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2 **Dust and sea surface temperature forcing**
3 **of the 1930's 'Dust Bowl' drought.**
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42 **Abstract.**

43 Droughts over the central United States (US) are modulated by sea surface temperature
44 (SST) variations in the eastern tropical Pacific. Many models, however, are unable to
45 reproduce the severity and spatial pattern of the ‘Dust Bowl’ drought of the 1930s with
46 SST forcing alone. We force an atmosphere general circulation model with 1930s SSTs
47 and model-generated dust emission from the Great Plains region. The SSTs alone force a
48 drought over the US similar to observations, but with a weaker precipitation anomaly that
49 is centered too far south. Inclusion of dust radiative forcing, centered over the area of
50 observed wind erosion, increases the intensity of the drought and shifts its center
51 northward. While our conclusions are tempered by limited quantitative observations of
52 the dust aerosol load and soil erosion during this period, our study suggests that
53 unprecedented atmospheric dust loading over the continental US exacerbated the ‘Dust
54 Bowl’ drought.

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59 **Keywords:** drought, dust, land surface feedbacks, Dust Bowl, aerosols

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65 **Introduction.**

66 Droughts in the midlatitudes of both hemispheres are often associated with sea surface
67 temperature (SST) anomalies in the eastern tropical Pacific, specifically the La Nina
68 phase of the El Nino Southern Oscillation (Seager et al, 2005a). Examples over North
69 America include droughts during the 1950s and latter part of the 19th century (Herweijer
70 et al, 2006; Seager et al 2005b), as well as the most recent and ongoing drought in the
71 western US (Hoerling and Kumar, 2003; Seager, 2007). Modeling evidence suggests
72 SST forcing was at least partially responsible for the drought during the 1930s known as
73 the 'Dust Bowl' (Schubert et al, 2004a,b; Seager et al, 2005b, 2007). Models, however,
74 have difficulty reproducing the severity and spatial pattern of the Dust Bowl drought,
75 instead producing droughts that, while superficially similar to observations, are too weak
76 and centered in the southwest rather than the central and northern Great Plains as
77 observed (e.g., Schubert et al, 2004a,b, Seager et al, 2005b, 2007). Seager et al. (2007)
78 used millennium long tree ring records to argue that the spatial pattern of the Dust Bowl
79 drought was unique in the era of instrumental observations. This has led to speculation
80 that the drought may have been amplified by land surface feedbacks related to the large-
81 scale land degradation over the Great Plains region during this decade. A consequence of
82 this degradation was massive wind erosion and dust storms on an unprecedented scale
83 (Chepil, 1957; Seager et al, 2007; Worster, 1979).

84 Dust storms were widespread throughout the United States during the 1930s (e.g.,
85 Mattice, 1935a,b). High wind erosion resulted from a variety of convergent factors,
86 including low soil moisture from the drought, poor land use practices, and the
87 replacement of drought resistant native grasslands with drought susceptible wheat crops

88 (Hansen and Libecap, 2004). Recent studies have shown the potential for high dust
89 loading in the atmosphere to suppress precipitation (e.g., Miller and Tegen, 1998;
90 Rosenfeld, 2001). Dust effectively scatters and absorbs shortwave radiation while
91 absorbing and emitting longwave radiation. By reducing the net radiation into the surface
92 beneath the aerosol layer, dust reduces evaporation and thus precipitation (Miller and
93 Tegen, 1998). There is thus a strong potential for dust forcing to exacerbate drought
94 during the Dust Bowl. Here we investigate the contribution of SST and dust radiative
95 forcing to the 1930s Dust Bowl drought, using a state of the art atmosphere general
96 circulation model coupled to a dust emission and transport model. We consider the
97 effects of SST forcing alone, and the influence of SST in combination with dust radiative
98 forcing.

99

100 **Models.**

101 The Goddard Institute for Space Studies (GISS) ModelE is a state of the art atmospheric
102 general circulation model, incorporating significant updates to the physics compared to
103 previous versions, and capable of calculating the evolution of several aerosol and
104 chemical tracers as a function of the model climate (Schmidt et al, 2006; Shindell et al,
105 2007). Simulations of modern day climate in ModelE compare favorably with
106 observations, with some notable biases, particularly in the subtropical marine
107 stratocumulus regions. ModelE is unusually successful at simulating the observed annual
108 cycle of precipitation over the Great Plains and Mexico, along with interannual variations
109 in precipitation during the second half of the 20th century (Ruiz-Barradas and Nigam,
110 2006). We use a version of ModelE coupled to a model of mineral dust aerosols (Miller

111 et al, 2006). Given ‘natural’ dust sources (i.e., excluding sources created by anthropogenic
112 land degradation: Ginoux et al, 2001) and forced by present day ModelE climate, the dust
113 model reproduces (within the range of observational uncertainty) the seasonal
114 atmospheric dust cycle, as well as the magnitude and pattern of atmospheric dust loading
115 (Cakmur et al, 2006; Miller et al, 2006). Dust within the model interacts with radiation in
116 ModelE (absorbing, emitting, and reflecting longwave and shortwave), but does not
117 impact cloud microphysics.

118

119 **Experimental Setup.**

120 We conduct three sets of experiments comprised of five member ensemble model runs,
121 with each member of the ensemble starting from a different initial condition. As a
122 control experiment, ModelE is run with observed SSTs for the period 1920-1929 and
123 without dust (Experiment 1). To examine SST forcing of the Dust Bowl drought, we
124 then force the model with observed SSTs for 1932-1939, again without dust (Experiment
125 2). For our final experiment, we examine the combined impact of SST and dust forcing
126 on the Dust Bowl drought by forcing the model with SSTs for 1932-1939 and active dust
127 emission over the Great Plains (Experiment 3). Dust sources within the model are
128 defined according to Ginoux et al (2001), and correspond to topographic lows with bare
129 ground, areas likely to accumulate sediment over geologic timescales (i.e., natural
130 sources). This definition excludes additional dust sources created by anthropogenic
131 disturbance. To simulate wind erosion and atmospheric dust loading during the Dust
132 Bowl, we add a dust source over the Great Plains, over the approximate region of
133 significant wind erosion (Figure 2; 34°N-48°N, 102.5°W-92.5°W; Hansen and Libecap,

134 2004). Emission as a function of wind speed is scaled so that the dust cycle from natural
135 sources generally agrees with a worldwide array of observations (Cakmur et al. 2006).
136 However, sources created by land degradation are expected to be initially more
137 vulnerable to wind erosion, resulting in greater emission compared to natural sources
138 (e.g. Tegen et al 1996, Mahowald et al. 2002, Yoshioka et al 2005). We specify the
139 disturbed sources over the Great Plains to be three times more productive compared to
140 natural sources for a given wind event. While the precise expansion and productivity of
141 dust sources due to land degradation is not known, we try to constrain this below by
142 comparing the additional dust emission by agricultural sources to estimates of observed
143 soil loss during the Dust Bowl.

144

145 **Results and Discussion.**

146 Figure 1 shows dust emission from the land surface, dust deposition to the surface, and
147 net dust emission (emission minus deposition) from our Experiment 3 (SST+Dust) for
148 1932-1939, for the region of the imposed anthropogenic dust source. Figure 2 outlines
149 the area of the new dust source and the additional atmospheric dust loading in
150 Experiment 3 (SST+Dust minus SST Only). Quantifying observations of both dust
151 emission and atmospheric dust loading during the 1930s is difficult. While there is much
152 anecdotal and qualitative evidence for high dust emission and atmospheric
153 concentrations, few hard numbers are available. One estimate for 1935 alone puts the
154 loss of topsoil to wind erosion at roughly 771 million metric tons (Hansen and Libecap,
155 2004; Johnson, 1947). Net dust emission from the model for 1935 is of the same order of
156 magnitude, but only about half of the 771 million metric tons needed to match

157 observations. A comparison between atmospheric dust loading from this experiment
158 (Figure 2) and more qualitative dust storm maps from the period (not shown; Mattice,
159 1935b) suggests that the spatial pattern of atmospheric loading is reasonable. For the
160 moment, we note that the productivity of disturbed sources compared to natural ones is a
161 fundamental uncertainty that can be resolved only with more definitive observations of
162 the aerosol load and soil erosion during the Dust Bowl.

163 We focus on spring and summer precipitation anomalies (March through August).
164 Figure 3 shows box and whisker plots of precipitation anomalies from the GHCN
165 precipitation dataset (Vose et al, 1992) and our model experiments, averaged over the
166 central US, the center of action for the Dust Bowl drought (30°N-48°N, 105°W-85°W).
167 All anomalies (for the model and GHCN data) are relative to the 1920-1929 average, a
168 period of fairly wet conditions over the US. The model, forced with 1932-1939 SSTs
169 alone, produces a drying as seen in the GHCN data, with a reduced magnitude.
170 Nonetheless, ~71% of the total of 40 simulated years within the 5 ensemble members
171 were drier than the ensemble mean for the 1920s simulation. When dust forcing is
172 included with the SST forcing, the drought intensifies, as seen in the overall shift of the
173 distribution towards negative precipitation anomalies. The spatial pattern and intensity of
174 the drought also changes with the inclusion of dust (Figure 4). SST forcing alone leads to
175 fairly muted precipitation anomalies in the central plains; the resulting pattern is not dry
176 enough and the drought extends much too far south into northern Mexico. With
177 SST+Dust forcing, the drought intensifies and the center of drying moves north and east.
178 Several notable differences between model and observations remain. In the model, the
179 center of drought is shifted too far to the northeast, leading to a Great Lakes region that is

180 too dry. The drought also does not extend far enough north, into the central Canadian
181 plains. Parts of Mexico still show a dry anomaly, contrary to the GHCN dataset that
182 actually shows a wet anomaly over much of Mexico. As with other studies, our model is
183 unable to reproduce the large warming during the drought (not shown). It remains
184 unclear how much of the discrepancy with observations results from uncertainty in the
185 dust sources, compared to factors not considered here. In our experiments, the
186 mechanisms for precipitation reductions associated with increased dust loading are
187 consistent with other studies where reduced net radiation into the surface beneath the dust
188 layer reduces evaporation and precipitation (e.g., Miller and Tegen, 1998; Yoshioko et al,
189 2007). We subtract (using the ensemble mean results) SST forcing from the SST+Dust
190 forcing experiments to examine the added effect of dust. Increased atmospheric dust
191 loading in the SST+Dust case (Figure 2) leads to reductions in net surface radiation
192 (Supplemental Figure 1), centered under the region of highest atmospheric dust loading.
193 Reductions in surface radiation drive reductions in surface evaporation and latent heating
194 (Supplemental Figure 2), leading to a negative precipitation feedback. Evaporative and
195 soil moisture feedbacks during the Dust Bowl drought are supported by a previous study
196 (Schubert et al, 2004)

197

198 **Conclusions.**

199 Within GISS ModelE, SST forcing alone reproduces the drought during the 1930s, but
200 one that is too weak and centered too far to the south. By adding in a dust source over
201 the main region of dust emission during this period, the model generates a more intense
202 drought that has a modestly more realistic spatial pattern. For this study we also did not

203 consider other potential feedbacks (e.g., vegetation) that may have influenced the
204 drought. These results support the notion that wind erosion and atmospheric dust
205 concentrations that were unprecedented in the historical record could have acted as a
206 positive feedback to drought during the Dust Bowl and potentially contributed to it being
207 centered further to the north than typical tropical SST-forced droughts (Seager et al.
208 2007). Results here are preliminary, and serve largely as a starting point for future work.
209 The dust emission, for example, should be much better constrained and other choices for
210 source productivity have been made (e.g. Koven, 2006). Indeed the balance of evidence
211 suggests that the modeled dust emission is smaller than what actually occurred and,
212 hence, the results presented here may underestimate the impacts of Dust Bowl wind
213 erosion. Thus, our study identifies the quantitative calculation of net soil loss and the
214 pattern of the aerosol burden resulting from disturbed sources as key prerequisites for
215 understanding the singular magnitude of the Dust Bowl.

216

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336 Vegetation Changes, and Greenhouse Gas Warming. *Journal of Climate*,
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343 **Figure Legend**

344 Figure 1. Net dust emissions (Emission-Deposition) for the Dust Bowl source region
345 (34°N-48°N, 102.5°W-92.5°W), for each member of the SST+Dust ensemble. EJ1, EJ2,
346 etcetera refer to individual ensemble members, each with a unique initial condition.

347

348 Figure 2: Ensemble mean differences in total atmospheric dust loading, g m^{-2} ,
349 Experiment 3 (SST+Dust) minus Experiment 2 (SST only). Outlined are the eight grid
350 boxes that constitute the new dust source in the SST+Dust experiments.

351

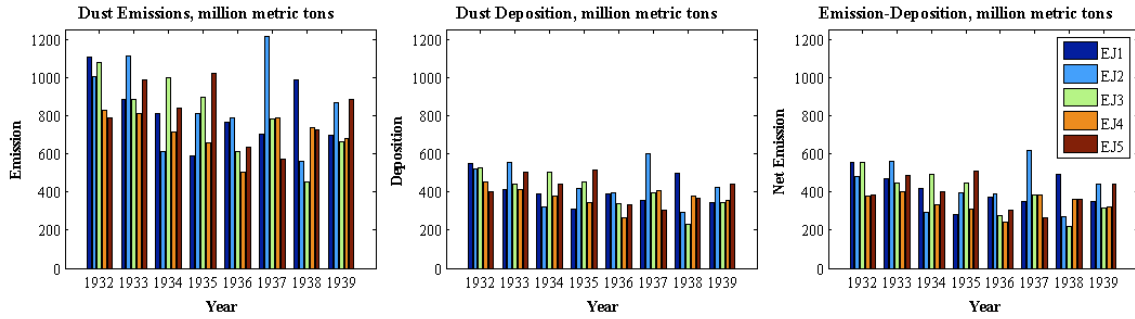
352 Figure 3. Box and whisker plots for precipitation anomalies, averaged over the central
353 United States (30°N-48°N, 105°W-85°W). Shown are data from the GHCN dataset and
354 output from the three ModelE experiments: SST forcing (1920-1929), SST forcing (1932-
355 1939), and SST+Dust forcing (1932-1939). For GHCN data, anomalies are relative to
356 GHCN data for 1920-1929. For model experiments, anomalies are relative to the SST
357 forcing (1920-1929) experiment. Plots for the GHCN anomalies are based on 10 years
358 (1920-1929) and 8 years (1932-1939); for the model output, each plot represents output
359 from five member ensembles simulations; 50 years for 1920-1929 and 40 years for 1932-
360 1939.

361

362 Figure 4. Spatial extent and magnitude of precipitation anomalies. Anomalies are
363 relative to the same reference period in Figure 3.

364

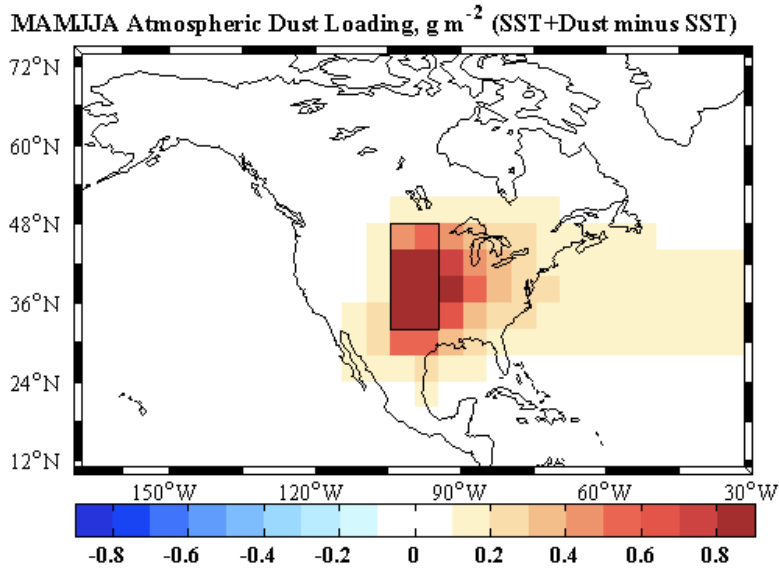
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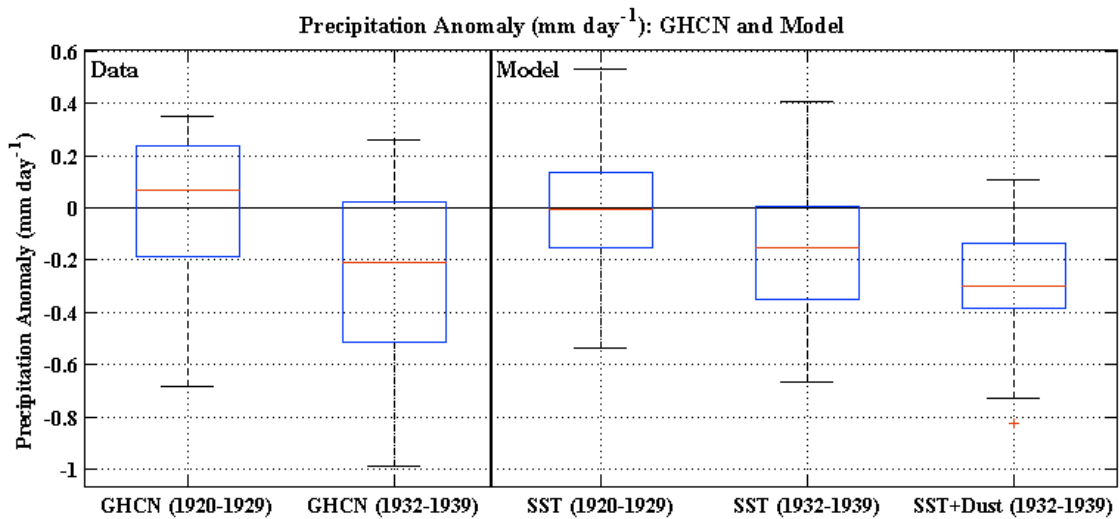
Figure 1



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Figure 2



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Figure 3

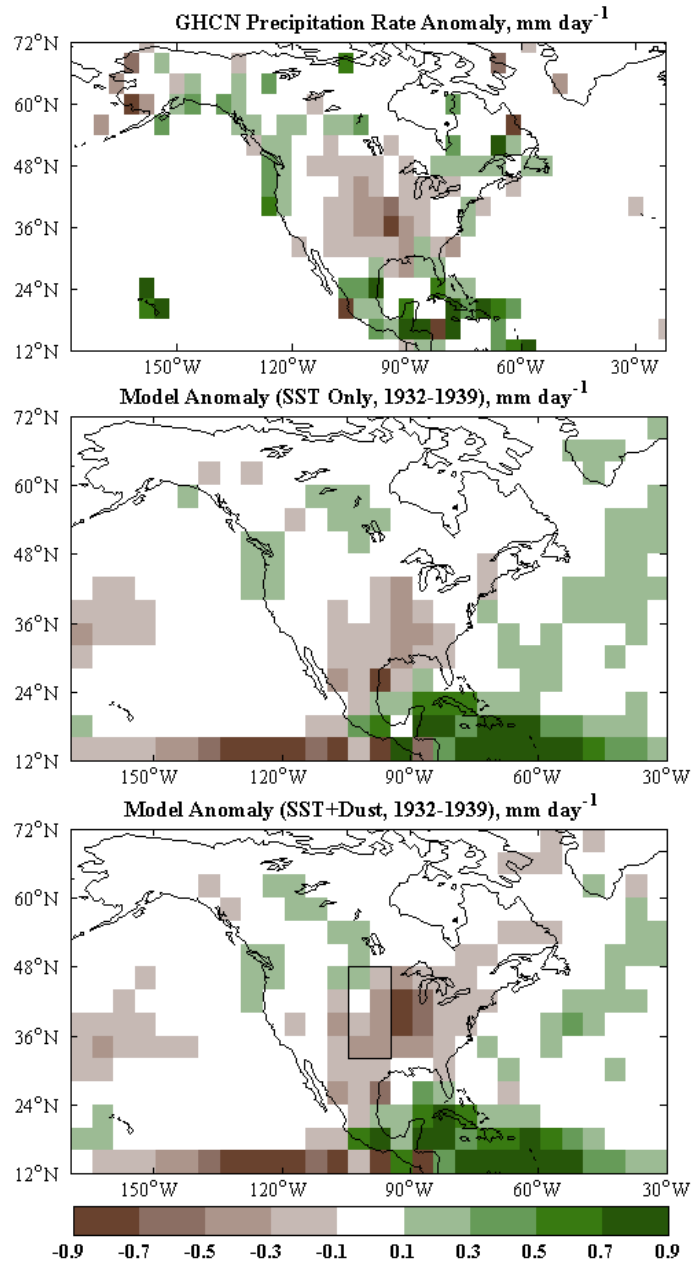


Figure 4