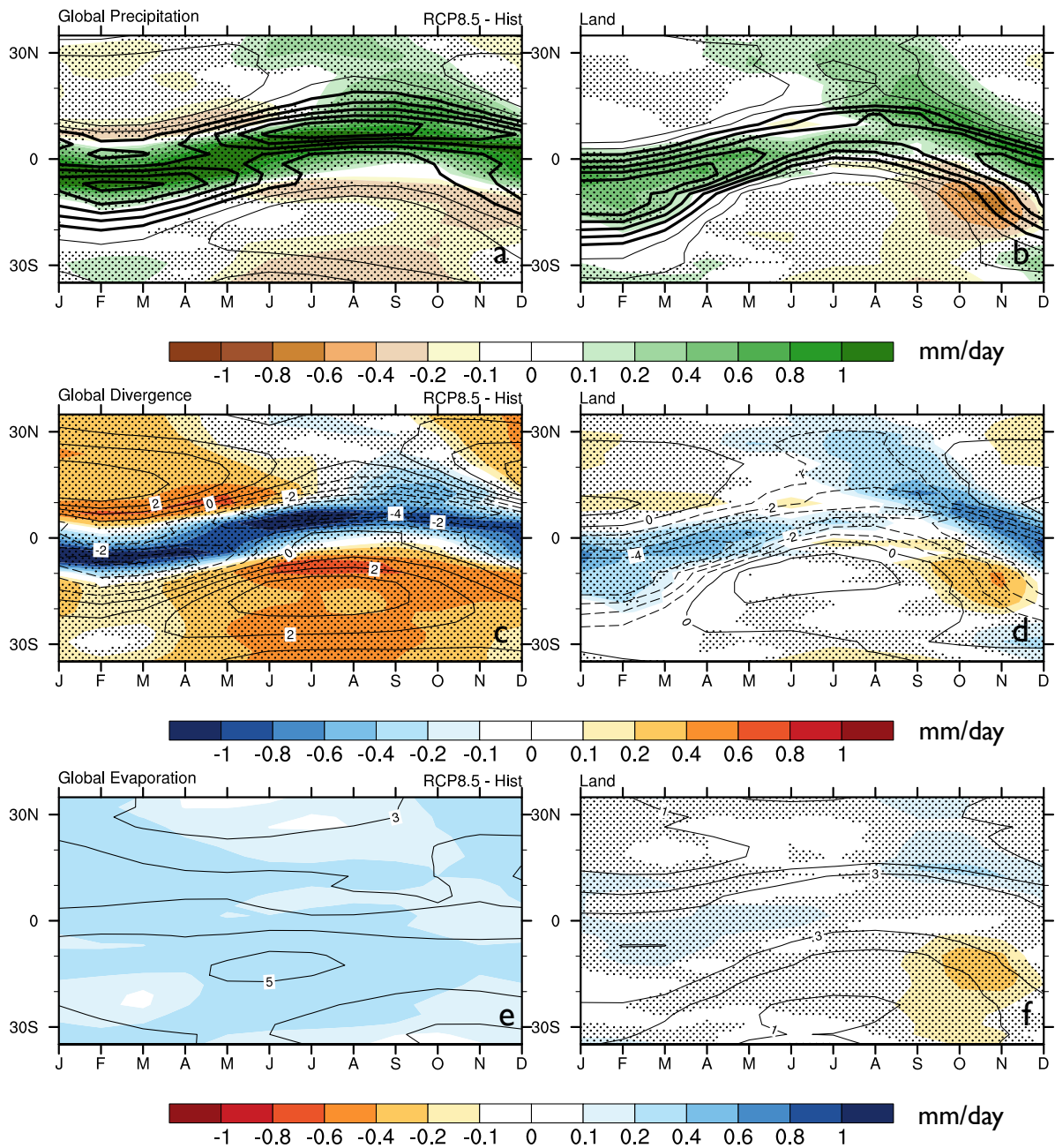


FIG. 7. Zonal mean annual cycles of global tropical Precipitation (a,b), Divergence (c,d), and Evaporation (e,f) (a,c,e) and for land only (b,d,f) for 17-model ensemble mean Hist (black contours) and RCP8.5 minus Hist (colors) with simulated Hist climatology (black contours), all in mm/day. Stippling indicates significance at the 1% level.



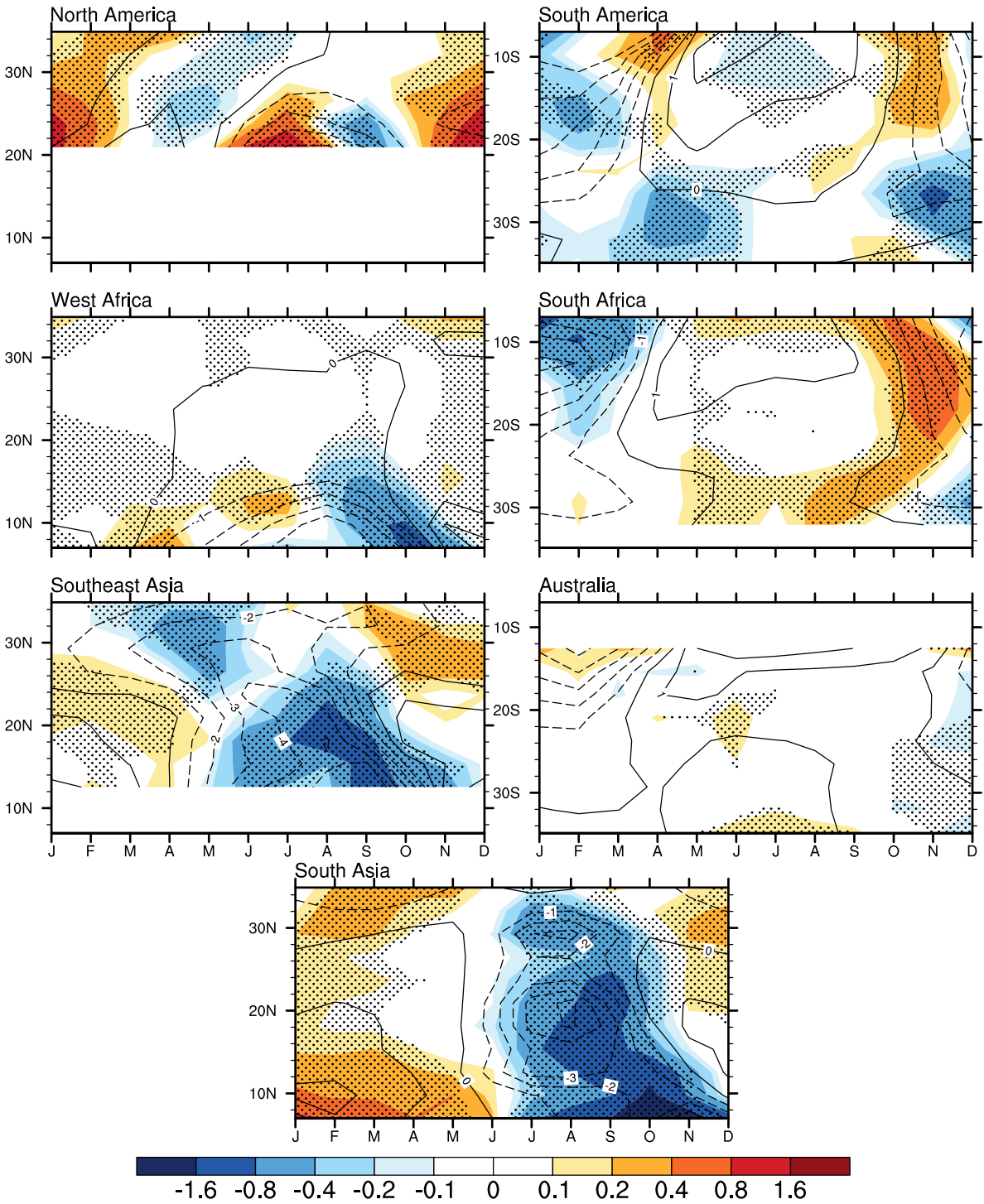


FIG. 8. As in Fig. 5 but for divergence.

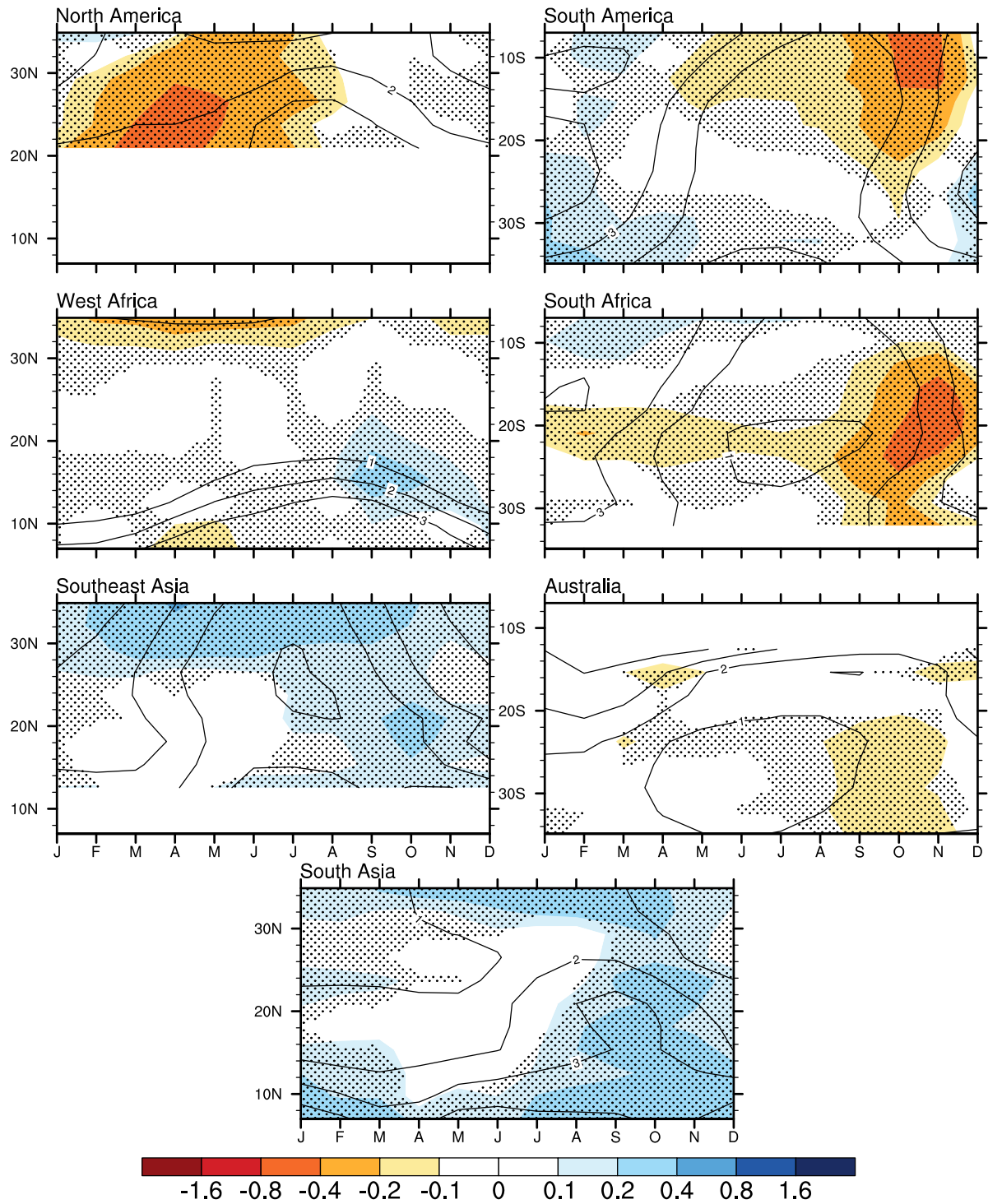


FIG. 9. As in Fig. 5 but for evaporation.

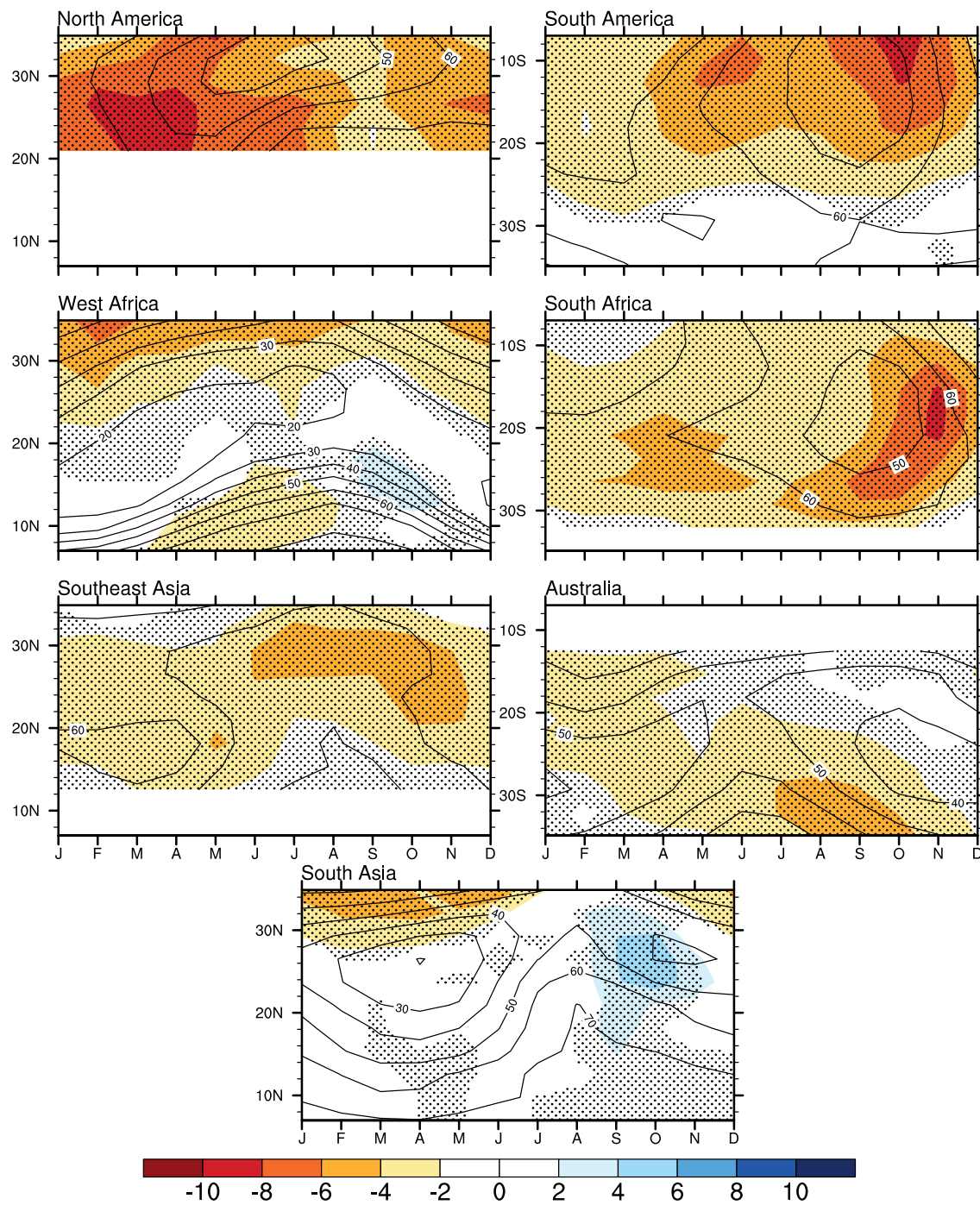


FIG. 10. As in Fig. 5 but for near surface relative humidity (%). Note that the ensemble mean for this variable is based on 14 models only, as it was not available for three models (FIO-ESM, GFDL-CM3, and MPI-ESM-LR) at the time of writing.



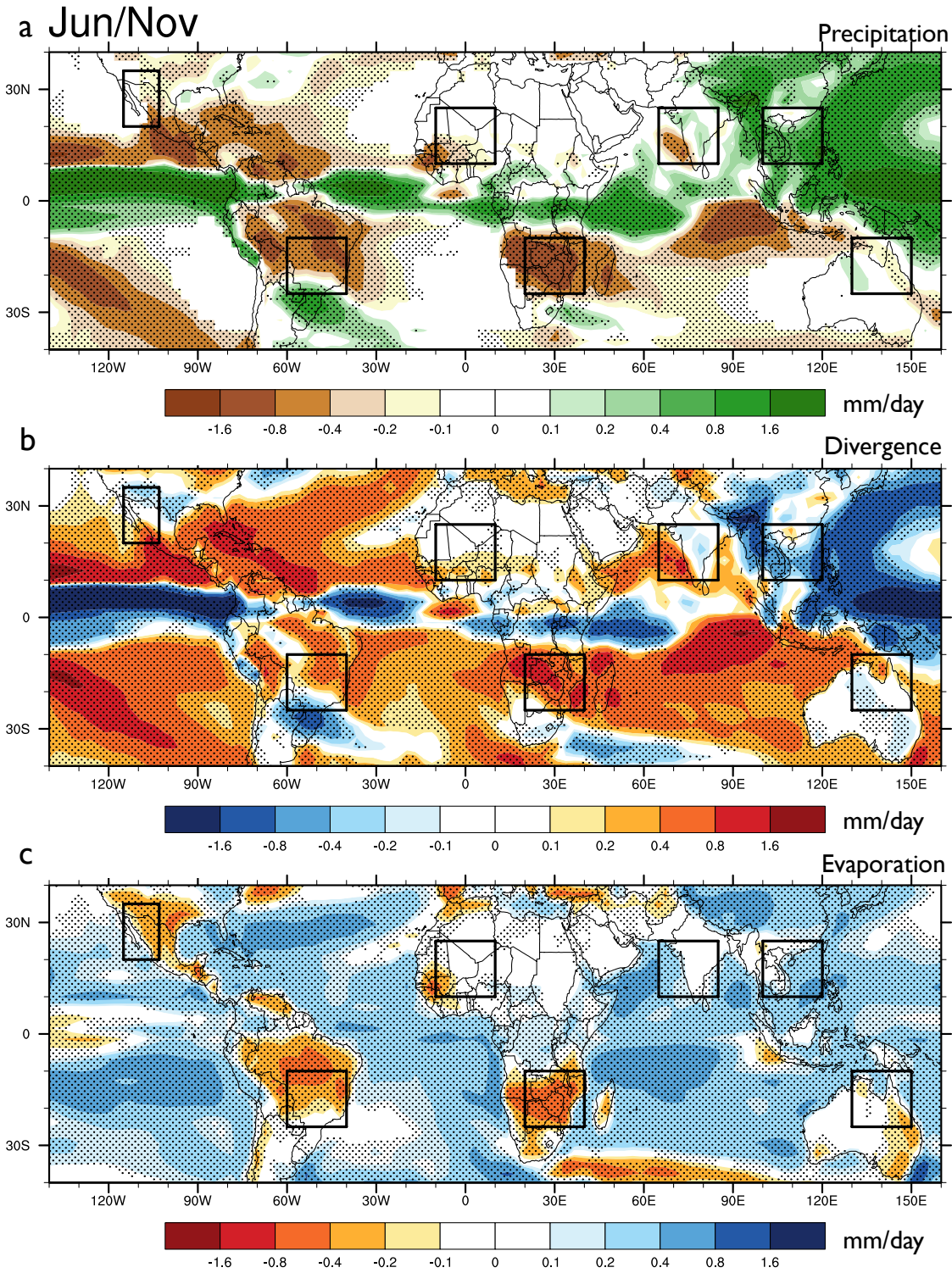


FIG. 11. Early summer: June (northern hemisphere) November (southern hemisphere) RCP8.5 minus Hist differences in Precipitation (a) Divergence (b), and Evaporation (c) in mm/day. Boxes specify monsoon regions. Stippling indicates significance.

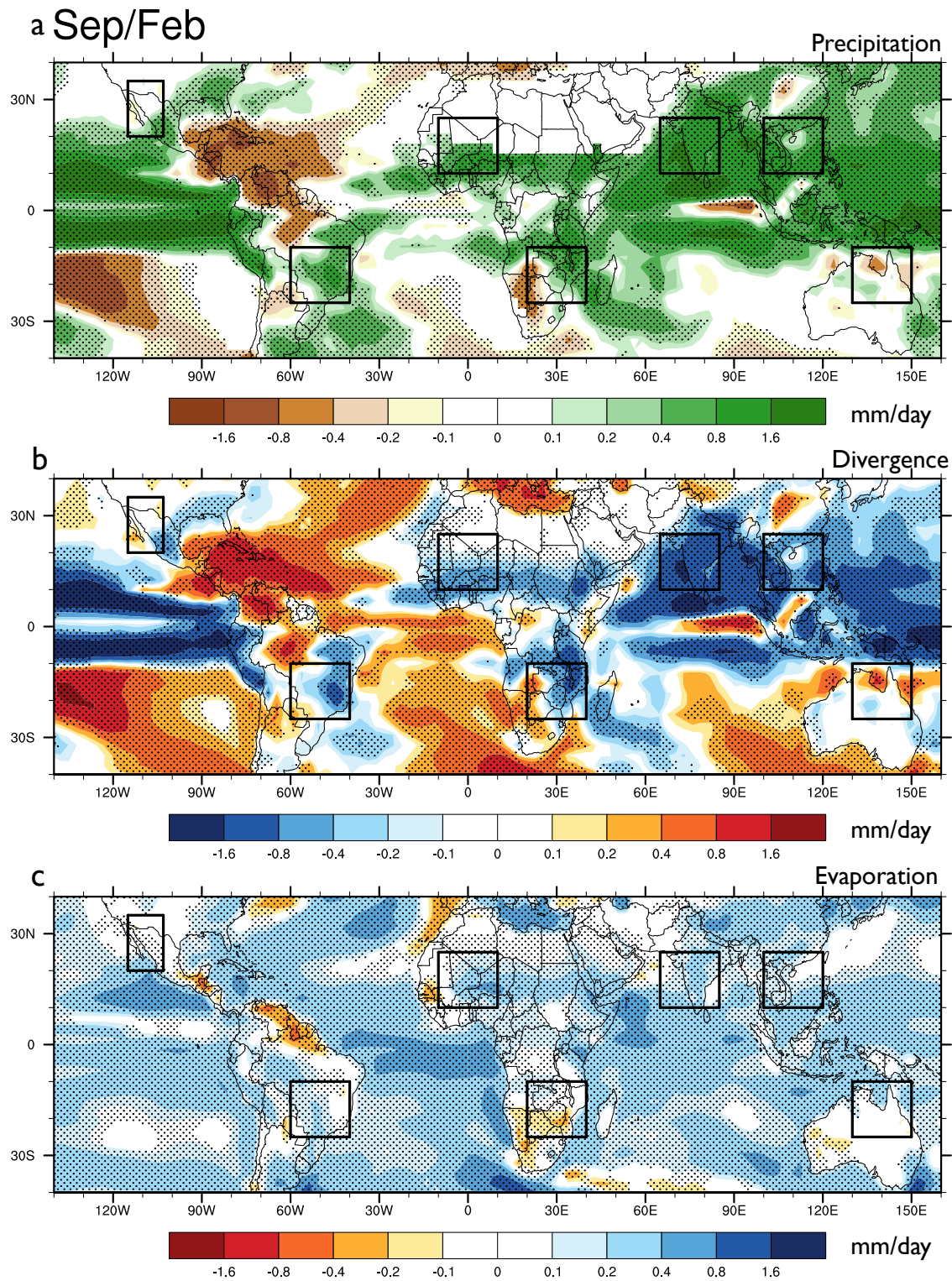


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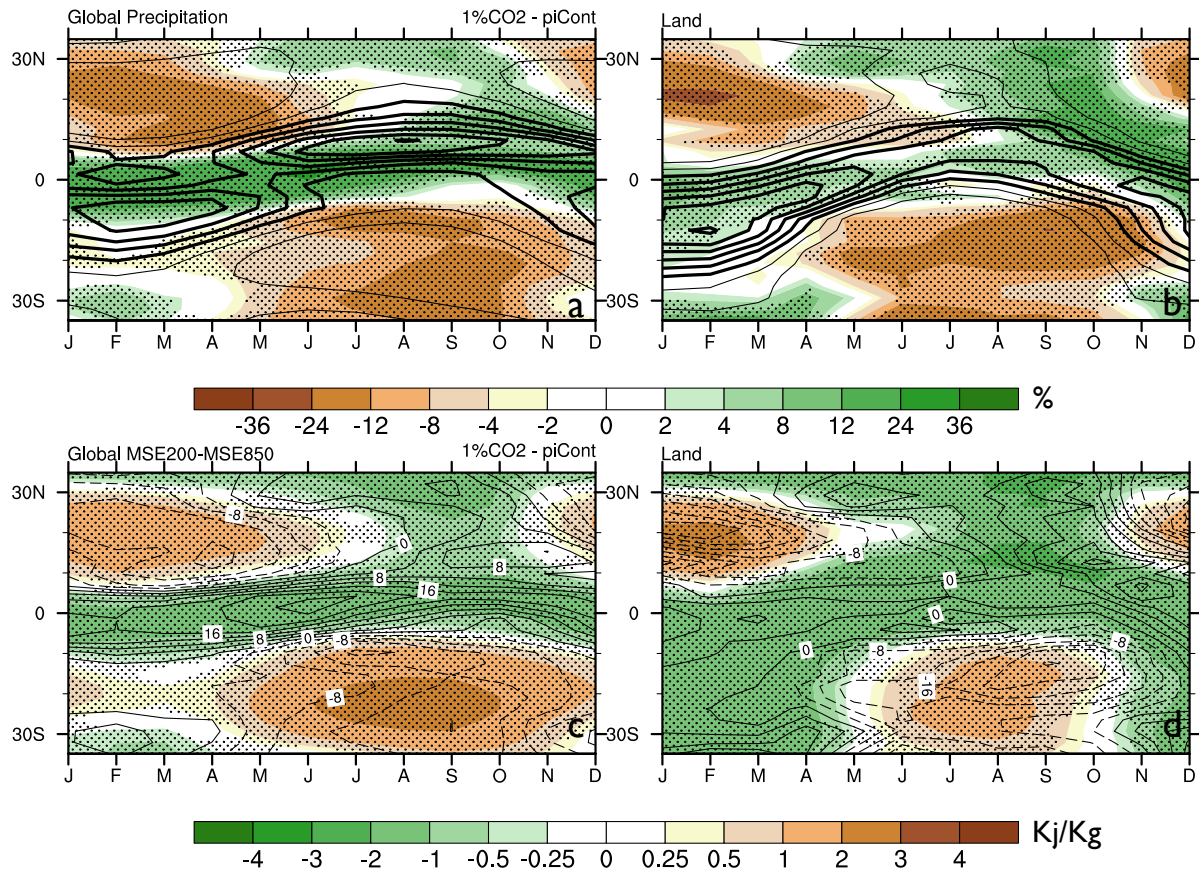


FIG. 13. As in Fig. 4 but for CMIP5 piCont (black lines) and differences 1%CO2 minus piCont (colors) for 11 models.



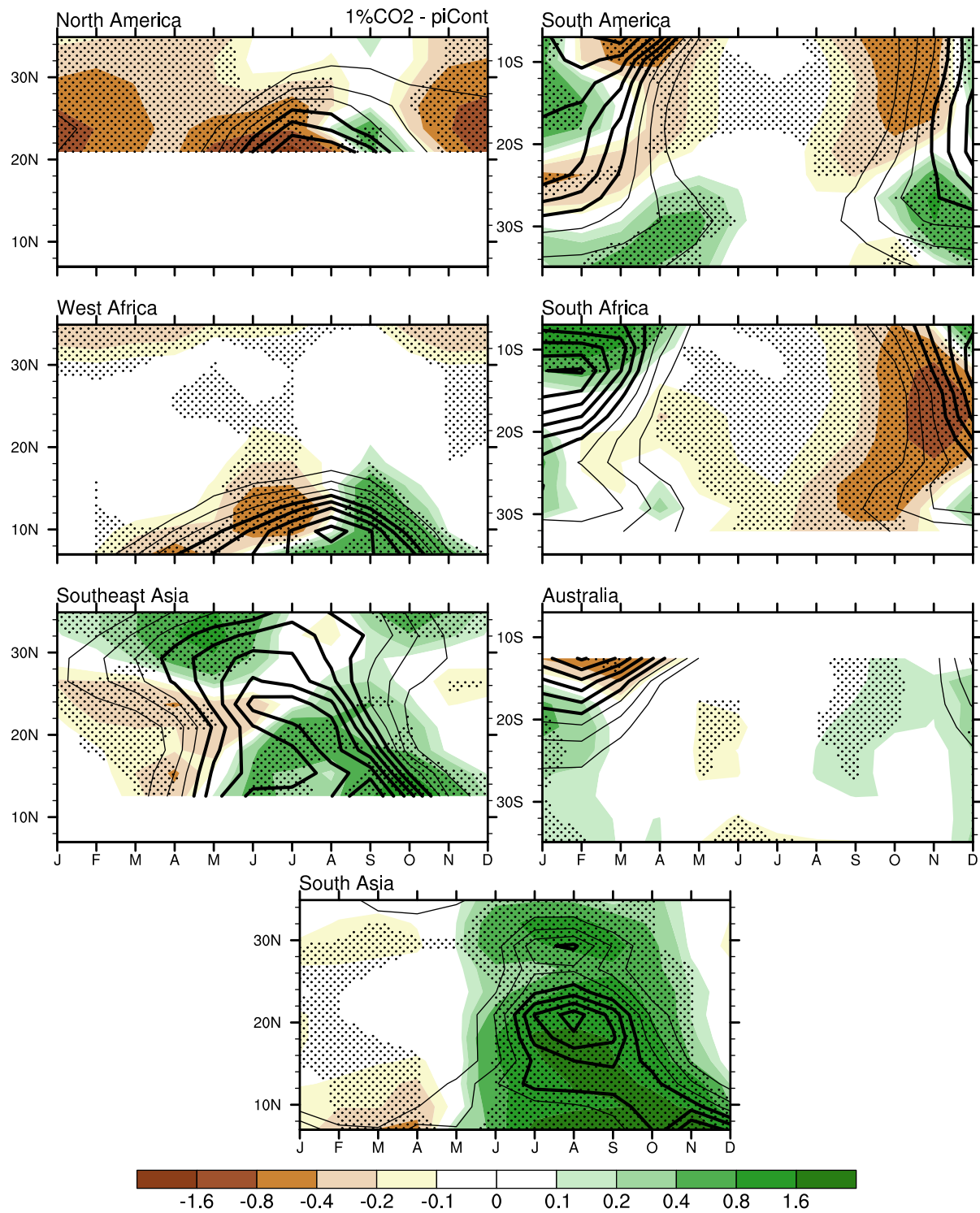


FIG. 14. As in Fig. 5 but for CMIP5 piCont (black lines) and differences 1%CO2 minus piCont (colors) for 11 models.