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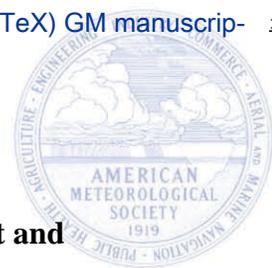
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1 **Global Monsoon Precipitation: Trends, Leading Modes and Associated Drought and**
2 **Heat Wave in the Northern Hemisphere**

3
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24 **ABSTRACT**

25 Global monsoon precipitation (GMP) brings majority of water for the local agriculture
26 and ecosystem. The Northern Hemisphere (NH) GMP shows an upward trend over the past
27 decades, while the trend in the Southern Hemisphere (SH) GMP is weak and insignificant.
28 The first three Singular Value Decomposition modes between NH GMP and global SST
29 during boreal summer respectively reflect the Atlantic Multi-decadal Oscillation (AMO),
30 Eastern Pacific (EP) ENSO, and Central Pacific (CP) ENSO, when the AMO dominates the
31 NH climate and contributes to the increased trend. However, the first three modes between
32 SH GMP and global SST during boreal winter are revealed as EP ENSO, the AMO, and CP
33 ENSO, when EP ENSO becomes the most significant driver to the SH GMP and the AMO
34 induced rainfall anomalies may cancel out with each other within the SH GM domain and
35 thus result in a weak trend. The intensification of NH GMP is proposed to favor the
36 occurrences of drought and heat wave (HW) in the middle latitudes through a monsoon–
37 desert like mechanism. That is, the diabatic heating associated with the monsoonal rainfall
38 may drive large–scale circulation anomalies and trigger intensified subsidence in remote
39 regions. The anomalously descending motions over the middle latitudes are usually
40 accompanied by clear skies, which result in less precipitation, more downward solar radiation,
41 and thus drier and hotter soil conditions that favor the occurrences of droughts and HWs. In
42 comparison, the SH GMP may exert much smaller impacts on the NH extremes in spring and
43 summer, probably because the winter signals associated with SH GMP cannot sufficiently
44 persist into the following seasons.

46 **1. Introduction**

47 The word “monsoon” comes from the Arabic word “mausam” and is referred to as a
48 phenomenon of seasonal cycles of winds and rainfall (Ramage 1971). Compared to individual
49 regional monsoons, the global monsoon (GM) emphasizes the integrated nature of global–
50 scale reversal of atmospheric circulation and dry–wet alternation of rainfall (Trenberth et al.
51 2000; Qian et al. 2000). It is reported that there is more than 70% of the Earth’s population
52 being affected by the GM precipitation (GMP) (Mohtadi et al. 2016), which produce majority
53 of water for the local agriculture and ecosystem. The GMP also acts as a crucial source of
54 latent heat, which drives global–scale atmospheric circulation and may influence the weather
55 and climate outside the monsoon regions through atmospheric transportation of heat and
56 momentum fluxes (Krishnan 2009; Vellore et al. 2015).

57 Great effort has been devoted to determining the trends of GMP in a warming world.
58 Based on observations, the GMP is found to have significantly intensified in the recent
59 decades, due mainly to an upward trend in the NH summer oceanic monsoon precipitation
60 (Zhou et al., 2008; Hsu et al., 2011; Wang et al., 2012). In future warming scenarios, the Fifth
61 Assessment Report of Intergovernmental Panel on Climate Change (IPCC–AR5) has reported
62 that the GMP is likely to strengthen in the 21st century with remarkable increases in both area
63 and intensity (Hsu et al., 2012, 2013; Kitoh et al., 2013). Moreover, the onset dates of GM are
64 projected to advance and the retreat dates are projected to delay, resulting in lengthening of
65 the GM season (Lee and Wang 2014). The enhancement of GMP has triggered increasing
66 interest in climate community to investigate the physical causes of GMP variability.

67 The mechanisms behind the strengthening GMP could be complex due to the various
68 drivers and all kinds of physical processes involved. Among the potential drivers, the increase
69 in atmospheric moisture associated with the warming of atmosphere is believed to be the most
70 effective one that causes an increase in total monsoon rainfall (e.g., Held and Soden 2006;
71 Wentz et al. 2007; Richter and Xie 2008). Besides, the sea surface temperature anomalies
72 (SSTAs) are also viewed as an important factor that may lead to the enhancement of GMP.
73 For example, Liu et al. (2009) have attributed the increased NH GMP to the intensified
74 temperature difference between the Northern and Southern Hemispheres, i.e., warmer SST in
75 the NH than that in the SH. Wang et al. (2012) have suggested that the enhanced east–west
76 thermal contrast in the Pacific Ocean also contributes to the strengthened GMP. In addition to
77 the trends, the GMP shows close connections with dominant SST modes, such as El Niño –
78 Southern Oscillation (ENSO) and the Atlantic Multi-decadal Oscillation (AMO). ENSO can
79 significantly affect the Asian–Australian and West African monsoons through the Walker
80 circulation, equatorial Rossby waves, and the Kelvin waves (e.g., Webster and Yang 1992;
81 Wang et al. 2000; Joly and Voltaire 2009). The phase shift of AMO, from previous negative
82 phases to post positive phases around mid–1990s, is also reported to increase the rainfall over
83 the GM regions (Wang et al. 2013; Lopez et al. 2016; Kamae et al. 2017), implying that the
84 GMP could be affected by not only greenhouse warming but also natural variability.

85 It is worth noting that both GMP and the leading modes of global SSTs present specific
86 seasonally–dependent features. During the boreal summer, the GMP is located over the NH,
87 when ENSO intensity is weakest and the AMO – related signals dominate the NH climate

88 (Semenov et al. 2010; Wyatt et al. 2012), suggesting a more intimate relationship between the
89 NH GMP and the AMO. During the boreal winter, however, the GMP shifts into the SH,
90 when ENSO becomes a primary driver to the SH climate (Karoly 1989; Garreaud and Battisti
91 1999) as the AMO signals are confined to the NH, suggesting a more robust relationship
92 between the SH GMP and ENSO. However, a comprehensive examination to the relationship
93 between GMP and the dominant SST modes from a seasonally-dependent perspective is still
94 lacked, which will be one of the main goals of this study.

95 In addition, although there have been numerous studies investigating the GMP trends
96 and their attributions, the impacts of GMP on the NH weather and climate, such as droughts
97 and heat waves (HWs), have received less attention. Huang et al. (2016) have indicated that
98 the mid-latitude dry land has expanded substantially in the past decades, corresponding to
99 more intense, more frequent, and longer lasting heat waves (HWs) (Meehl and Tebaldi 2004).
100 Wang et al. (2012) have proposed that the enhanced GMP not only amplifies the annual cycle
101 of tropical climate but also promotes directly a “wet-gets-wetter” trend pattern and indirectly
102 a “dry-gets-drier” trend pattern through a “monsoon-desert” – like mechanism. That is, the
103 diabatic heating in monsoon region can induce a subsidence in the remote area and promote
104 the occurrence of severe droughts (Rodwell and Hoskins 1996). Trenberth and Fasullo (2012)
105 and Trenberth et al. (2015) have discussed the 2010 Russian summer HW and other climate
106 extremes and concluded that the unusually abundant atmospheric moisture for nearby
107 monsoons, owing to abnormal high SSTAs, could alter the atmospheric circulation that has a
108 direct link to the higher latitudes, which may affect the middle-latitude extremes. Therefore,

109 there is also a need to assess the impact of GMP on the NH extremes.

110 The current study will revisit the relationship between GMP and the global SST from a
111 seasonally-dependent perspective, i.e., during the boreal summer (NH GMP) and winter (SH
112 GMP), attempting to understand the roles of seasonal cycle in affecting the dominant modes
113 between GMP and the global SST. Furthermore, we will also discuss the variation of GMP
114 and explore its impacts on weather and climate in the NH, focusing on the drought and HW.
115 The remainder of this paper is organized as follows. In section 2, we describe the data and
116 method used in this study. In section 3, we discuss the seasonal changes and trends in GMP.
117 The dominant modes between GMP and the global SST are documented in section 4.
118 Relationships between NH/SH GMP and the NH extremes are addressed in section 5,
119 followed by a summary in section 6.

120 **2 Data and Method**

121 *a. Observation and model output*

122 We apply two data sets of precipitation to depict the GMP for the purpose of comparison.
123 They are the Global Precipitation Climatology Project (GPCP; Adler et al. 2003) and the
124 Climate Prediction Center (CPC) Merged Analysis of Precipitation (CMAP; Xie and Arkin
125 1997), with a resolution of $2.5^{\circ} \times 2.5^{\circ}$ for the period of 1979 – present. Yin et al. (2004) have
126 compared the precipitation products of GPCP and CMAP, and indicated that the CMAP is
127 higher than the GPCP in tropical oceans, but the feature is reversed in the high-latitude oceans.
128 They have emphasized that the use of atoll data by the CMAP is disputable, and the
129 decreasing trend in the CMAP oceanic precipitation may be an artifact of input data change

130 and atoll sampling error. In general, oceanic precipitation represented by the GPCP is more
131 reasonable. In Fig. 2, we show the GMPs calculated from both GPCP and CMAP data sets to
132 examine the trends and compare their differences. In other figures, the relationship between
133 GMP and the other variables are evaluated based on the GPCP data set due to its better
134 representation of tropical oceanic rainfall.

135 The monthly Extended Reconstructed Sea Surface Temperature Version 4 (ERSSTv4;
136 Huang et al. 2015) and the Interpolated Outgoing Longwave Radiation (OLR; Liebmann and
137 Smith 1996) data sets are available from the NOAA/OAR/ESRL PSD, Boulder, Colorado,
138 USA (at the website: <http://www.esrl.noaa.gov/psd/>), with horizontal resolutions of $2^{\circ}\times 2^{\circ}$ and
139 $2.5^{\circ}\times 2.5^{\circ}$ for the periods of 1854–present and 1974–2014, respectively. In comparison with
140 previous versions, the SST in ERSSTv4 can better represent the El Niño/La Niña behavior.
141 One problem in using the OLR data is that missing grids and missing values with grids are
142 often present, presumably owing to satellite problems, archival problems, or incomplete
143 global coverage. In the interpolated version, the missing values have been removed by
144 temporal and spatial interpolation (Liebmann 1996). The OLR is often used as a surrogate for
145 upper-level divergence (Chelliah et al. 1988). In the tropics, it is impossible to derive the
146 divergence directly because of the dearth of upper-air stations, so one must rely on an
147 assimilation model. Comparisons of divergence fields from various meteorological centers
148 have shown large differences between different estimates (Trenberth and Olson 1988;
149 Sardeshmukh and Liebmann 1993); thus, OLR is often deemed a more reliable indicator of
150 tropical divergence than that derived from global wind analyses.

151 The other observational data sets are available from the European Centre for
152 Medium-range Weather Forecasts (ECMWF) ERA-Interim (Dee et al. 2011), including the
153 monthly geopotential height, three-dimensional velocities at multiple levels and the 4-layer
154 volumetric soil moisture, with a resolution of $2.5^{\circ}\times 2.5^{\circ}$ for the period of 1979 – present,
155 which are used to diagnose the large-scale features associated with the GMP. The
156 ERA-Interim reanalysis data set has removed the inhomogeneities apparent in the earlier
157 ERA-40 data set by employing improved data assimilation techniques (Dee et al. 2011).
158 Simmons et al. (2010) have reported that the newer reanalysis data set is significantly better
159 than the ERA-40 at replicating monthly variability in surface temperature. Moreover, Cornes
160 and Jones (2013) have shown that the ERA-Interim reanalysis data sets are generally very
161 good at replicating both the seasonally and spatially varying trends in extreme surface
162 temperature. Thus, we select this data set to analyze extreme HWs.

163 Zhang et al. (2017) have compared the abilities of three atmospheric general circulation
164 models (AGCMs), each with two resolution configurations, in reproducing the GMP, and
165 shown that model resolutions may affect the simulation of GMP. Therefore, this study applies
166 five AGCMs, with different horizontal resolutions, to assess the impact of ENSO/AMO on
167 the GMP. These model outputs are from the AGCM experiments forced by observed SST for
168 the period of 1979-2015, using ECHAM5, CFSv2, CAM4, GEOS5, and CCM3 models. The
169 horizontal resolutions of the models are $0.75^{\circ}\times 0.75^{\circ}$, $1^{\circ}\times 1^{\circ}$, $1.25^{\circ}\times 0.75^{\circ}$, $1.25^{\circ}\times 1^{\circ}$, and
170 $2.5^{\circ}\times 2.5^{\circ}$, respectively. These 5 AGCM experiments are carried out by the NOAA Drought
171 Task Force (DTF) (Schubert et al., 2009), which are available from the Lamont-Doherty

172 Earth Observatory. We adopt these DTF simulations because they have relatively good skills
173 in reproducing the NH drought/heat. These AGCM experiments are conducted between 12 to
174 20 ensemble members depending on the model. We have calculated the ensemble means for
175 each model before analyzing the results in this study.

176 *b. Determinations of GM domain, droughts and HWs*

177 There are various metrics to define a GM domain, such as the divergence in the upper
178 troposphere (Trenberth et al. 2000), the annual precipitation range (Wang and Ding 2006),
179 and the k-means clustering method and low-level cross-equatorial flow (Jiang et al. 2016),
180 among which the approach proposed by Wang and Ding (2006, 2008) has been used most
181 widely due to its relative simplicity. Wang and Ding (2008) have demonstrated that the GM
182 can be represented by two major modes of the annual variation, namely, a solstitial mode
183 (71%) and an equinoctial asymmetric mode (13%), which peak respectively in JJAS/DJFM
184 and April–May (AM)/October–November (ON). In this study, we will analyze the so-called
185 solstitial mode of GM, namely the JJAS/DJFM monsoons (Lin et al. 2014; Yan et al. 2016).
186 The GM domain is identified based on Wang and Ding (2008): (1) the annual range of
187 precipitation between wet and dry seasons exceeds 3 mm/day and (2) the wet seasonal (e.g.,
188 JJAS in the NH) precipitation contributes more than 50% of the total annual precipitation.
189 Using this method, the GM domain can be separated into six sectors (see Fig. 1a).

190 The Dai PDSI is applied to assess the severity of drought (Dai et al. 2004; Dai 2011a,
191 2011b). The PDSI has renewed several versions, such as the self-calibrating PDSI (sc_PDSI)
192 and the PDSI using improved formulations for potential evapotranspiration (PE), such as the

193 Penman-Monteith equation (pm_PDSI) instead of the Thornthwaite equation (th_PDSI). Dai
194 (2011) has compared and evaluated the original PDSI and revised PDSI indices, and indicated
195 that the choice of the PE only has small effects on both the PDSI and the sc_PDSI for the
196 20th century climate. All four forms of the PDSI show similar correlations with observed
197 monthly soil moisture in North America and Eurasia, and present consistent drying trends in
198 mid-latitude regions. In this study, we use the Dai PDSI, which can be acquired from the
199 NOAA/OAR/ESRL PSD website. According to the degrees of severity, droughts are further
200 classified into moderate, severe, and extreme types, when seasonal mean PDSI meets the
201 following conditions: $-3 < \text{PDSI} \leq -2$, $-4 < \text{PDSI} \leq -3$, and $\text{PDSI} \leq -4$, respectively (e.g., Alley
202 1984, 1985; Wells et al. 2004).

203 The HW threshold is computed based on a 95th percentile method for the daily maximum
204 2m temperature (Mx2t) (e.g., Meehl and Tebaldi 2004; Della-Marta et al. 2007; Kuglitsch et
205 al. 2010). For a specific day within the summer season (June-September), the Mx2t threshold
206 is identified by the 95th percentile of Mx2t for a total of 37 years multiplied by 15 days (the
207 15 days represent the seven days on either side of the target date) for the period from 1979 to
208 2015. By moving the 15-day sample windows forward and backward, we are able to obtain
209 consecutive thresholds for every day. Therefore, we can obtain the HW days (HWD) by
210 computing the total days of Mx2t exceeding the 95th-percentile threshold, which reflects the
211 HW frequency and duration in each summer (Wu et al. 2012). The categories of moderate,
212 severe, and extreme HWs are determined by the conditions of $5 < \text{HWD} \leq 10$, $10 < \text{HWD} \leq 15$,
213 and $\text{HWD} > 15$, respectively. It should be noted that the selections of HWD thresholds 5, 10,

214 and 15 are empirical, but further examinations indicate that the slight changes of HWD
215 thresholds would not change the conclusion in this study.

216 The Singular Value Decomposition (SVD) analysis is used to explore the covariability
217 between GMP and global SST, which allows us to identify their concurrent modes
218 (Bretherton et al. 1992; Wallace et al. 1992). In fact, Trenberth et al. (2002) have made a
219 systematic investigation to the covariability of SST and the divergence of atmospheric energy
220 transport, using the SVD analysis of the temporal covariance, and revealed that ENSO is
221 dominant in the first two modes, explaining 62% and 12% of the covariance in the Pacific
222 domain and explaining 39.5% and 15.4% globally for the first and second modes, respectively.
223 In this study, we decompose the covariability between GMP and global SST from a
224 seasonally-dependent perspective, i.e. during the boreal summer and winter, given that the
225 GMP is featured by strong seasonal cycles. It should be noted that only the precipitation
226 within the GM domains is considered in our SVD analysis. After that, we compute the
227 correlation between the global precipitation and the time series of precipitation for the leading
228 SVD modes to better analyze the physical connections between GMP and global SSTAs. The
229 trends in GMP are calculated by the method of linear regression. The statistical significance
230 in correlation analysis is assessed using the Student's *t*-test with a degree of freedom of 35 for
231 a total of 37 years (1979–2015).

232 **3. Seasonal changes and trends in GMP**

233 Figure 1 shows the domains of GM and associated atmospheric circulation patterns. The
234 GM domains can be generally separated into six sectors (Fig. 1a), including West Africa

235 (WAF), Asia–northwestern Pacific (ANWP), and North America (NAM) in the NH; and East
236 Africa (EAF), Australia (AUS), and South America (SAM) in the SH. All of these
237 sub-monsoons locate over the land – sea transitional regions, where the strongest thermal
238 contrast between continent and ocean exists.

239 Figures 1(b) and 1(c) show the upper-tropospheric divergent wind and OLR associated
240 with the NH GMP and SH GMP, respectively. During the boreal summer (JJAS), strong
241 upper-tropospheric divergent winds appear over the WAF, ANWP, and NAM regions,
242 accompanied by vigorous convection. The NH subtropical divergent winds stretch toward the
243 northern and southern hemispheres, and tend to converge over the Mediterranean Sea, the
244 Eurasian continent, and the southern oceans. During the boreal winter (DJFM), the
245 upper-tropospheric divergent wind and associated convection shift to the SH, concentrated
246 over the EAF, AUS, and SAM regions, and tend to converge in the northern subtropics.
247 Compared with the SH GM, the NH GM seems to be much stronger in terms of dry–wet
248 alternation, divergent circulation, and convection.

249 Figures 2(a) and 2(b) present the linear trends in NH GMP and SH GMP, respectively,
250 obtained from both GPCP and CMAP data sets. In general, the NH and SH GMPs calculated
251 from the CMAP data set are prominently higher than those calculated from the GPCP data set.
252 As mentioned in section 2, Yin et al. (2004) have already noted that the oceanic precipitation
253 in the CMAP is higher than that in the GPCP. The GPCP product is believed to be more
254 reasonable, as the use of atoll data by the CMAP is disputable. The year-to-year variability in
255 GPCP GMP is highly correlated with the CMAP GMP, with a correlation coefficient of 0.76

256 (0.83) in the NH (SH). Both the GPCP and the CMAP NH GMPs show significant upward
257 trends during the period of 1979–2015; however, the linear trends in the SH GMPs, obtained
258 from the GPCP and the CMAP, are insignificant and seem to be contrary with each other.

259 As indicated by the Clausius-Clapeyron relation, the warming atmosphere is able to hold
260 more water moisture and thus may bring more rainfall (Wentz et al. 2007). However, if the
261 increased trend in NH GMP is driven by the warming atmosphere, why is the trend in SH
262 GMP insignificant? One speculation is that, the asymmetric warmings between the two
263 hemispheres, i.e., the NH atmosphere warms faster than the SH atmosphere (Kang et al.
264 2015), lead to a strengthened NH – SH temperature gradient that boosts the NH GMP and
265 suppresses the SH GMP (Liu et al. 2012; Lee and Wang 2014). Nevertheless, other
266 explanations, such as the oceanic forcing and multidecadal modulations associated with the
267 Pacific/Atlantic dominant modes, are also possible, which will be discussed as follows.

268 **4. Dominant modes between GMP and SST from seasonally-dependent perspective**

269 The GMP migrates from the NH during the boreal summer to the SH during the boreal
270 winter, which may be related with global SST. To assess the impacts of seasonal cycle on
271 GMP – global SST relationship, a SVD analysis is applied to decompose the covariability
272 between GMP and global SST, separately during the simultaneous summer (JJAS) and the
273 simultaneous winter (DJFM). The spatial patterns of precipitation and SST for each mode are
274 acquired by correlating them with the corresponding time series.

275 *a. NH GMP and SST during boreal summer*

276 During the boreal summer, the first SVD mode between the NH GMP and global SST

277 accounts for 39.9% of the total covariance, reflecting the low-frequency effect from the
278 Atlantic Multi-decadal Oscillation (AMO). As seen from Fig. 3(a), associated with the first
279 mode, significantly warming SSTAs occur in the Atlantic, India, and the western Pacific
280 Oceans. Correspondingly, increased precipitation is found over the NH GM domains. Figure
281 3(b) shows the PC1s and the unsmoothed AMO index, where the correlation coefficient
282 between PC1 (SST) and the year-to-year AMO is 0.82, which suggests that the recent
283 increased trend in the NH GMP be largely contributed by the AMO. Indeed, the AMO has
284 experienced a dramatic phase shift around the mid-1990s, from previous negative to post
285 positive phases, which induces widely warming in the Atlantic and Indo-Pacific regions. The
286 warming SSTAs may promote more water moisture being evaporated into the atmosphere and
287 thus increase the rainfall over the NH GM domains.

288 The second mode explains about 25.4% of the total covariance, revealing a feature of
289 Eastern Pacific (EP) ENSO. As shown in Fig. 3(c), significant warmings appear in the
290 central–eastern Pacific and the Indian Ocean, accompanied by a moderate cooling in the
291 western Pacific. Correlated with EP warming, suppressed rainfall appears over the Maritime
292 Continent–North Australia, Central America, West Africa, and vice versa. Figure 3(d) shows
293 the PC2s and NINO3 index, where the correlation coefficient between PC2 (SST) and the EP
294 ENSO (NINO3) is 0.85, implying that the EP ENSO is an important driver to modulate the
295 interannual variability of the NH GMP.

296 The third mode explains 9.6% of the total covariance, which seems to be associated with
297 the Central Pacific (CP) ENSO (Ashok et al. 2007). As indicated by Fig. 3(e), the third mode

298 is characterized by a significant cooling in the central Pacific and warming in the southeastern
299 Pacific, which is corresponding to increased rainfall over the eastern Pacific and Central
300 America and decreased rainfall over the WAF and ANWP regions. It should be noted that,
301 although the SST pattern shows cooling in the CP region during boreal summer, it is actually
302 evolved from the antecedent winter El Niño that shows warming SSTA in the CP. Figure 3(f)
303 shows the PC3s and the preceding winter NINO4 index, where the correlation coefficient
304 between PC3 (SST) and the preceding CP ENSO (NINO4, DJFM) is 0.44, exceeding the 99%
305 confidence level, suggesting that the CP ENSO also plays a role in affecting the year-to-year
306 variations of NH GMP.

307 *b. SH GMP and SST during boreal winter*

308 During the boreal winter, the GMP peaks over the SH. As seen from Fig. 4, the first,
309 second, and third SVD modes between SH GMP and global SST respectively explain 57.2%,
310 15.6%, and 4.8% of the total covariance, accounting for approximately 78% covariance in
311 total, compared with 75% during the boreal summer. The first three modes during the boreal
312 winter are basically unchanged compared with those during the boreal summer, but the orders
313 between the first and the second modes exchange with each other, suggesting that the EP
314 ENSO be the most significant driver to affect the SH GMP during the boreal winter.

315 As shown in Fig. 4(a), the EP warming is reflected in the first mode of SST, significantly
316 correlated with decreased rainfall over South Africa, the Maritime Continent–Australia, and
317 South America. Figure 4(b) show the PC1s and the NINO3 index, where the correlation
318 coefficient between PC1 (SST) and the EP ENSO (NINO3) is 0.94. The second mode now

319 reflects the AMO signal (Fig. 4c), which is significantly correlated with increased rainfall
320 over South Africa and Australia, but decreased rainfall over South America, which may
321 cancel out with each other and result in an insignificant trend in the total SH GMP. The
322 correlation coefficient between PC2 (SST) and the unsmoothed AMO is 0.82 (Fig. 4d). The
323 third mode indicates the CP ENSO, which is similar to that during the boreal summer except
324 for explaining a reduced percentage of the total covariance. As shown in Fig. 4(e), the
325 precipitation anomalies induced by the CP ENSO is less coherent compared to those induced
326 by the EP ENSO. In general, the CP warming tends to increase the oceanic rainfall, while
327 suppress the land rainfall over the SH. Figure 4(f) depicts the PC3s and the NINO4 index,
328 where the correlation coefficient between PC3 (SST) and the CP ENSO (NINO4) during the
329 boreal winter is 0.31. As seen from Fig. 4(f), the NINO4 seems to match well with the PC3s
330 series especially after the mid-1990s, probably due to the increasing intensity as well as
331 occurrence frequency of the CP ENSO since the 1990s (Lee and McPhaden 2010).

332 *c. AGCM simulations*

333 Figures 5 and 6 illustrate the regression patterns of observed and simulated global
334 precipitations onto the AMO/NINO3 index, during the boreal summer and winter,
335 respectively. In general, all AGCMs have reproduced similar regression patterns shown in the
336 observations regardless of the different resolutions for each AGCM.

337 During the boreal summer (Fig. 5), the strengthened AMO was simulated to increase
338 precipitation over West Africa and Central America, and to decrease precipitation over
339 ANWP. As seen from the right panel of Fig. 5, the EP warming was simulated to increase

340 precipitation over the central–eastern Pacific, and to reduce precipitation over the whole NH
341 GM regions. The AGCMs seemed to show a relatively poor skill in reproducing the
342 precipitation pattern over AWNP. Compared with the observations, the AGCMs-simulated
343 rainfall over Central America (ANWP) is overstated (understated).

344 During the boreal winter (Fig. 6), the intensified AMO was simulated to increase
345 precipitation over Australia (same as the observations) and South America (contrary to the
346 observations). As indicated by the right panels, the EP warming was simulated to suppress
347 precipitation over the entire SH GM region that was consistent with the observations. In
348 general, the AGCMs could better reproduce ENSO related precipitation pattern over the SH
349 GM region, compared to that induced by the AMO. It should be noted that the CP warming
350 was also simulated to decrease the NH/SH GMP (figure not shown), although the
351 precipitation anomalies were smaller than those induced by the EP SSTA.

352 Briefly, during the boreal summer, the NH GMP is primarily influenced by the AMO,
353 which boosts the increasing trend in NH GMP by a multidecadal modulation. During the
354 boreal winter, however, the EP ENSO is the most significant driver to the interannual
355 variability of the SH GMP, when the AMO – induced rainfall anomalies within the SH GM
356 domains may cancel out with each other and thus result in an insignificant trend in the total
357 SH GMP. The order changes between the first two SVD modes of GMP and global SST
358 during different seasons are understandable given their annual cycle features. During the
359 boreal summer, the GMP peaks over the NH, when ENSO is the weakest and the AMO –
360 forced signals dominate the NH climate; during the boreal winter, the GMP shifts into the SH,

361 when ENSO is the strongest and the AMO – related signals are mainly confined to the NH.
362 Thus the NH GMP is primarily modulated by the AMO during the boreal summer, while the
363 SH GMP is dominated by the EP ENSO during the boreal winter.

364 **5. Impacts of GMP on NH drought and HW**

365 *a. Large-scale conditions associated with GMP*

366 Figure 7(a) shows the anomalous atmospheric circulations associated with the NH GMP
367 during the boreal summer. The intensification of NH GMP is significantly correlated with
368 enhanced convection over West and Central Africa, South Asia, the northwestern Pacific, and
369 Central America, and suppressed convection over South Africa, West Australia, and South
370 America. In particular, anomalous divergent winds are induced in the subtropical upper
371 troposphere, which stretch poleward and lead to suppressed convection over the entire NH
372 middle latitudes. The anomalous strengthened convection and divergent winds over the WAF,
373 ANWP, and NAM regions are coinciding with the suppressed convection and convergent
374 winds over the NH middle latitudes, implying an intensified meridional circulation.

375 Figure 7(b) shows the anomalous atmospheric circulations associated with the SH GMP
376 during the boreal winter. The intensification of SH GMP is associated with enhanced
377 convection over South Africa, the Maritime Continent – Australia and South America,
378 accompanied by intensified upper tropospheric divergent winds over these regions. The
379 emanated divergent winds converge over the Southern Indian and Pacific Oceans and the NH
380 subtropical regions, leading to suppressed convection over the Middle East, East Asia, and the
381 southern United States. No matter for the NH GMP or for the SH GMP, the correlated

382 divergent winds in the upper troposphere are found to converge over the central and eastern
383 Pacific, suggesting that the GMPs be closely tied to ENSO, as discussed in section 4.

384 Figure 8 shows the regression patterns of seasonal mean soil moisture and maximum 2m
385 temperature (Mx2t) onto the normalized NH GMP index during the boreal summer, where the
386 linear trends have been removed from the NH GMP. As seen from Fig. 8(a), drier soil
387 conditions are observed in vast areas, including the Mediterranean Sea, Central Eurasia, and
388 North America. Correspondingly, anomalous warmings appear in wide regions, especially the
389 preferred areas including North America, West Russia, Central-East Asia, and the
390 Mediterranean Sea, where the local convection is significantly suppressed due to the forced
391 descending motions associated with the intensification of NH GMP.

392 It should be emphasized that the significant warmings over the Eurasian and North
393 American continents may strengthen the thermal contrast between land and oceans, which
394 could reinforce the NH GMP. In turn, the enhanced NH GMP would further intensify the
395 descending branch of the forced meridional overturning circulation, resulting in anomalously
396 suppressed convection and sinking motion in the NH middle latitudes. Such large-scale
397 teleconnection between rising and sinking air masses is similar to the “warm land–cold ocean”
398 mechanism of Wang et al. (2012) or the “monsoon–desert” mechanism of Cherchi et al.
399 (2016), which indicates that the diabatic heating associated with the monsoonal rainfall could
400 drive large-scale circulation anomalies and trigger abnormal subsidence in remote regions.
401 The anomalous descending motions over the NH mid-latitude regions are usually
402 accompanied by clear skies, which may result in less precipitation and more downward solar

403 radiation, and thus drier and hotter soil conditions that favor the occurrence of droughts and
404 HWs in the NH middle latitudes.

405 Figure 9 is similar to Fig. 8, but depicts the regression patterns of spring soil moisture
406 (MAM) and summer Mx2t (JJAS) onto the antecedent winter SH GMP. The soil moisture is
407 believed to have a seasonal-scale memory, which may help the SH GMP signals to persist
408 into the following seasons. During the boreal spring, in response to an intensified SH GMP,
409 drier soil moisture is observed in the NH subtropics, including North Africa, Middle East and
410 the south United States (Fig. 9a). However, the SH GMP seems to show less significant
411 impacts on the following summer temperature (Fig. 9b), suggesting that the NH summer HWs
412 be irrelevant to the antecedent SH GMP. The failed linkage of SH GMP to the following
413 summer surface temperature in the NH subtropics could be associated with the rapid growth
414 of convective noises over monsoon regions and decay of ENSO amplitudes in the transitional
415 season, which could obscure the previous SH GMP signals (Webster and Yang 1992).

416 *b. Relationship between GMP and NH extremes*

417 Figure 10 illustrates the scatter plots between NH GMP and NH mid-latitude droughts
418 and between negative NINO3 index (i.e., La Niña) and NH mid-latitude droughts during the
419 boreal summer, in order to compare the differences between NH GMP and ENSO in affecting
420 the NH extremes. The area index of mid-latitude droughts (or HWs) in each year can be
421 acquired by computing the number of grid points over land (30°N – 60°N), where the PDSI
422 (or HWD for HWs) meets the criteria mentioned in section 2. A larger area index implies a
423 broader domain where the extremes emerge.

424 As shown in the left panels of Fig. 10, an intensified NH GMP tends to be correlated
425 with more widespread droughts. The correlation coefficients between the moderate, severe,
426 extreme droughts and the NH GMP are 0.26, 0.37, and 0.36, respectively. Compared to the
427 moderate droughts, the severe and extreme droughts show more close connection with the NH
428 GMP. The right panels of Fig. 9 show the scatter plots of the moderate, severe, extreme
429 droughts with ENSO (represented by negative NINO3 index), showing correlation
430 coefficients of 0.14, 0.29, and 0.27, respectively, indicating that a cooling eastern Pacific may
431 amplify the drought domain in the NH middle latitudes. In comparison, the correlation
432 coefficients between drought and ENSO are much smaller compared with the correlation
433 coefficients between drought and NH GMP. That is to say, ENSO alone cannot fully explain
434 the significant relationships between mid-latitude drought and NH GMP. Indeed, ENSO is at
435 its weakest phase during the boreal summer, and the other factors, such as the AMO, may
436 greatly strengthen the NH GMP – mid-latitude drought relations.

437 Figure 11 shows the similar scatter plots between moderate, severe, extreme HWs and
438 the NH GMP during the boreal summer, whose correlation coefficients are 0.18, 0.4, and 0.48,
439 respectively. In general, the relationships of NH GMP with the HWs are more robust than that
440 with the droughts. The increased occurrence of mid-latitude HWs likely results from the
441 lower precipitation–lower evaporation feedback. That is, the precipitation deficits could lead
442 to a drier soil and reduce the evaporation cooling, which in turn decrease the local
443 precipitation, eventually resulting in severer droughts and increased hot weather events. The
444 right panels of Fig. 11 indicate the relationships between moderate, severe, extreme HWs and

445 ENSO, whose correlation coefficients are -0.12, 0.26, and 0.37, respectively. Similarly,
446 although the ENSO shows significant linkage to the mid-latitude HWs, the correlation
447 coefficients are much weaker than that with the NH GMP, further demonstrating that ENSO
448 is not the only factor that affects the NH GMP – NH extreme relationship.

449 Figure 12 (13) illustrate the relationships between spring drought (summer HWs) in the
450 NH subtropics ($10^{\circ}\text{N} - 30^{\circ}\text{N}$) and the antecedent winter SH GMP. Unlike the NH GMP, the
451 SH GMP seems to show weak relationships with the subtropical extremes (both droughts and
452 HWs). As seen from Fig. 12, the intensified SH GMP is significantly correlated with a
453 moderate drought in the following spring, with a correlation coefficient of 0.33. However, the
454 correlations of SH GMP with severe and extreme droughts are insignificant. In comparison,
455 the La Niña seems to favor the occurrence of subtropical droughts, although the correlation
456 coefficients are also insignificant. As shown in Fig. 13, both the SH GMP and ENSO show
457 insignificant correlation with the following summer HWs. The signals forced by the SH GMP
458 or ENSO in the antecedent winter seem to fail to persist sufficiently into the following
459 summer, which is consistent with the results shown in Fig. 9(b).

460 Finally, although the NH and SH GMPs may directly affect the NH climate through the
461 modulation of meridional circulations, it should be cautious that the current study has not
462 excluded the possible impact of SSTAs via planetary wave propagation. It is certain that both
463 the GMP and the NH climate are affected by ENSO, and thus there must be some connections
464 among them. However, this study has also pointed out that ENSO alone is insufficient to
465 explain the significant relations between GMP and the NH climate and extremes. As revealed

466 by the SVD analysis, the AMO and ENSO are the primary oceanic drivers to the GMP, and
467 they dominate the GMP during the boreal summer and winter, respectively. Even if the impact
468 of ENSO on the NH climate is realized via planetary waves, in most cases, tropical wave
469 trains are found to originate from specific regions where strong latent heating exists. Such
470 latent heating can be released by the GM rainfall.

471 **6. Summary**

472 The GM domain can be separated into 6 sectors, i.e., West Africa, Asia –Northwestern
473 Pacific, North America in the NH during boreal summer, and East Africa, Australia, South
474 America in the SH during boreal winter. The NH GMP shows an increasing trend for the
475 period of 1979 – 2015, while the trend in SH GMP is insignificant. The strengthened NH
476 GMP may result from the greenhouse warming and the multi-decadal modulation of AMO.

477 During the boreal summer, the first three SVD modes between NH GMP and global SST
478 reflect the AMO, the EP ENSO and the CP ENSO, respectively. Associated with the AMO,
479 significant warmings appear in the Atlantic, India, and the western Pacific Oceans, which
480 may lead to more water moisture being evaporated into the atmosphere that increases the NH
481 GMP. The EP and CP warmings are generally associated with suppressed rainfall over the
482 NH GM domains, and vice versa. During the boreal summer, the GMP locates over the NH,
483 when ENSO intensity is weakest and the AMO could dominate the NH climate. The phase
484 shift of AMO, from previous negative to the post positive phases, is proposed to contribute to
485 the upward trend in the NH GMP.

486 During the boreal winter, the first three SVD modes between SH GMP and global SST

487 respectively indicate the EP ENSO, the AMO, and the CP ENSO, where the orders of the first
488 two modes change with each other. The EP warming tends to suppress the rainfall over the
489 entire SH GM domain. However, the AMO is associated with increased rainfall over South
490 Africa and Australia, and decreased rainfall over South America, which may cancel out with
491 each other and thus result in an insignificant trend in the SH GMP.

492 The enhancement of NH GMP corresponds to stronger convection and intensified upper
493 tropospheric divergent winds over the NH GM regions, which stretch poleward and trigger
494 suppressed convection over the middle latitudes. As a result, less precipitation and drier soil
495 appear over the vast areas of Eurasia and North America, which favor the occurrences of
496 mid-latitude droughts and HWs. The connections between NH GMP and the mid-latitude
497 droughts and HWs could be maintained and reinforced by a “monsoon–desert” like
498 mechanism, which denotes that the diabatic heating associated with the monsoonal rainfall
499 could trigger anomalous subsidence over remote regions by forcing large–scale atmospheric
500 circulation. In comparison, the SH GMP shows much small impacts on the NH extremes.
501 Although the enhancement of SH GMP tends to reduce the rainfall over NH subtropical
502 regions and lead to a moderate drought during the boreal spring, its relationships with the
503 severer spring droughts or the following summer HWs are insignificant. The SH GMP signals
504 fail to persist into the following summers, as discussed in many previous studies, which could
505 be related to the rapid growth of monsoon–related noise and the decay of ENSO amplitude
506 during the transitional season.

507 Our study points at the importance of NH GMP to the NH mid-latitude droughts/HWs.

508 The seasonal cycles in GMP and ENSO determine that the AMO could be the most important
509 oceanic driver that modulates the upward “trend” in NH GMP during the boreal summer.
510 There are numerous studies that have investigated the impacts of Atlantic SSTAs on the
511 droughts/HWs in North America and Eurasia by a mechanism of planetary wave propagation
512 (e.g., McCabe et al. 2004; Qian et al. 2014; Zhou and Wu 2016). This study adds that the
513 AMO and ENSO may also affect the NH extremes through a modulation of meridional
514 atmospheric circulations that are driven by the intensification of NH GMP.

515

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676

677 **List of Figure Captions**

678 **Figure 1.** (a) GPCP seasonal precipitation differences between wet and dry seasons (JJAS
679 minus DJFM for the Northern Hemisphere, and DJFM minus JJAS for the Southern
680 Hemisphere), in which the global monsoon (GM) domains are outlined by red lines. (b) and
681 (c) show maps of 200-hPa divergent wind (vector) and OLR (shading) during the boreal
682 summer (JJAS) and winter (DJFM), respectively.

683 **Figure 2.** (a) Northern Hemisphere (NH) global monsoon precipitation (GMP) computed
684 from area average over the WAF, ANWP, and NAM domains. The blue and green lines
685 indicate the GPCP and CMAP data sets, respectively. (b) is the same as (a), but for the
686 Southern Hemisphere (SH) GMP computed from area average over the EAF, AUS, and SAM
687 domains. The linear trends in GMP are indicated by black/grey lines. The correlation
688 coefficients (CORR) between GPCP and CMAP GMPs are plotted in the lower right corner.

689 **Figure 3.** Singular Value Decomposition (SVD) analysis between JJAS NH GMP and JJAS
690 SST for the period of 1979–2015, where the heterogeneous correlation coefficient (CC)
691 patterns and corresponding time series for each mode are shown in the left and right panels,
692 respectively. The CC between PC1s/PC2s/PC3s for NH GMP and SST is 0.87/0.92/0.87. The
693 CC between PC1/PC2/PC3 for the SST pattern and the JJAS unsmoothed year-to-year
694 Atlantic Multi-decadal Oscillation (AMO)/JJAS NINO3/preceding DJFM NINO4 index is
695 0.82/0.85/0.44. The explained covariance is given in the parenthesis.

696 **Figure 4.** Same as Fig. 3, except for DJFM SH GMP and DJFM SST. The CC between

697 PC1s/PC2s/PC3s for SH GMP and SST is 0.92/0.90/0.89. The CC between PC1/PC2/PC3 for
698 the SST pattern and the DJFM NINO3/DJFM unsmoothed year-to-year AMO/ DJFM NINO4
699 index is 0.94/0.82/0.31.

700 **Figure 5.** Regression maps of simulated JJAS global precipitation (unit: mm/day) onto the
701 JJAS AMO (left) and NINO3 (right) indices. The AGCMs with different horizontal
702 resolutions are indicated above each subplot.

703 **Figure 6.** Same as Fig. 5, except for the simulated DJFM global precipitation (unit: mm/day)
704 regressed onto the DJFM AMO (left) and NINO3 (right) indices.

705 **Figure 7.** Correlation coefficients of OLR (shading) and 200-hPa divergent wind (vector)
706 with the NH (a) and SH (b) GMP indices obtained from the GPCP data. The magnitudes of
707 vectors indicate the square root of correlation coefficients of zonal and meridional velocities
708 with PCs. The linear trends have been removed from the GMP indices.

709 **Figure 8.** (a) Regression of 0–200 cm soil moisture content (shading) (a) and maximum 2m
710 temperature (Mx2t) (shading) (b) with the GPCP NH GMP index for boreal summer. The
711 dotted areas indicate that the anomalies exceed the 90% confidence levels. The linear trends
712 have been removed from the NH GMP index.

713 **Figure 9.** (a) Regression of 0–200 cm soil moisture content (shading) (a) and Mx2t (shading)
714 (b) with the GPCP SH GMP. The soil moisture is for boreal spring, while the Mx2t is for
715 boreal summer (JJAS). The dotted areas indicate that the anomalies exceed the 90%
716 confidence levels. The linear trends have been removed from the SH GMP index.

717 **Figure 10.** Diagrams of normalized area indices of boreal summer droughts in mid-latitude

718 regions ($30^{\circ}\text{N} - 60^{\circ}\text{N}$) with respect to the concurrent GPCP NH GMP (left, JJAS)/negative
719 NINO3.4 (right, JJAS), where the linear trends in NH GMP have been removed. The severity
720 of drought is defined in section 2. The calculation of drought area indices are seen in the text.
721 The correlation coefficients (CORR) are plotted in each panel.

722 **Figure 11.** Same as Fig. 10, except for the heat waves (HWs).

723 **Figure 12.** Same as Fig. 10, except for the boreal spring (MAM) droughts in subtropics
724 ($10^{\circ}\text{N} - 30^{\circ}\text{N}$) with respect to the antecedent GPCP SH GMP (left, DJFM)/negative NINO3
725 (right, DJFM), where the linear trends in SH GMP have been removed.

726 **Figure 13.** Same as Fig. 10, except for the boreal summer (JJAS) HWs in subtropics ($10^{\circ}\text{N} -$
727 30°N) with respect to the antecedent GPCP SH GMP (left, DJFM)/antecedent negative
728 NINO3 (right, DJFM), where the linear trends in SH GMP have been removed.

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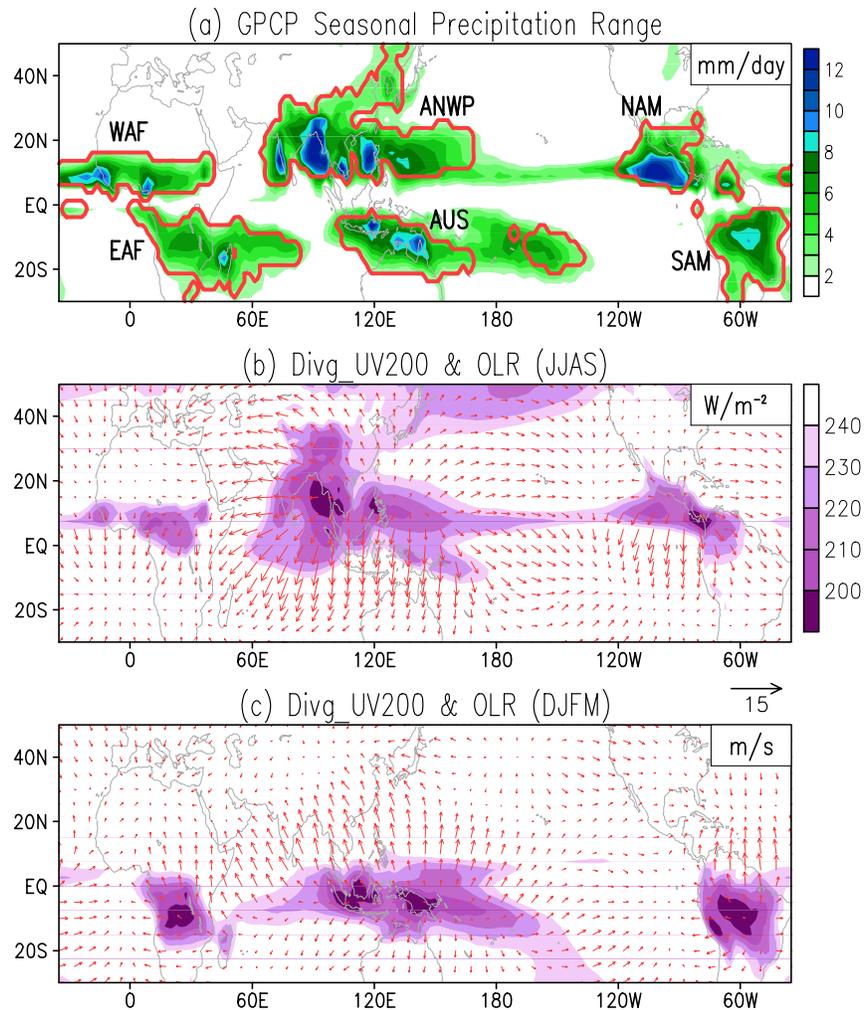
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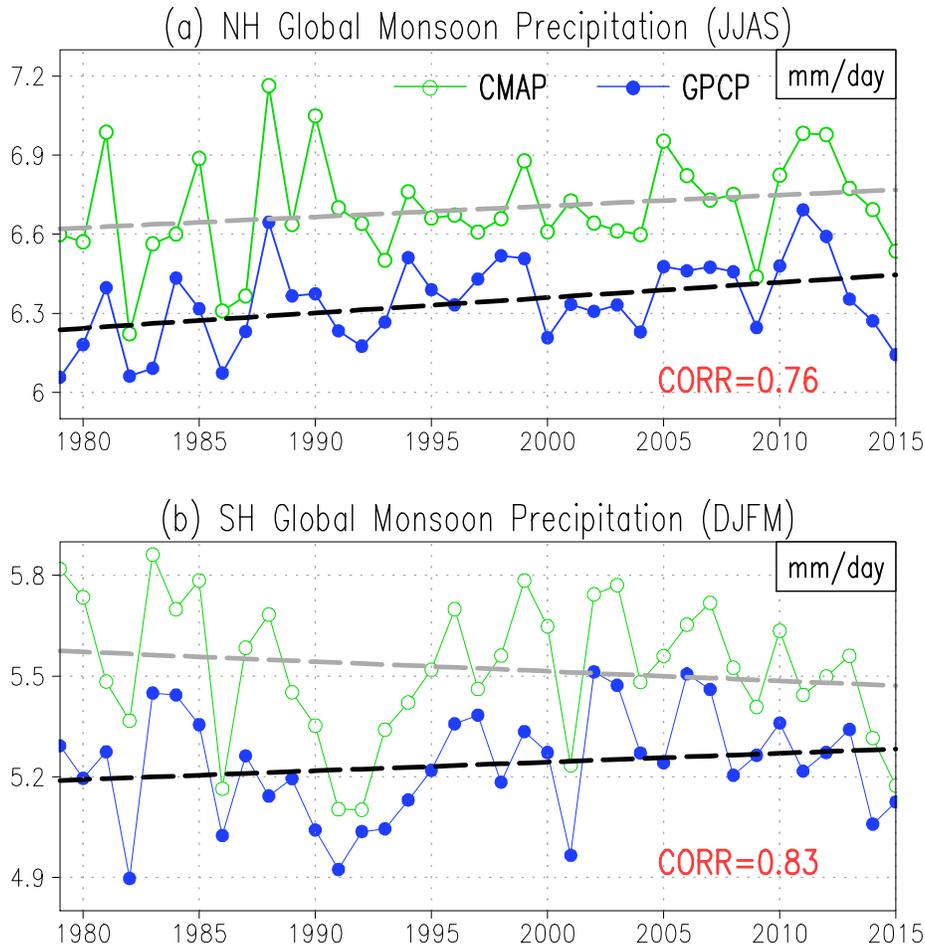
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737 **Figure 1.** (a) GPCP seasonal precipitation differences between wet and dry seasons (JJAS
 738 minus DJFM for the Northern Hemisphere, and DJFM minus JJAS for the Southern
 739 Hemisphere), in which the global monsoon (GM) domains are outlined by red lines. (b) and
 740 (c) show maps of 200-hPa divergent wind (vector) and OLR (shading) during the boreal
 741 summer (JJAS) and winter (DJFM), respectively.



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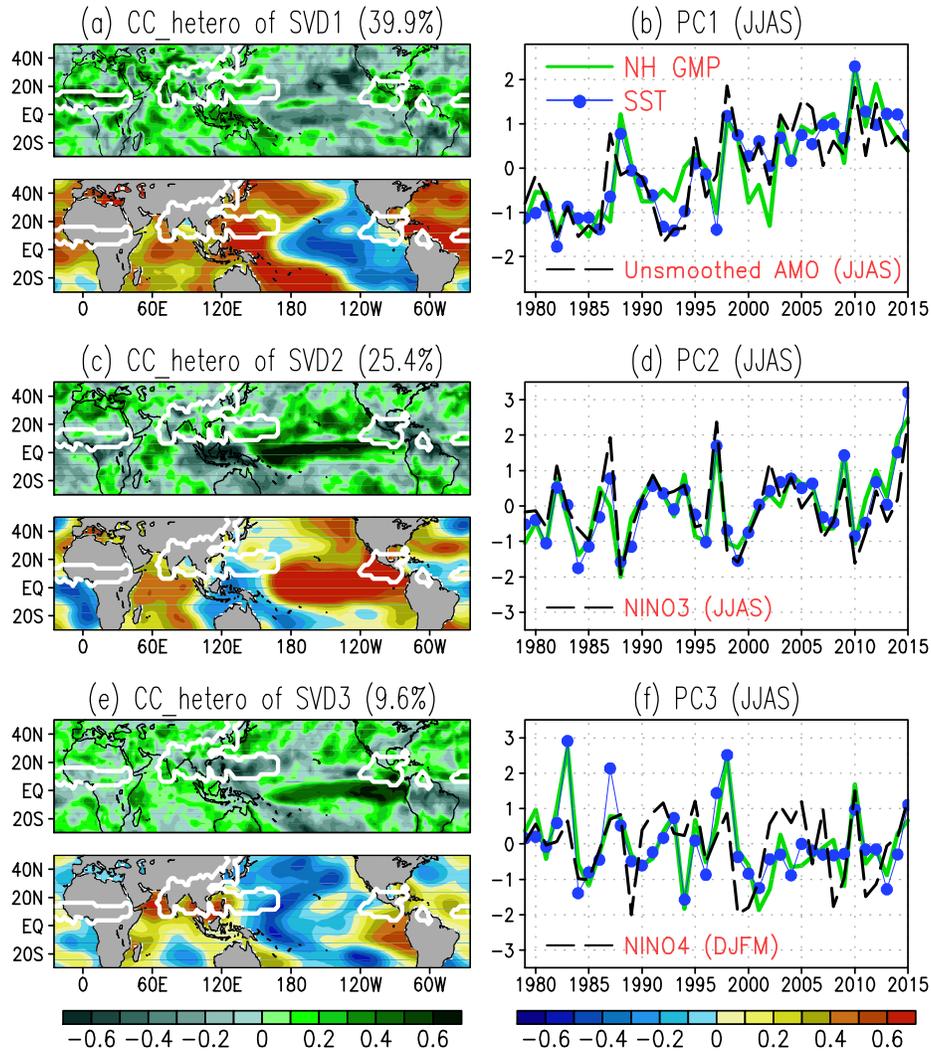
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744 **Figure 2.** (a) Northern Hemisphere (NH) global monsoon precipitation (GMP) computed
 745 from area average over the WAF, ANWP, and NAM domains. The blue and green lines
 746 indicate the GPCP and CMAP data sets, respectively. (b) is the same as (a), but for the
 747 Southern Hemisphere (SH) GMP computed from area average over the EAF, AUS, and SAM
 748 domains. The linear trends in GMP are indicated by black/grey lines. The correlation
 749 coefficients (CORR) between GPCP and CMAP GMPs are plotted in the lower right corner.

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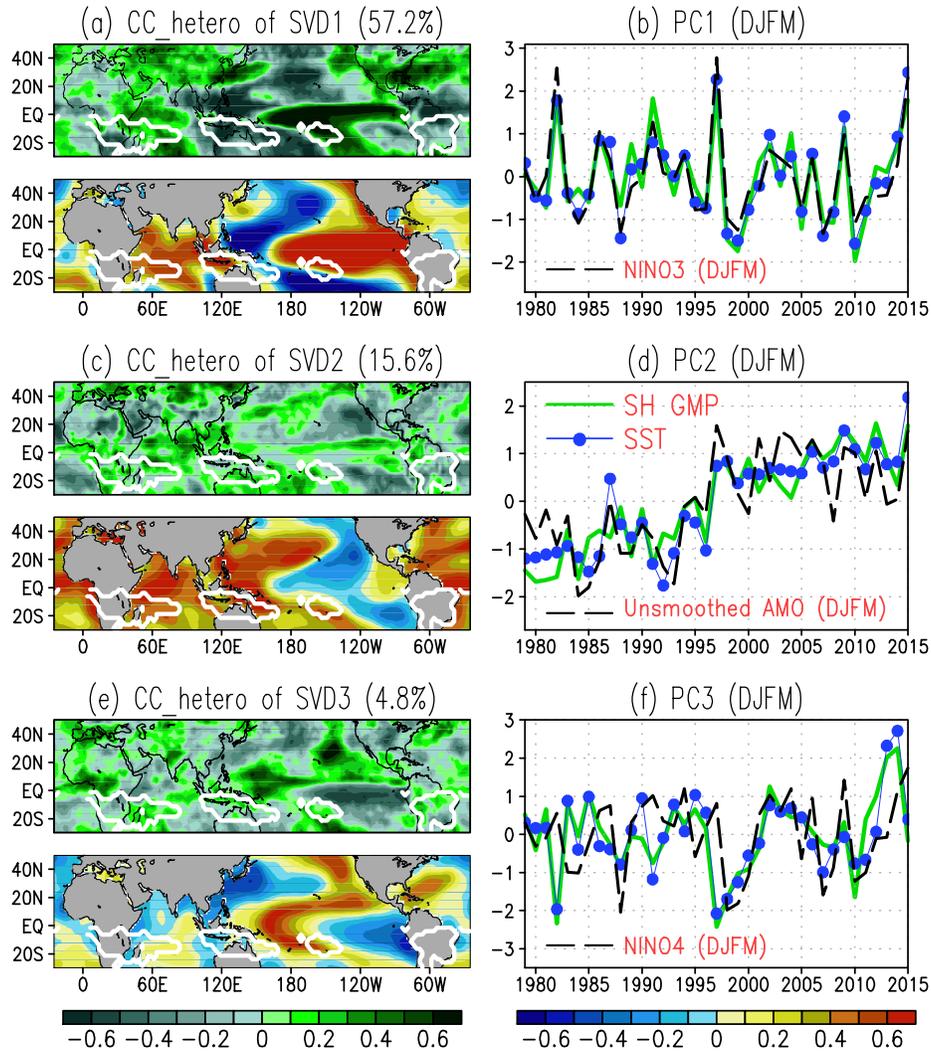
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755 **Figure 3.** Singular Value Decomposition (SVD) analysis between JJAS NH GMP and JJAS
 756 SST for the period of 1979–2015, where the heterogeneous correlation coefficient (CC)
 757 patterns and corresponding time series for each mode are shown in the left and right panels,
 758 respectively. The CC between PC1s/PC2s/PC3s for NH GMP and SST is 0.87/0.92/0.87. The
 759 CC between PC1/PC2/PC3 for the SST pattern and the JJAS unsmoothed year-to-year
 760 Atlantic Multi-decadal Oscillation (AMO)/JJAS NINO3/preceding DJFM NINO4 index is
 761 0.82/0.85/0.44. The explained covariance is given in the parenthesis.

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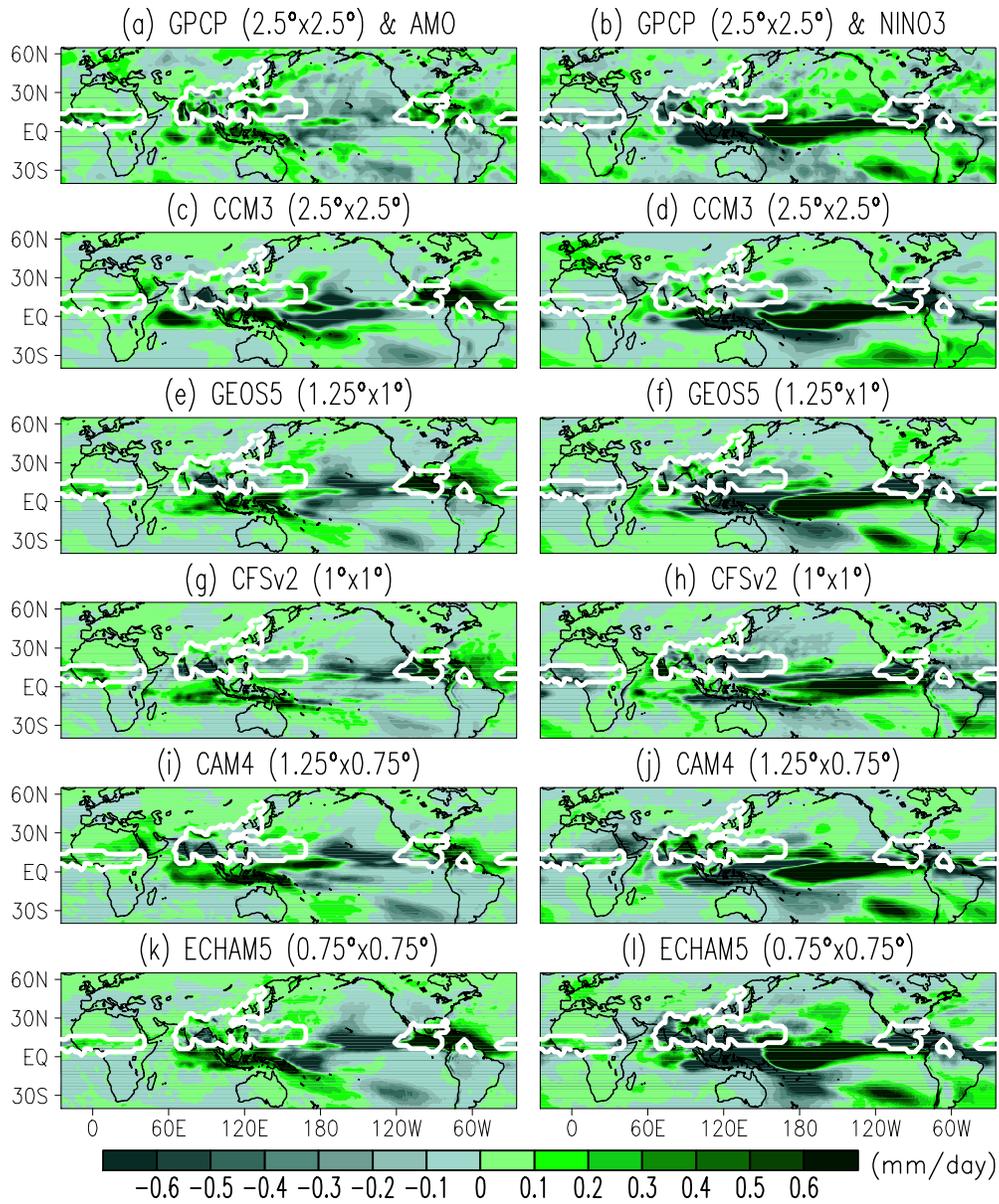
766 **Figure 4.** Same as Fig. 3, except for DJFM SH GMP and DJFM SST. The CC between
 767 PC1s/PC2s/PC3s for SH GMP and SST is 0.92/0.90/0.89. The CC between PC1/PC2/PC3 for
 768 the SST pattern and the DJFM NINO3/DJFM unsmoothed year-to-year AMO/ DJFM NINO4
 769 index is 0.94/0.82/0.31.

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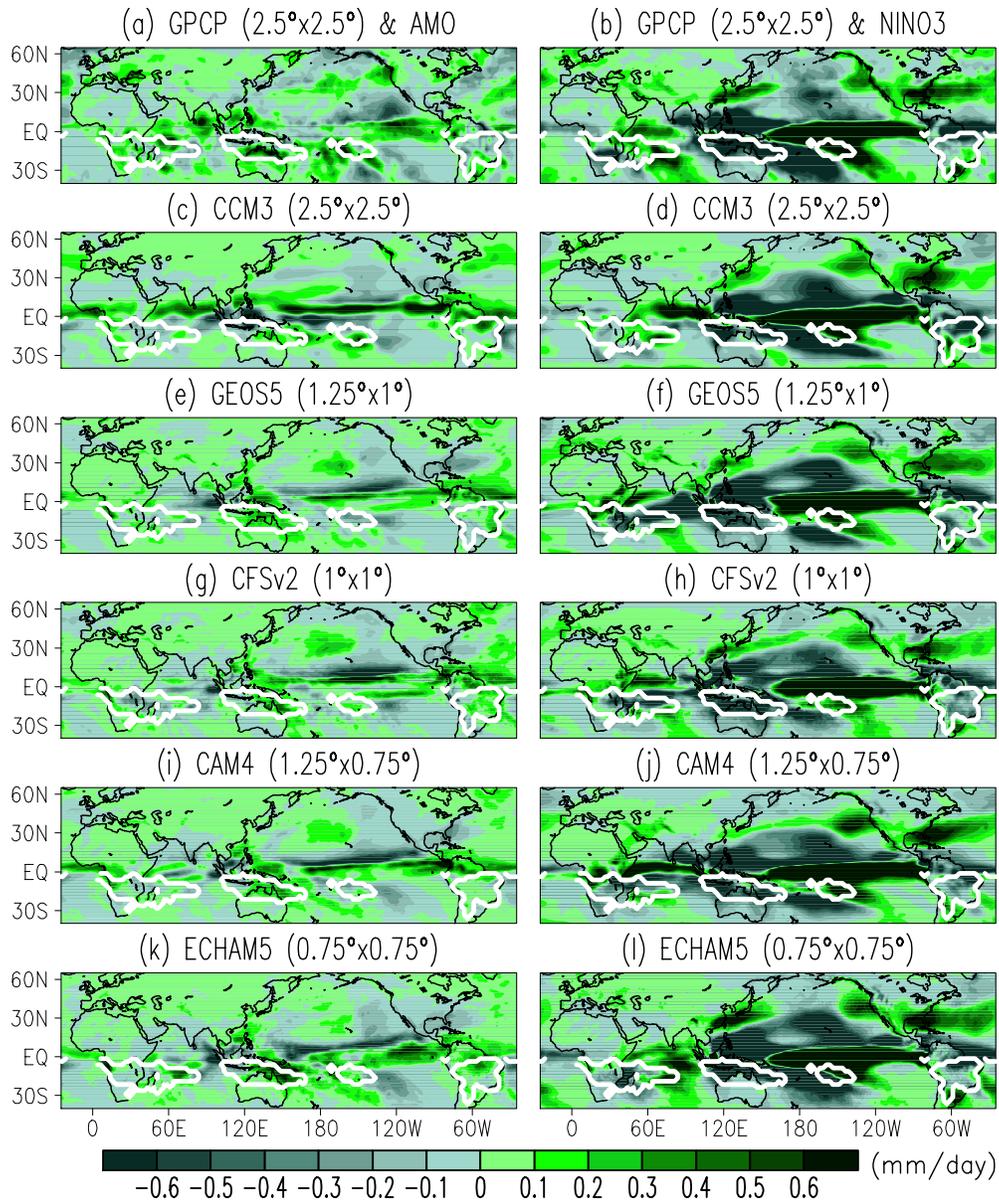
776 **Figure 5.** Regression maps of simulated JJAS global precipitation (unit: mm/day) onto the
 777 JJAS AMO (left) and NINO3 (right) indices. The AGCMs with different horizontal
 778 resolutions are indicated above each subplot.

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785 **Figure 6.** Same as Fig. 5, except for the simulated DJFM global precipitation (unit: mm/day)

786 regressed onto the DJFM AMO (left) and NINO3 (right) indices.

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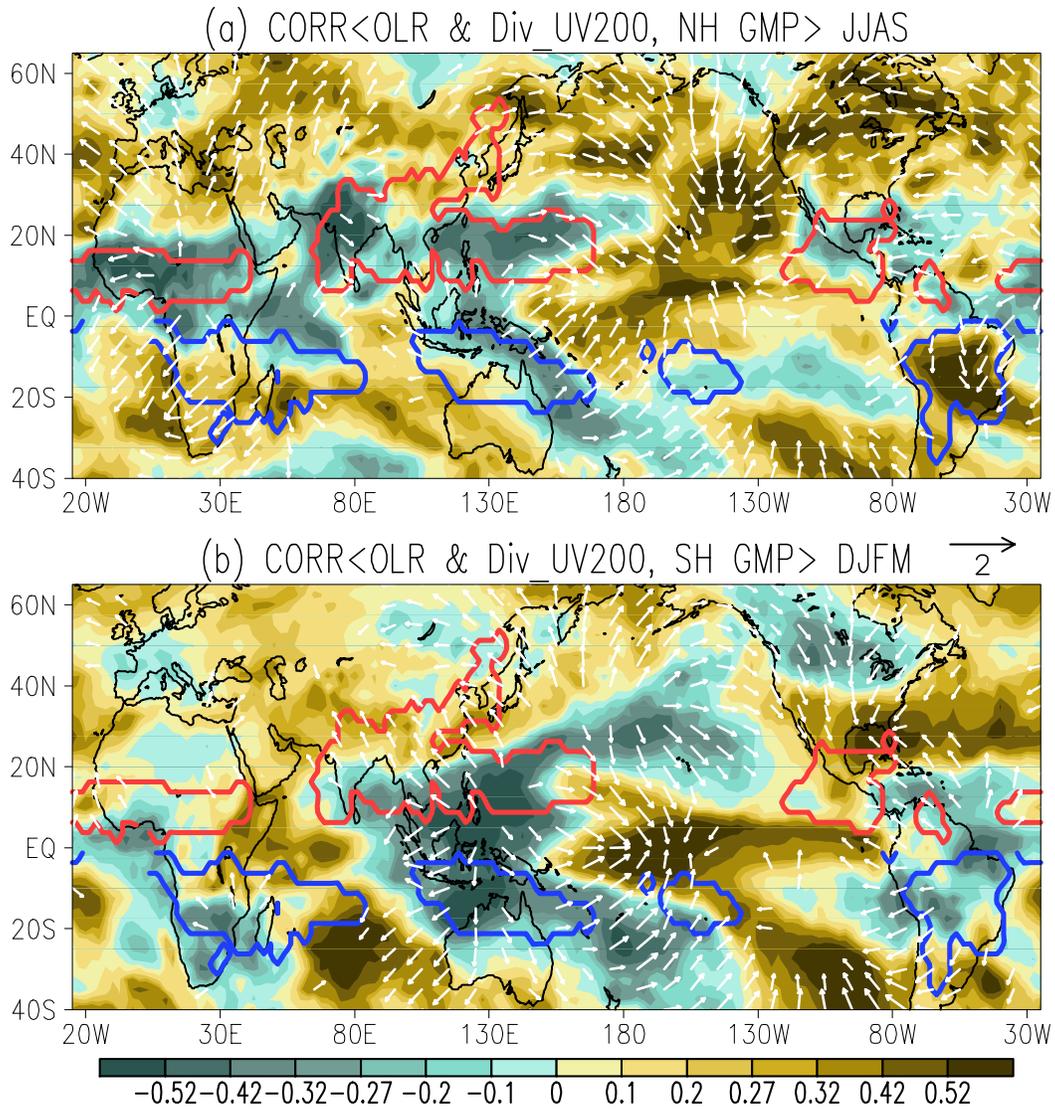
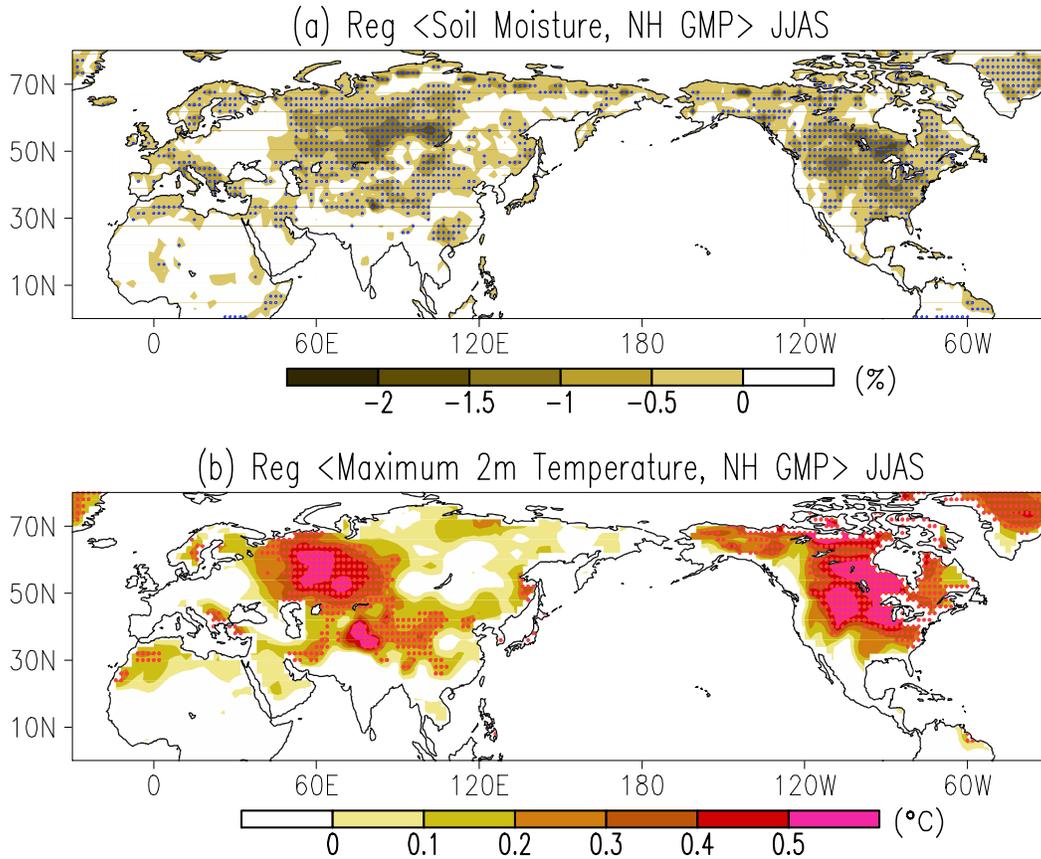


Figure 7. Correlation coefficients of OLR (shading) and 200-hPa divergent wind (vector) with the NH (a) and SH (b) GMP indices obtained from the GPCP data. The magnitudes of vectors indicate the square root of correlation coefficients of zonal and meridional velocities with PCs. The linear trends have been removed from the GMP indices.



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800 **Figure 8.** (a) Regression of 0–200 cm soil moisture content (shading) (a) and maximum 2m

801 temperature (Mx2t) (shading) (b) with the GPCP NH GMP index for boreal summer. The

802 dotted areas indicate that the anomalies exceed the 90% confidence levels. The linear trends

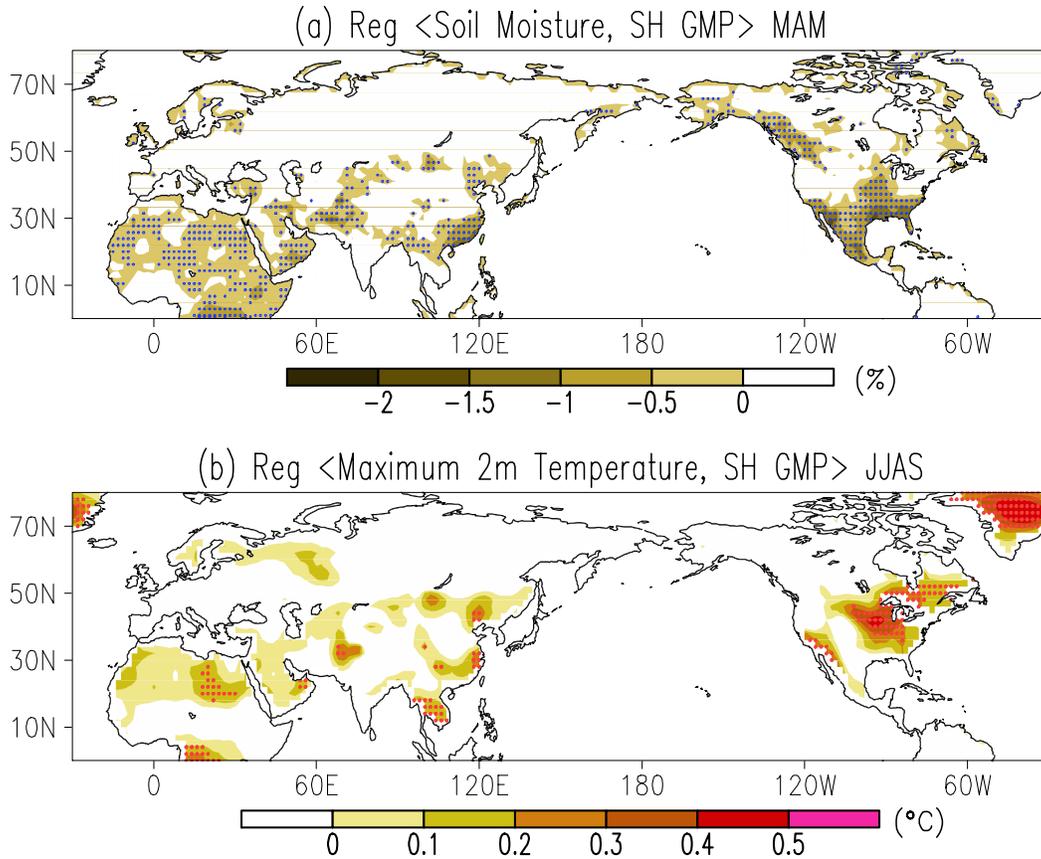
803 have been removed from the NH GMP index.

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810 **Figure 9.** (a) Regression of 0–200 cm soil moisture content (shading) (a) and Mx2t (shading)

811 (b) with the GPCP SH GMP. The soil moisture is for boreal spring, while the Mx2t is for

812 boreal summer (JJAS). The dotted areas indicate that the anomalies exceed the 90%

813 confidence levels. The linear trends have been removed from the SH GMP index.

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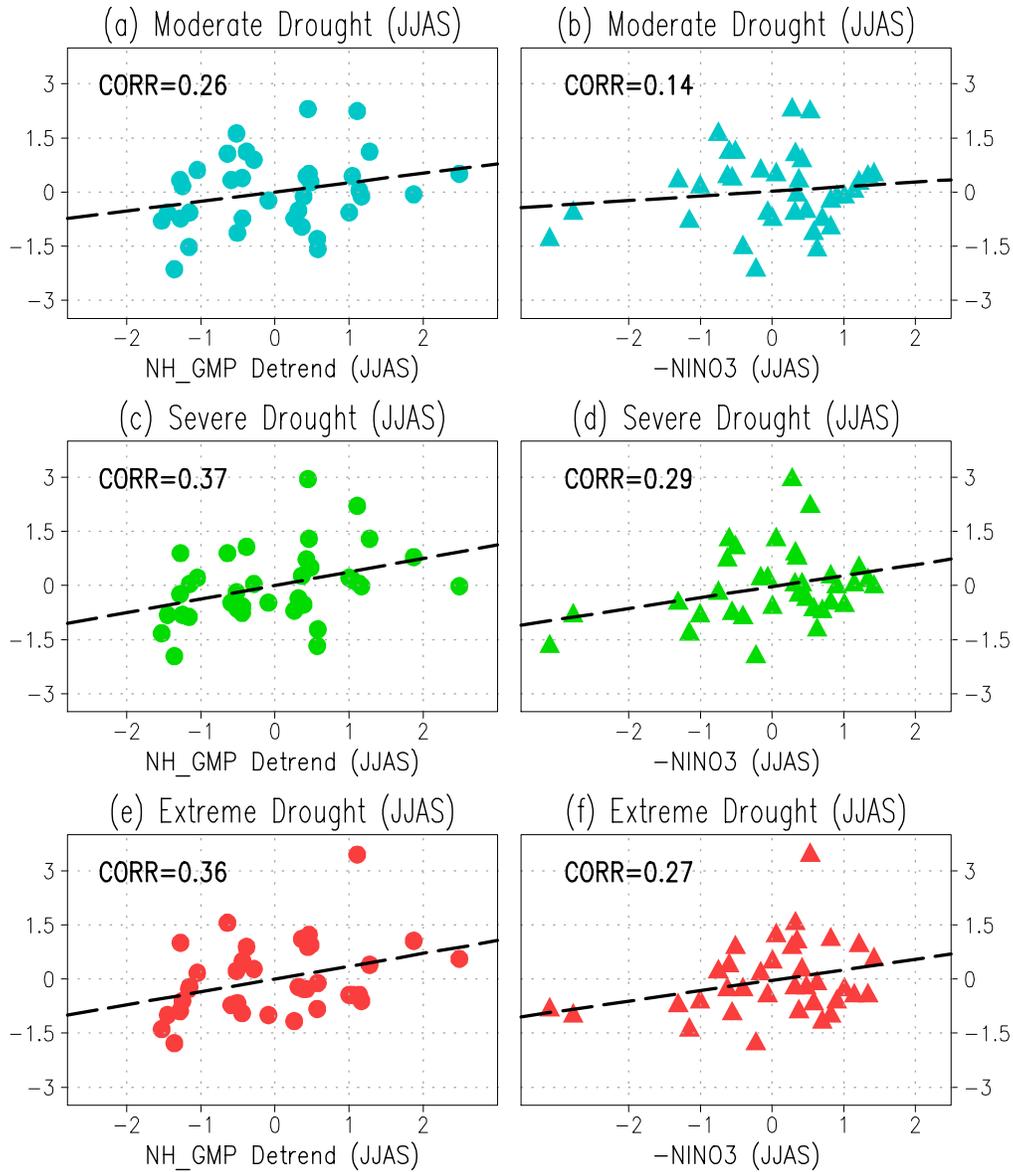
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823 **Figure 10.** Diagrams of normalized area indices of boreal summer droughts in mid-latitude

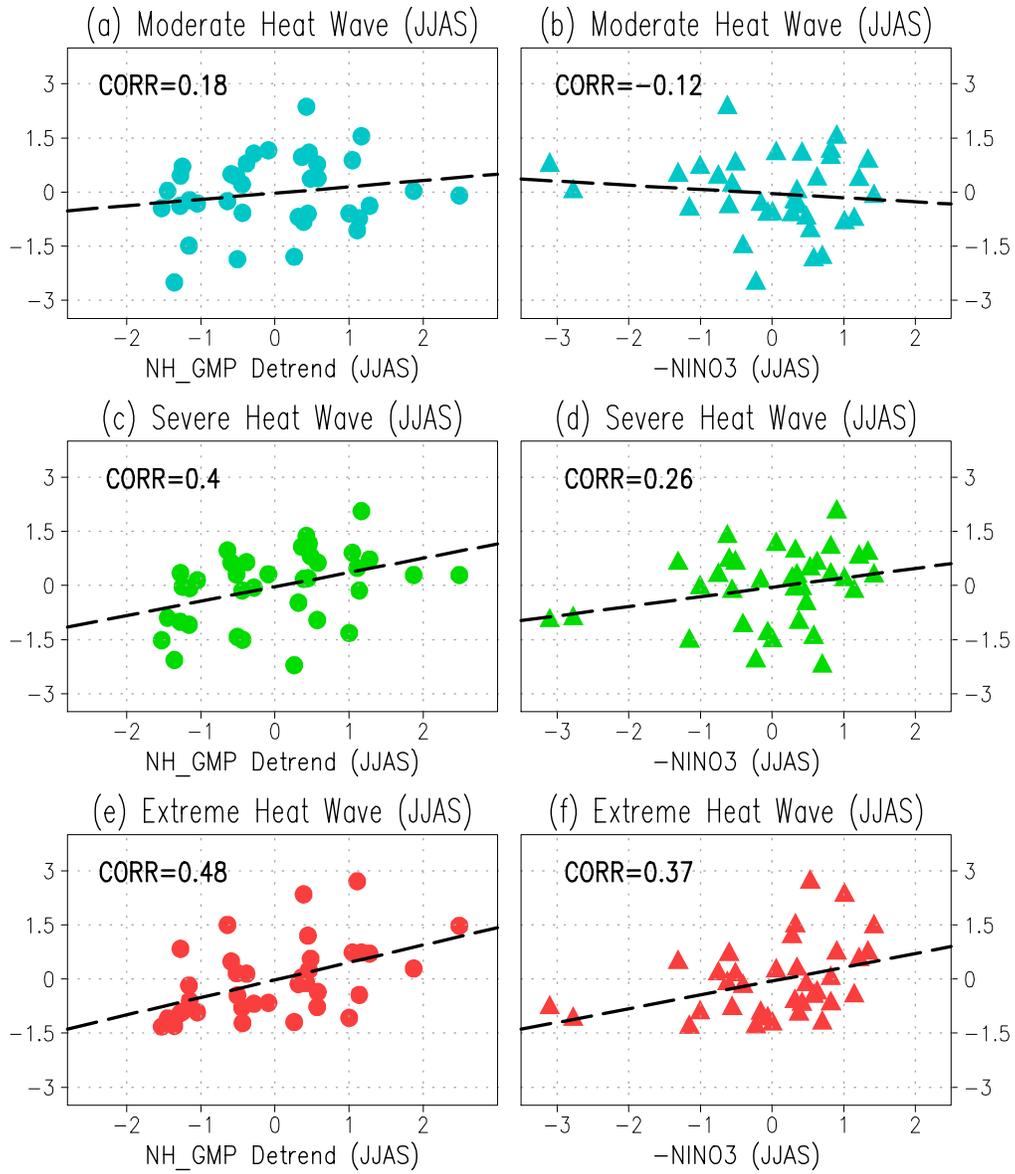
824 regions (30°N – 60°N) with respect to the concurrent GPCP NH GMP (left, JJAS)/negative

825 NINO3.4 (right, JJAS), where the linear trends in NH GMP have been removed. The severity

826 of drought is defined in section 2. The calculation of drought area indices are seen in the text.

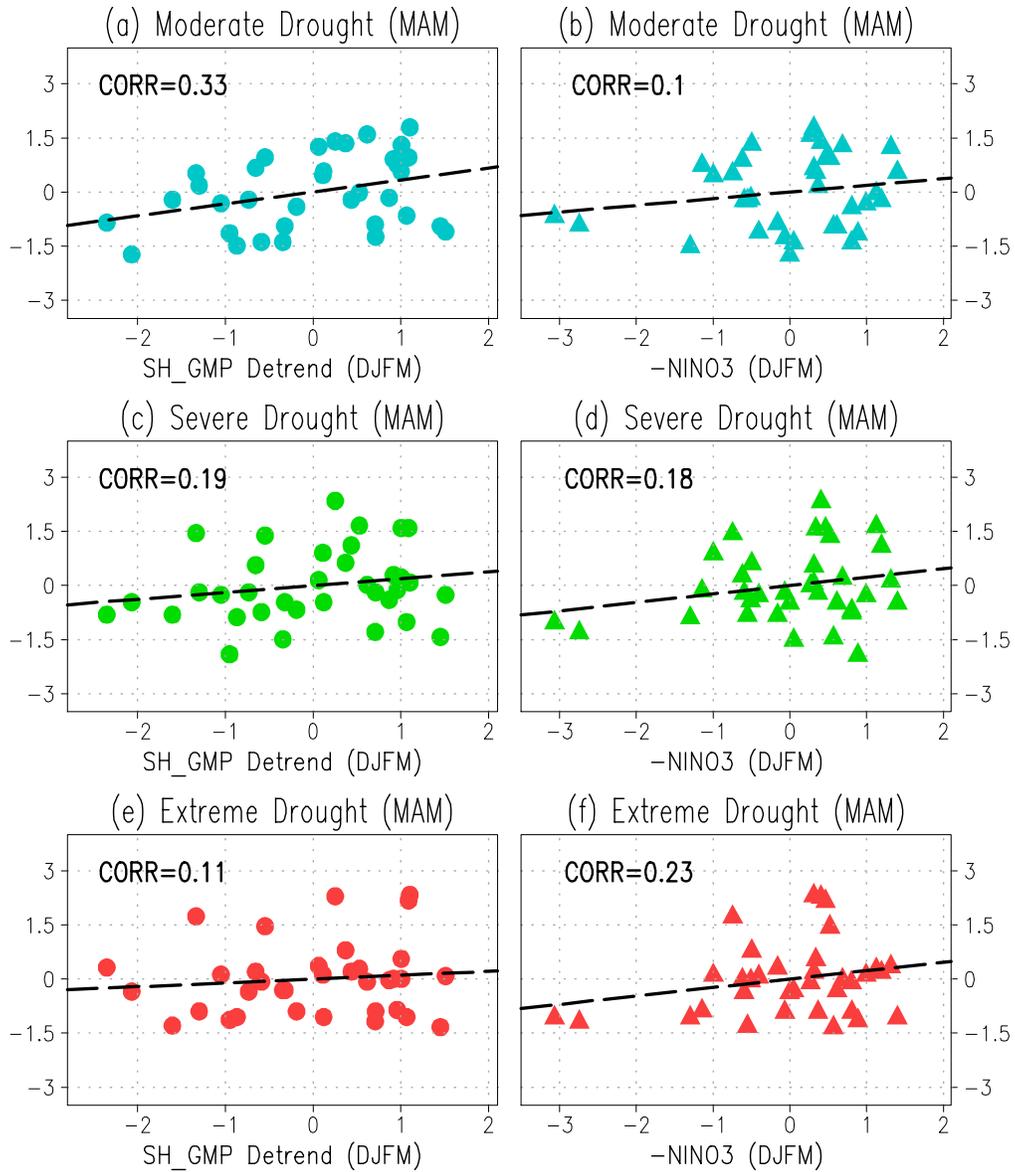
827 The correlation coefficients (CORR) are plotted in each panel.

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Figure 11. Same as Fig. 10, except for the heat waves (HWs).



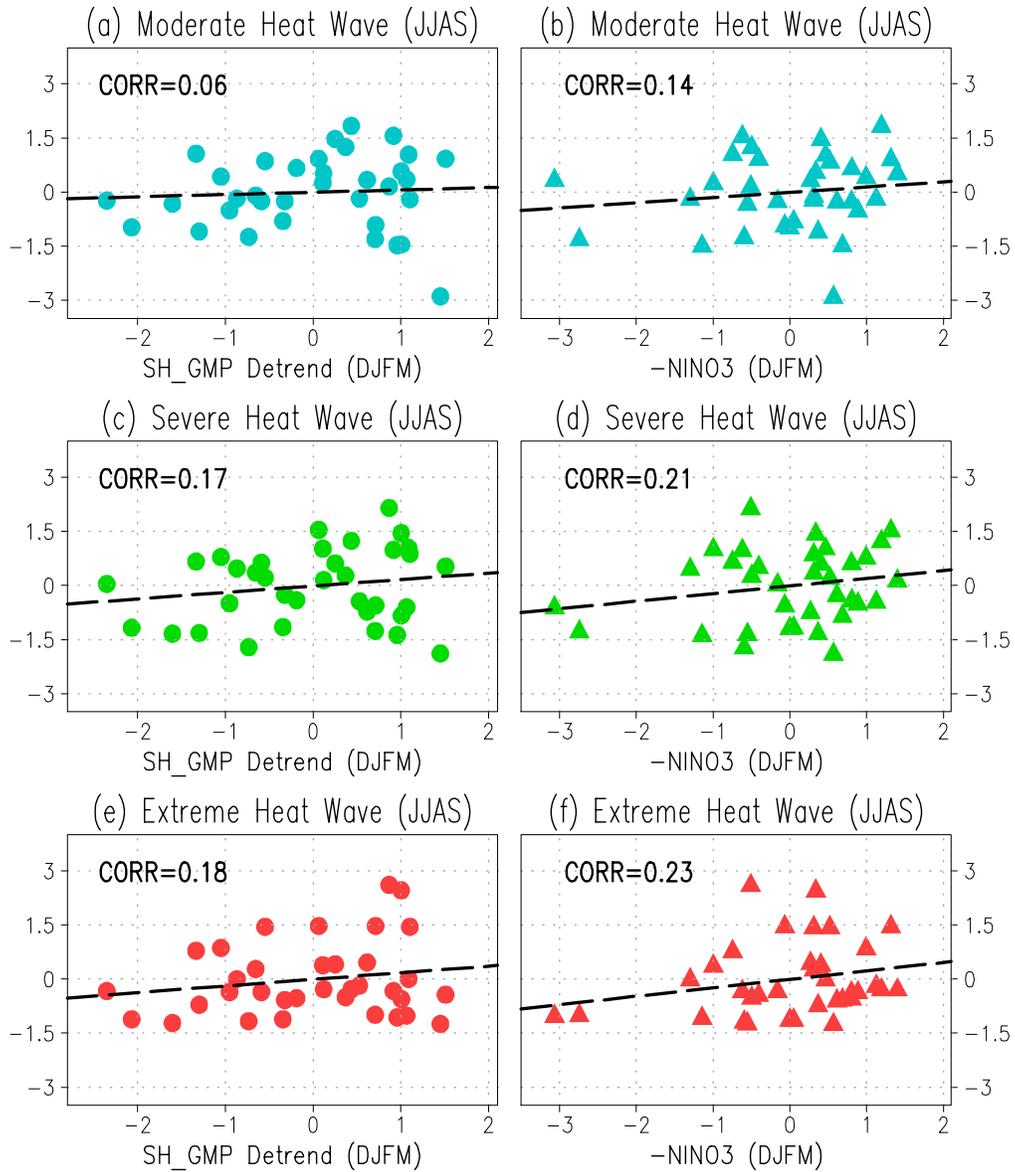
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840 **Figure 12.** Same as Fig. 10, except for the boreal spring (MAM) droughts in subtropics
 841 (10°N – 30°N) with respect to the antecedent GPCP SH GMP (left, DJFM)/negative NINO3
 842 (right, DJFM), where the linear trends in SH GMP have been removed.

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848 **Figure 13.** Same as Fig. 10, except for the boreal summer (JJAS) HWs in subtropics (10°N –

849 30°N) with respect to the antecedent GPCP SH GMP (left, DJFM)/antecedent negative

850 NINO3 (right, DJFM), where the linear trends in SH GMP have been removed.

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