

Summertime cyclones over the Great Lakes Storm Track from 1860–2100: Variability, trends, and association with ozone pollution

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1 **Abstract.** Prior work indicates that the frequency of summertime mid-latitude cyclones tracking
2 across the Great Lakes Storm Track (GLST, bounded by: 70°W, 90°W, 40°N, and 50°N) are strongly
3 anticorrelated with ozone (O₃) pollution episodes over the Northeastern United States (US). We
4 apply the MAP Climatology of Mid-latitude Storminess (MCMS) algorithm to 6-hourly sea level
5 pressure fields from over 2500 years of simulations with the GFDL CM3 global coupled chemistry-
6 climate model. These simulations include (1) 875 years with constant 1860 emissions and forcings
7 (Pre-industrial Control), (2) five ensemble members for 1860–2005 emissions and forcings (Histor-
8 ical), and (3) future (2006–2100) scenarios following the Representative Concentration Pathways
9 (RCP 8.5 (one member; extreme warming); RCP 4.5 (three members; moderate warming); RCP
10 4.5* (one member; a variation on RCP 4.5 in which only well-mixed greenhouse gases evolve along
11 the RCP 4.5 trajectory)). The GFDL CM3 Historical simulations capture the mean and variabil-
12 ity of summertime cyclones traversing the GLST within the range determined from four reanalysis
13 datasets. Over the 21st century (2006–2100), the frequency of summertime mid-latitude cyclones
14 in the GLST decreases under the RCP 8.5 scenario ($m = -0.06\text{a}^{-1}$, $p < 0.01$) and in the RCP 4.5
15 ensemble mean ($m = -0.03\text{a}^{-1}$, $p < 0.01$). These trends are significant when assessed relative to
16 the variability in the Pre-industrial Control simulation ($p > 0.06$ for 100-year sampling intervals;
17 $-0.01\text{a}^{-1} < m < 0.02\text{a}^{-1}$). In addition, the RCP 4.5* scenario enables us to determine the relation-
18 ship between summertime GLST cyclones and high-O₃ events (> 95th percentile) in the absence of
19 emission changes. The summertime GLST cyclone frequency explains less than 10% of the vari-
20 ability in high-O₃ events over the Northeastern US in the model. Our findings imply that careful

21 study is required prior to applying the strong relationship noted in earlier work to changes in storm
22 counts.

23 **1 Introduction**

24 Climate warming can impact air quality through feedbacks in the chemistry-climate system (e.g.,
25 Weaver et al., 2009; Jacob and Winner, 2009; Isaksen et al., 2009; Fiore et al., submitted). For
26 example, mid-latitude cyclones have been shown to impact air quality through their ability to ven-
27 tilate the boundary layer (e.g., Logan, 1989; Vukovich, 1995; Cooper et al., 2001; Li et al., 2005;
28 Leibensperger et al., 2008; Tai et al., 2012, submitted). Surface ozone is an air pollutant of concern to
29 public health (Bernard et al., 2001; Levy et al., 2001) and is particularly important in the Northeast-
30 ern US where a large fraction of counties have traditionally been out of attainment of the National
31 Ambient Air Quality Standard (NAAQS; EPA, 2006). As such, it is crucial to understand the pro-
32 cesses that modulate surface ozone concentrations in this region. Temperature is consistently identi-
33 fied as the most important meteorological variable influencing surface ozone concentrations (Aw and
34 Kleeman, 2003; Sanchez-Ccoyollo et al., 2006; Steiner et al., 2008; Dawson et al., 2007), Jacob and
35 Winner (2009) describe how this temperature dependence can be decomposed into components such
36 as stagnation (Jacob et al., 1993; Olszyna et al., 1997), thermal decomposition of peroxyacetyl nitrate
37 (PAN) (Sillman and Samson, 1995), and the temperature dependent emission of isoprene (Guenther
38 et al., 2006; Meleux et al., 2007). In this study we focus explicitly on the stagnation dependence,
39 which is shown to be anticorrelated with changes in mid-latitude cyclones (Leibensperger et al.,
40 2008).

41 Mid-latitude cyclones are, in and of themselves, an important atmospheric process on both syn-
42 optic and climatic scales due to their ability to transport energy on the regional scale. As such, there
43 has been major interest in understanding how the mid-latitude cyclone frequency may change in
44 the future (McCabe et al., 2001; Fyfe, 2003; Yin, 2005; Lambert and Fyfe, 2006; Bengtsson et al.,
45 2006; Pinto et al., 2007; Löptien et al., 2007; Ulbrich et al., 2008, 2009; Lang and Waugh, 2011).
46 Most models consistently project a shift in wintertime cyclones in a warming climate (Meehl et al.,
47 2007) but as of now there is no consensus among model predictions as to how summertime cyclone
48 frequencies may change (Lang and Waugh, 2011). Furthermore, because of the synoptic nature of
49 mid-latitude cyclones, there can be substantial interannual and decadal variability in the frequencies.
50 This variability makes it difficult to attribute observed and modeled changes to a particular phe-
51 nomenon and requires a rigorous analysis of the natural variability. Understanding future changes
52 in summertime cyclone frequencies is a three-step process that first involves characterizing the vari-
53 ability in cyclone frequencies, then evaluating the modeled cyclone frequencies against observational
54 datasets, and finally projecting summertime changes in cyclone frequencies in a warming climate.

55 Climatological distributions of cyclones are needed to evaluate general circulation model (GCM)

56 cyclone distributions because free-running GCMs (models that are not driven or nudged to observa-
57 tional data) are expected to reproduce the spatial patterns over decadal and centennial time-scales but
58 will differ substantially from observations on a year-to-year basis. Cyclone climatologies have been
59 developed from several methodologies including: visual inspection of NOAA weather maps (e.g.,
60 Zishka and Smith, 1980; Leibensperger et al., 2008), automatic detection methods applied to reanal-
61 ysis datasets (e.g., Zhang and Walsh, 2004; Pinto et al., 2007; Raible et al., 2008), or to GCMs (e.g.,
62 Lambert and Fyfe, 2006; Bengtsson et al., 2006; Lang and Waugh, 2011). Raible et al. (2008)
63 and Leibensperger et al. (2008) find generally good agreement between climatologies derived from
64 different methods of cyclone detection.

65 Leibensperger et al. (2008) found a strong anticorrelation between summertime mid-latitude cy-
66 clones and exceedances of the NAAQS ozone threshold (then 84 ppb) in the Northeastern US as
67 well as a decreasing trend in mid-latitude cyclones over the “southern storm track” which we here-
68 after refer to as the “Great Lakes Storm Track” (GLST) from 1980–2006 which they attribute to a
69 warming climate. Building upon their work, we examine the trends and variability of mid-latitude
70 cyclones in the Geophysical Fluid Dynamics Laboratory (GFDL) Climate Model version 3 (CM3)
71 simulations of Pre-industrial, present, and future climate and in four reanalyses.

72 **2 Data and Methods**

73 **2.1 GFDL CM3 model description**

74 We use a set of simulations conducted with the GFDL CM3 GCM (Donner et al., 2011; Naik
75 et al., submitted; Griffies et al., 2011; Shevliakova et al., 2009). Most pertinent to our application
76 are the fully coupled stratospheric and tropospheric chemistry based on the models of MOZART-
77 2 (Horowitz et al., 2003) and AMTRAC (Austin and Wilson, 2003), respectively, and aerosol-cloud
78 interactions in liquid clouds (Ming and Ramaswamy, 2009; Golaz et al., 2011). The GFDL CM3
79 uses a cubed sphere grid with 48×48 cells per face, resulting in a native horizontal resolution rang-
80 ing from ~ 163 km to ~ 231 km with 48 vertical layers. Results analyzed here have been re-gridded
81 to a traditional latitude-longitude grid with a horizontal resolution of $2^\circ \times 2.5^\circ$.

82 Simulations for this study (Table 1) follow the specifications for the Coupled Model Intercompar-
83 ison Project Phase 5 (CMIP5) in support of the upcoming International Panel on Climate Change
84 (IPCC) Assessment Report 5 (AR5). They are divided into three distinct time periods: (1) Control:
85 constant pre-industrial emissions and forcings simulated for 875 years, (2) Historical: five model
86 realizations (H1, H2, H3, H4, and H5; ensemble members) from 1860 to 2005 with anthropogenic
87 emissions from Lamarque et al. (2010), and (3) Future: 2006–2100 for three scenarios: Represen-
88 tative Concentration Pathway (RCP) 8.5 (Riahi et al., 2007, 2011), RCP 4.5 (Clarke et al., 2007;
89 Thomson et al., 2011), and a variation of RCP 4.5 in which only well-mixed green house gases
90 evolve in RCP 4.5 (RCP 4.5*; see also John et al. (submitted)) and short-lived climate forcers (O_3

91 precursors such as NO_x , CO, NMVOC, as well as aerosols and stratospheric ozone depleting sub-
92 stances) are held at 2005 levels. RCP 8.5 is an extreme warming scenario that corresponds to an
93 average global warming of 4.5K below 500 hPa (the lower troposphere) from 2006–2100. RCP 4.5
94 is a moderate warming scenario with an average global lower tropospheric warming of 2.3K from
95 2006–2100. RCP 4.5* is, again, a moderate warming scenario but has an average global lower tropo-
96 spheric warming of 1.4K from 2006–2100, the warming is less pronounced in RCP 4.5*, compared
97 to RCP 4.5, because aerosols (dominated by sulfate indirect effect; e.g., John et al. (submitted)) re-
98 main in the atmosphere held at 2005 levels. The RCP scenarios are named according to the radiative
99 forcing in the full scenario (e.g., RCP 8.5 for the radiative forcing of $8.5 \text{ W m}^{-2} \text{ K}^{-1}$ in 2100).
100 It is important to note that, as GFDL CM3 is a free-running chemistry climate model, we do not
101 expect the model to capture individual observed events (as is possible for models driven of nudged
102 to reanalysis meteorology) but we do expect the model to reproduce the climatologies, variability,
103 and trends as observed in the reanalysis datasets.

104

[Table 1 about here.]

105 **2.2 Cyclone detection and tracking methods**

106 There are many methods of detecting cyclones and storm tracks. Simple schemes that identify
107 the local minima in the daily-average mean sea level pressure (e.g., Lambert et al., 2002; Lang
108 and Waugh, 2011) or use the eddy kinetic energy as a direct representation of storm tracks (Yin,
109 2005) do not track the storms whereas more advanced algorithms attempt to identify individual
110 storms and track their spatial movement through time (e.g., Bauer and Del Genio, 2006; Raible
111 et al., 2008; Leibensperger et al., 2008; Bauer et al., under review). Raible et al. (2008) found
112 that three cyclone detection schemes based on substantially different concepts reproduced similar
113 cyclone climatologies but returned different cyclone trends; as such, we deemed it important to
114 utilize a more comprehensive storm tracking algorithm as trend analysis of storm frequencies is a
115 goal of this study.

116 Here we employ the MAP Climatology of Mid-latitude Storminess (MCMS) cyclone detection
117 and tracking algorithm of Bauer et al. (under review) (<http://gcss-dime.giss.nasa.gov/mcms/mcms.html>);
118 this storm tracker algorithm is an improved version of the MCMS algorithm, originally described by
119 Bauer and Del Genio (2006). The MCMS algorithm is divided into two distinct components: center
120 finding and storm tracking. The center finding portion of the algorithm is devoted to searching a
121 three dimensional (latitude, longitude, and time) sea level pressure (SLP) dataset for local minima.
122 Each potential center is then subjected to a set of filters and thresholds to remove spurious cyclones,
123 specifically, a filter on the local SLP Laplacian such that potential cyclones with a Laplacian of less
124 than $0.3 \text{ hPa } \circ\text{lat}^{-2}$ are discarded; a topographical filter to prevent spurious detection at high eleva-
125 tions ($> 1500 \text{ m}$), and a speed filter to limit the maximum cyclone propagation speed to 120 km/hr.
126 Storm centers that meet these criteria are stored and represent an upper bound on the potential set

127 of cyclones in the dataset. The storm tracking component of the algorithm then attempts to build
128 tracks from the set of potential storm centers. Tracks are built using three criteria: (1) the change in
129 SLP will be gradual, (2) cyclones do not quickly change direction, and (3) cyclones generally do not
130 move large distances over a single 6 hour time step so closer centers are preferable; potential centers
131 that optimize these criteria are then stored as storm tracks. We use a filter requiring a storm to travel
132 at least 200 km over its lifetime, a filter limiting the maximum travel distance to 720 km over a single
133 time step, and a filter dictating a minimum cyclone lifetime of 24 hours. It is also important to note
134 that the position of the storm center from MCMS is determined by a parabolic fit to the local SLP
135 field and is not always at the grid center.

136 In this work we focus on the southern storm track along the US-Canada border (between 40°N and
137 50°) from Leibensperger et al. (2008) that was originally identified by Zishka and Smith (1980) and
138 Whittaker and Horn (1981) as major storm track across North America. Due to the close proximity
139 of the storm track to a large population and the finding of Leibensperger et al. (2008), that the number
140 of storms traversing this track in summer is a predictor of Northeastern US air pollution episodes, we
141 focus on this track and define it as the Great Lakes Storm Track (GLST). Following Leibensperger
142 et al. (2008), we count any storm tracking through the region bounded by 70°W–90°W and 40°N–
143 50°N as part of the GLST, depicted as the gray box in Figure 1.

144 [Fig. 1 about here.]

145 **2.3 Reanalysis data**

146 We employ four Sea Level Pressure (SLP) reanalysis datasets for comparison to the GFDL CM3
147 GCM and to quantify the variability in GLST cyclone frequency. The reanalysis datasets used
148 are: (1) National Center for Environmental Prediction/National Center for Atmospheric Research
149 (NCEP/NCAR) Reanalysis 1 (<http://www.cdc.noaa.gov/cdc/data.ncep.reanalysis.html>; Kalnay et al.,
150 1996); (2) National Center for Environmental Prediction/Department of Energy (NCEP/DOE) Re-
151 analysis 2 (<http://www.cdc.noaa.gov/cdc/data.ncep.reanalysis2.html>; Kanamitsu et al., 2002); (3)
152 European Centre for Medium Range Weather Forecasts (ECMWF) Reanalysis (ERA-40) (<http://www.ecmwf.int/research/era/do/get/>
153 40; Uppala et al., 2005); (4) ECMWF ERA-Interim Reanalysis ([http://www.ecmwf.int/research/era/do/get/era-
154 interim](http://www.ecmwf.int/research/era/do/get/era-); Dee et al., 2011). All of the reanalysis datasets have a time resolution of 6 hours; a summary
155 of these reanalysis datasets and the time period of data used can be seen in Table 2.

156 [Table 2 about here.]

157 3 Cyclone variability and trends in the GLST region

158 3.1 Evaluation of GFDL CM3 over recent decades

159 Leibensperger et al. (2008) demonstrated the role of mid-latitude cyclones in ventilating ozone dur-
160 ing stagnation events by correlating observational ozone data from the EPA’s Air Quality System
161 with the NCEP/NCAR Reanalysis 1 dataset. Here we evaluate this process in the GFDL CM3
162 model. Figure 1 shows a summertime “clearing event” in the model where high surface ozone con-
163 centrations occur across the Northeastern US on July 24. As a westerly mid-latitude cyclone tracks
164 across the Northeastern US and southern Canada from July 24 to July 26, a large reduction in surface
165 ozone (~ 30 ppb) occurs along the Canadian border region. Another westerly mid-latitude cyclone
166 then tracks across the Great Lakes and Northeastern US from July 27 to July 28, again associated
167 with a decrease in surface ozone (~ 40 ppb) over the New England States. From Figure 1 it appears,
168 at least qualitatively, the GFDL CM3 model captures the surface ozone ventilation resulting from
169 the passage of mid-latitude cyclones.

170 We then examine the climatological frequency of GLST cyclones in the Historical simulations
171 (see Table 1). Raible et al. (2008) found systematic offsets between mean cyclone frequencies from
172 two reanalysis datasets (ERA-40 and NCEP/NCAR Reanalysis 1). In order to assess the spatial
173 distribution of cyclones across several datasets, we normalize the cyclone frequency to a minimum
174 cyclone frequency of zero and then scale by the maximum cyclone frequency so that the minimum
175 is always zero and the maximum is always unity. This normalization allows the spatial distributions
176 to be easily compared despite offsets in their mean frequency. We compare the variability about
177 the mean frequency with the relative standard deviation (*RSD*), defined as $\sigma/\mu \times 100$ where σ is
178 the standard deviation of the number of yearly summertime cyclones and μ is the mean cyclone
179 frequency.

180 Normalized summer (JJA) cyclone climatologies for 1958–2005 are generated following Leibensperger
181 et al. (2008) from the GFDL CM3 ensemble mean and NCEP/NCAR Reanalysis 1 SLP fields (Fig-
182 ures 2a and 2b, respectively). Figure 2c shows the difference between these two historical simulation
183 cyclone climatologies. The climatologies both show a prominent northern storm track across the
184 southern tip of the Hudson Bay (Figures 2a and 2b). This spatial pattern is consistently found in all
185 of the reanalysis datasets examined (other reanalysis climatologies not shown) and is consistent with
186 those reported in Leibensperger et al. (2008) and Zishka and Smith (1980). The GFDL CM3 model
187 cyclone frequency climatology is within 10% throughout our GLST region of interest (Figure 2c)
188 providing confidence in its application for a regional analysis of trends and variability. Discrepancies
189 over Alberta and eastern Canada occur, a region Bauer et al. (under review) identify as problematic
190 where spurious detection could occur due to the topography.

191 [Fig. 2 about here.]

192 We next examine the variability and trends in the GLST over recent decades. Figure 3 shows the
193 time evolution of cyclone frequencies in the GLST for the reanalysis datasets and the GFDL CM3
194 Historical ensemble while Table 2 shows the mean (μ), standard deviation (σ), variability (RSD),
195 and p-value of a trend. We find no significant trends at the 5% level during the full record length
196 in any of these datasets. The variability ranges from 20.8% – 24.9%. Figure 3 and Table 2 also
197 highlight the need for normalizing the cyclone frequency when comparing these datasets as there is
198 an offset in cyclone frequency between datasets (as mentioned by Raible et al. (2008)). Despite these
199 offsets, the reanalysis datasets do show a strong correlation between each other with an interannual
200 correlation coefficient (r) ranging from 0.65 – 1.00 (not shown; ERA-40 and ERA-Interim are fully
201 correlated in the years they overlap), consistent with the finding of Raible et al. (2008).

202

[Fig. 3 about here.]

203 We reproduce a significant ($p < 0.05$) decreasing trend in cyclones from 1980–2006 in the NCEP/NCAR
204 Reanalysis 1 (see the top panel of inset in Figure 3) as in Leibensperger et al. (2008). The trend found
205 here, however, is only significant at the 5% level whereas Leibensperger et al. (2008) report signif-
206 icance at the 1% level. This discrepancy is attributed to updates in the storm tracker algorithm, as
207 we are using a newer version (Bauer et al., under review). Additionally, the statistical significance
208 of the trend decreases ($p = 0.11$) if we include 2007–2010 as there is a substantial rise in cyclone
209 frequency during these years and we can no longer reject the null hypothesis; this rise is also seen
210 in the NCEP/DOE Reanalysis 2 dataset (see the bottom panel of inset in Figure 3). In contrast to
211 Leibensperger et al. (2008), we do not find evidence for climate-driven changes in model or reanal-
212 ysis storm frequencies over the GLST in recent decades.

213 3.2 Natural variability in the GFDL CM3 Pre-industrial Control

214 We use the 875 year GFDL CM3 control simulation with constant pre-industrial (1860) emissions
215 and forcings (Table 1) to diagnose the natural variability (internally generated model variability)
216 in migratory cyclones in the GLST during summer. This variability provides a benchmark against
217 which we can assess the significance of trends forced by anthropogenic climate warming over the
218 next century. A similar approach has been applied previously to illustrate the complexity of extract-
219 ing an anthropogenic climate signal for ENSO (Wittenberg, 2009). For continuity with the other
220 simulations analyzed in this study, we define the Pre-industrial Control time period to be from years
221 1000 to 1860 (though the entire simulation is representative of 1860 conditions).

222 We begin by subsampling the Control simulation into nine separate 100 year periods with a five
223 year overlap at the beginning and end of time periods 2–8 (Figure 4). Figure 4 shows the mean,
224 standard deviation, trend, and significance of the trend. The variability ($\sigma/\mu \times 100$) ranges from
225 19.7% – 23.5%, falling within the range in the reanalysis datasets (19.3% – 24.9%; see Table 2), with
226 a variability of 21.2% for the entire Pre-industrial Control time period. Only the 1761–1860 time

227 period shows a statistically significant trend ($p < 0.10$), however this is not surprising as a normally
228 distributed dataset would be expected to return one significant trend at the 10% significance level
229 given 10 samplings.

230 [Fig. 4 about here.]

231 3.3 Response to a warming climate over the next century

232 Climate change over the next century may impact the position of the storm tracks and change the
233 distribution of cyclone frequencies on a regional scale (e.g., Lang and Waugh, 2011). Here we
234 determine the cyclone response to climate changes in the GFDL CM3 model from 2006–2100, under
235 the RCP 8.5, RCP 4.5, and RCP 4.5* scenarios (see Table 1). In order to assess future changes in the
236 climatology we divide the time period into a base (2006–2025) and a future (2081–2100) period.

237 Most previous studies of changes in storm tracks have focused on winter, where the peak cyclone
238 frequency occurs off the coast of Nova Scotia (e.g., Lambert and Fyfe, 2006; Lang and Waugh,
239 2011). For comparison with these studies, we examine the moderate warming climatologies in the
240 RCP 4.5 base and future periods and in the difference (Figure 5). Figure 5 exhibits a peak cyclone
241 frequency over Nova Scotia consistent with earlier work. We find no change in the geographical
242 position of the storm tracks, but we see a reduction in cyclone frequency across the Northeastern
243 US and southern Canada, with minimal change across northern Canada (Figure 5). This general
244 reduction in winter storm tracks is consistent with the findings of Lambert and Fyfe (2006) who
245 show no change in the geographical position of storm tracks, but a reduction in winter storms. Yin
246 (2005) report a poleward shift of the storm tracks on a hemispherically averaged basis; our findings
247 do not refute this potential shift as Figure 5c indicates a regional reduction in storm tracks over the
248 mid-latitudes with negligible changes in the storm tracks at high latitudes. This could indicate a shift
249 in storm tracks that is masked by an overall reduction in storms.

250 [Fig. 5 about here.]

251 We examine next the changes in summertime cyclone climatologies for the 3 future climate warm-
252 ing scenarios (Figure 6). As in the winter, the geographic distribution of storms does not differ
253 significantly between the base and future periods, however we do see a substantial weakening of
254 storms across the GLST. This is exemplified in Figure 6f where we see a reduction of ~ 3 cyclones
255 per summer across the mid-latitudes in the RCP 8.5 extreme warming scenario. The high-latitudes
256 experience a minimal reduction (or in some cases even an increase) in cyclone frequency that could
257 indicate a potential shift in storms from the mid-latitudes to the high-latitudes masked by a general
258 reduction of storm tracks. All of the warming scenarios indicate a reduction in cyclones over the
259 entire GLST region.

260 [Fig. 6 about here.]

261 Focusing on the GLST, the region of interest for ventilating Northeastern US air pollution in sum-
262 mer (Leibensperger et al., 2008), we find a significant ($p < 0.01$) decreasing trend in cyclones over
263 the 21st century for two of the RCP 4.5 moderate warming scenario ensemble members; the third
264 member is significant at the 10% level ($p = 0.08$) (see Figure 7a). We also find a significant ($p <$
265 0.01) decreasing trend in cyclones for the RCP 4.5 ensemble mean, with a slope of $-0.03a^{-1}$ cor-
266 responding to a decrease of 2.85 cyclones per summer. Similarly, in the RCP 8.5 extreme warming
267 scenario we find a significant ($p < 0.01$) decreasing ($m = -0.06a^{-1}$; Figure 7b) trend that corre-
268 sponds to a decrease of 5.70 cyclones per summer. We further find a narrowing of the distribution of
269 cyclone frequencies from the base to the future period (indicated by the narrowing of the interquartile
270 range) and a reduction in the variability (RSD) for all simulations.

271

[Fig. 7 about here.]

272 4 Association of changes in cyclone frequency and high- O_3 events over the 21st century

273 High- O_3 events are defined to occur when the maximum daily 8-h average (MDA8) ozone concen-
274 tration exceed a specified threshold. Decreasing cyclone frequencies in the GLST would potentially
275 make the meteorological environment more favorable for high- O_3 events by reducing surface venti-
276 lation. An obvious threshold choice in this work is 75 ppb, the current value for assessing compliance
277 with the US NAAQS for O_3 . This threshold was recently lowered from 84 ppb, the value used in
278 prior work relating GLST storm counts in summer to the number of high- O_3 events (Leibensperger
279 et al., 2008). Applying a 75 (or 84) ppb threshold to the RCP 4.5 or RCP 8.5 simulations in the
280 GFDL CM3 is confounded by two factors: (1) the GFDL CM3 model has a high bias in the North-
281 eastern US (see Rasmussen et al. (2012)) that makes the occurrence of MDA8 greater than 75 ppb
282 less representative of observed high- O_3 events and (2) RCP scenarios include dramatic reductions in
283 O_3 precursor emissions (van Vuuren et al., 2011; Lamarque et al., 2011). To account for the second
284 factor, we use the RCP 4.5* simulation (Table 1) to examine the impact of changing climate and me-
285 teorological conditions on high- O_3 events in the absence of changes in emissions of O_3 precursors
286 (and other short-lived climate forcing agents).

287 To account for the first factor, we examined the distribution of ozone concentrations in the His-
288 torical scenario (see Table 1) ensemble mean. Wu et al. (2008) highlighted the impact of climate
289 change on the 95th percentile ozone events; as such, we find in the model the value corresponding
290 to the 95th percentile over the last 20 years (1986–2005) in the Northeastern US (region outlined in
291 black in Figure 8a) for each member in the Historical scenario and then take the average of these five
292 thresholds. We define MDA8 O_3 concentrations greater than this value (102 ppb) in the Northeastern
293 US as high- O_3 events.

294 Figure 8a shows the correlation between high- O_3 events in the RCP 4.5* and GLST cyclone
295 frequency during summer from 2006–2100. For the majority of the Northeastern US we see an anti-

296 correlation between interannual GLST cyclone frequency and high-O₃ events consistent with the
297 findings of Leibensperger et al. (2008) (see their Figure 7). Figure 8b shows significant ($p < 0.01$)
298 increasing ($0.06a^{-1}$) and decreasing ($-0.03a^{-1}$) trends occur over the 21st century in both North-
299 eastern US high-O₃ and the GLST cyclone frequency, respectively. Again, following Leibensperger
300 et al. (2008), we can remove these trends from both the cyclone and high-O₃ event frequency to de-
301 termine the sensitivity of summertime high-O₃ events in the Northeastern US over the next century
302 to variability in GLST cyclone frequency. Figure 8c shows a scatterplot of the detrended high-O₃
303 events and cyclone frequency, which yields a sensitivity of -2.9 ± 0.3 high-O₃ events per cyclone.

304 While the sensitivity (slope) found here is similar in magnitude to that found by Leibensperger
305 et al. (2008) (-4.2 for 1980–2006 using reanalysis data and observations) the sensitivity is not ro-
306 bust. We find a weak correlation (r) of -0.18 between the detrended GLST cyclone frequency and
307 detrended high-O₃ event frequency. In addition to the 95th percentile, we examined thresholds at the
308 99th percentile (115 ppb), 90th percentile (95 ppb), and 75th percentile (84 ppb) which yield correla-
309 tions of -0.11 , -0.24 , and -0.29 , respectively. This weak correlation is thus relatively invariant to the
310 threshold used and never explains more than 10% of the variance. We further tested whether outliers
311 were skewing our results but find little sensitivity to removing all values when either storm counts
312 or high-O₃ events exceed values equal to two standard deviations. We do find periods of strong anti-
313 correlation between the GLST cyclone frequency and high-O₃ events on decadal timescales such
314 as 2026–2035 (correlation of -0.79) but this relationship does not persist on centennial time-scales.
315 Our findings are more consistent with Tai et al. (2012) who did not find a strong correlation between
316 JJA cyclones and PM_{2.5} in this region from 1999–2010.

317 [Fig. 8 about here.]

318 5 Conclusions

319 We examine the hypothesis of Leibensperger et al. (2008) that a greenhouse warming-driven reduc-
320 tion in summertime migratory cyclones over the Northeastern US and Southern Canada could lead
321 to additional high-O₃ days over the populated Northeastern US. Specifically, we investigated trends
322 and variability in the frequency of summertime mid-latitude cyclones tracking across the Great Lakes
323 Storm Track (GLST; bounded by 70°W, 90°W, 40°N, and 50°N) over the 20th and 21st centuries
324 in the GFDL CM3 chemistry-climate model, and assessed their significance relative to the natural
325 variability in the GLST cyclone frequency in a Pre-industrial Control simulation (Table 1). We find
326 a robust decline in cyclone frequency over the GLST in climate warming scenarios but only a weak
327 association in the model between cyclone frequency and high-O₃ events over the next century, and
328 no evidence for climate-driven shifts in recent decades.

329 We apply the MCMS storm tracking tool (Bauer and Del Genio, 2006; Bauer et al., under review)
330 to locate and track cyclones in the GFDL CM3 6-hourly sea level pressure fields. The GFDL CM3

331 model represents Northeastern US cyclone clearing events (Figure 1) and falls within the range of
332 climatologies generated from four reanalysis datasets (Table 2; mean values of 14.92 in GFDL CM3
333 and 13.50–20.59 in the reanalyses, with variabilities of 21.3% and 19.3%–24.9%, respectively).
334 This agreement lends confidence to applying the GFDL CM3 model to future projections under
335 warming climate scenarios. While we reproduce a significant ($p < 0.05$) decreasing trend in the
336 NCEP/NCAR Reanalysis 1 summertime GLST cyclone frequency from 1980–2006 but this trend
337 disappeared when we expanded the analysis period to 2010 (inset of Figure 3). We did not find a
338 significant trend in any of the other reanalysis products.

339 Significant ($p < 0.01$) decreasing trends in summertime GLST cyclone frequency were found in
340 each climate warming scenario; the largest reduction in cyclone frequency occurred in the extreme
341 warming scenario (RCP 8.5) with a slope of $-0.06a^{-1}$ corresponding to a reduction of 5.70 cyclones
342 per summer. These trends are significant when measured against internally generated model vari-
343 ability in the 875-year Pre-industrial Control simulation (Section 3.2). While robust to the noise
344 of the Pre-industrial Control simulation, uncertainty remains as to whether they would occur in
345 other GCMs. For example, Lang and Waugh (2011) found disagreement between CMIP3 models
346 in changes in summertime cyclone frequency; the previous generation GFDL climate model version
347 2.1 (CM2.1) generally projects fewer future cyclones (zonally averaged) than the multi-model mean.
348 Lang and Waugh (2011), however, used a simple cyclone detection scheme (identifying local min-
349 ima in the daily mean sea level pressure field) due to the limited availability of data from the CMIP3
350 models, which represents an upper bound on the set of cyclones as it may identify thermal lows or
351 systems with a lifetime less than one day.

352 We find that the GLST summer cyclone frequency is weakly anti-correlated with high- O_3 events
353 across the Northeastern US in a moderate warming scenario in the absence of O_3 precursor emission
354 changes (RCP 4.5*, Table 1). In this scenario, cyclones are projected to decrease with a slope of
355 $-0.03a^{-1}$ and high- O_3 events increase with a slope of $0.06a^{-1}$ over the 21st century (Figure 8). By
356 removing the trend from the high- O_3 events and cyclone frequency we find that the sensitivity of
357 high- O_3 events in the Northeastern US with respect to variability in GLST cyclone frequency is
358 -2.9 ± 0.3 , consistent with the -4.2 of Leibensperger et al. (2008). The sensitivity derived from the
359 GFDL CM3 model, however, is not robust and never explains more than 10% of the variability.

360 Future efforts should determine whether the regional summertime cyclone decrease or weak corre-
361 lation with high- O_3 events, found here, is robust among other CMIP5 GCMs or observational data of
362 longer record length. This work demonstrates the ability of a chemistry-climate model to capture the
363 mean and variability of storm frequency suggesting these tools should yield insights when applied to
364 process-oriented analysis for quantifying feedbacks in the coupled chemistry-climate system. Our
365 findings highlight the need for careful study before employing relationships derived in present day
366 conditions to future climate even in the absence of emission changes. Changes in air pollutant emis-
367 sions over the next century could further complicate these relationships by shifting the chemical

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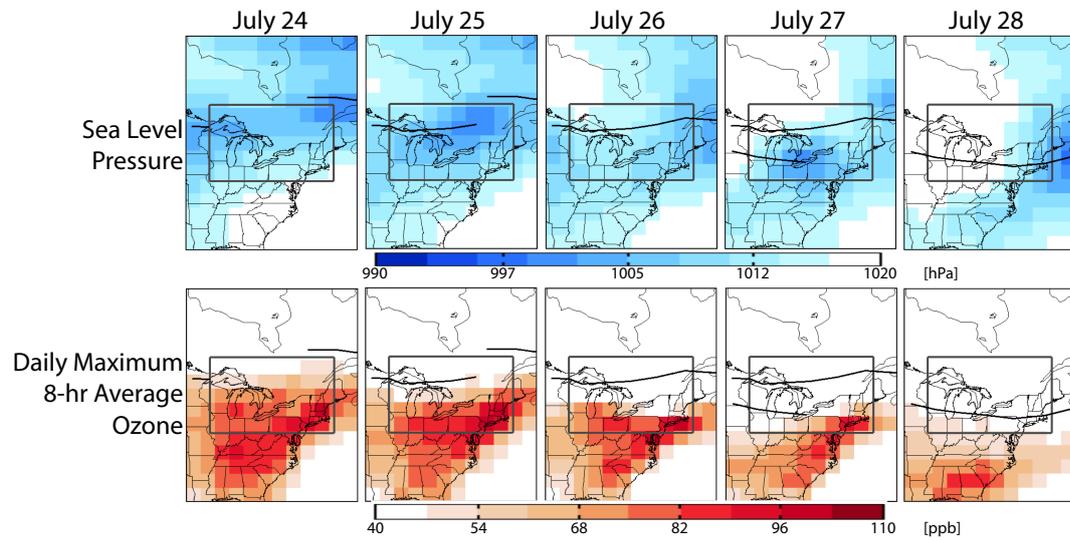


Fig. 1. A clearing event simulated in the GFDL CM3 GCM from July 24 to July 28. The top row shows the sea level pressure at 9Z and the bottom row shows the daily maximum 8-hr average ozone concentration in surface air. The gray box in all panels indicates the GLST and the black lines are storm track.

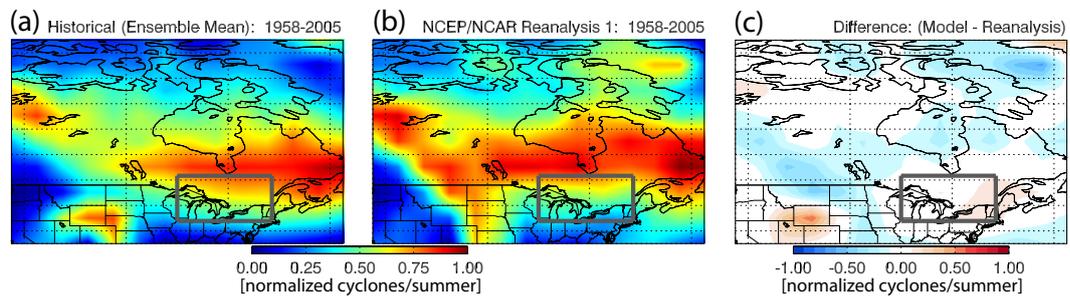


Fig. 2. Spatial distribution of cyclone tracks during summer (JJA) from 1958-2005. Storms are counted per $5^\circ \times 5^\circ$ box as is done in Leibensperger et al. (2008) and then normalized (data are shifted to a minimum of zero and then scaled by the maximum cyclone frequency) to account for offsets between datasets. (a) GFDL CM3 ensemble mean from the historical runs. (b) NCEP/NCAR Reanalysis 1 climatology. (c) Difference between (a) and (b).

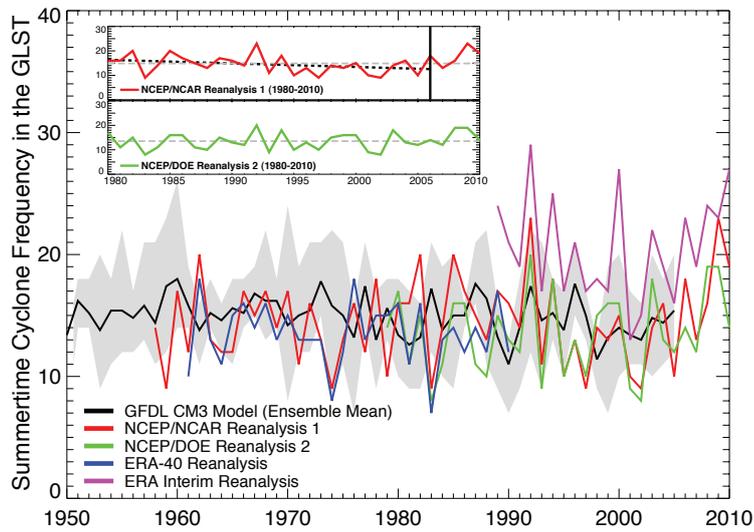


Fig. 3. Summer (JJA) 1950–2010 cyclone frequencies in the GLST as simulated with the GFDL CM3 model Historical ensemble (1860–2005) mean (black), range between the maximum and minimum members (gray shading), NCEP/NCAR Reanalysis 1 (1961–2010; red), NCEP/DOE Reanalysis 2 (1979–2010; green), ERA-40 Reanalysis (1961–1990; blue), and ERA Interim Reanalysis (1989–2010; pink). The inset shows 1980–2010 JJA GLST cyclone frequency from NCEP/NCAR Reanalysis 1 (top; red) and NCEP/DOE Reanalysis 2 (bottom; green), the mean cyclone frequency (gray) and significant ($p < 0.05$) trends from an ordinary least-squares regression (black dashed line). A significant decreasing trend occurs only in the NCEP/NCAR Reanalysis 1 cyclone frequency from 1980–2006, the period studied by Leibensperger et al. (2008), but we cannot reject the null hypothesis when the entire 1980–2010 time period is examined or with the NCEP/DOE Reanalysis 2.

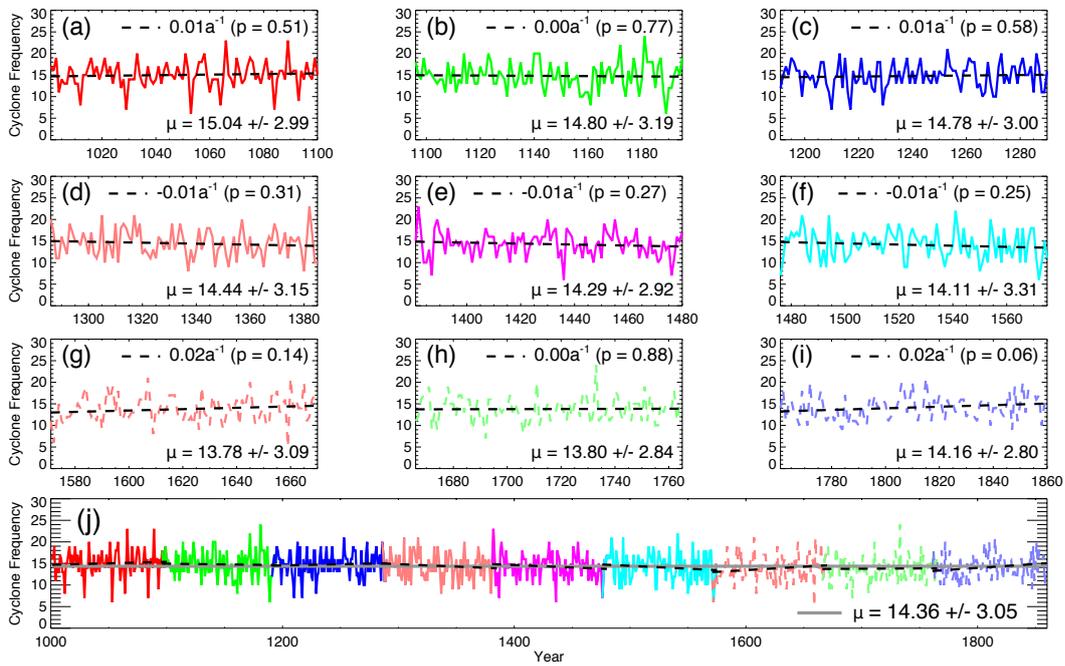


Fig. 4. Summertime (JJA) cyclone frequencies in the GFDL CM3 Pre-industrial Control simulation (perpetual 1860 conditions; Table 1) for selected 100-year periods. (a) 1001–1100. (b) 1096–1195. (c) 1191–1290. (d) 1286–1385. (e) 1381–1480. (f) 1476–1575. (g) 1571–1670. (h) 1666–1765. (i) 1761–1860. (j) Full control simulation, 1000–1860. The ordinary least squares trend for each time period is overlaid (dashed black line).

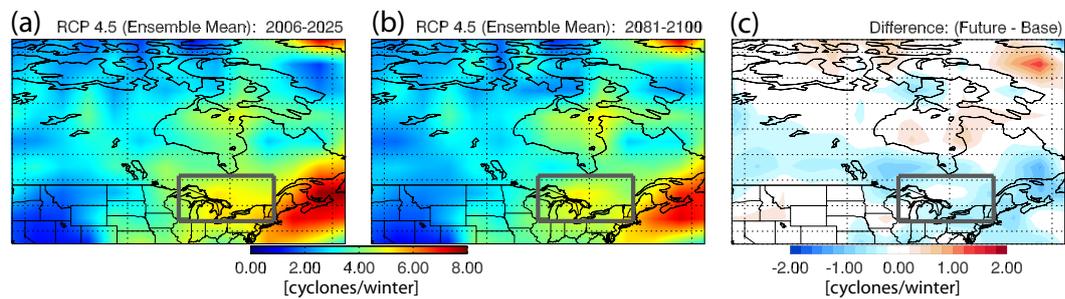


Fig. 5. Spatial distribution of GFDL CM3 cyclone tracks during winter (DJF) for the RCP 4.5 ensemble mean. (a) Base period: 2006-2025. (b) Future period: 2081-2100. (c) Difference between (a) and (b). Gray box bounds the GLST.

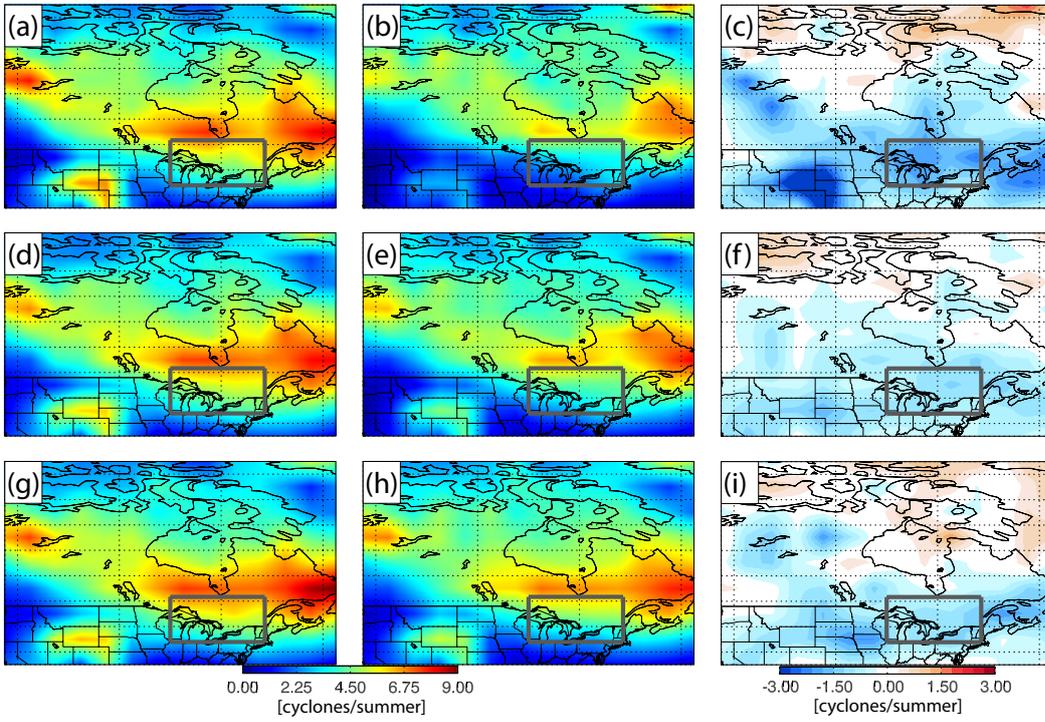


Fig. 6. Spatial distribution of GFDL CM3 cyclone tracks during JJA. Left column (a, b, g) shows the base period (2006–2025), middle column (b, e, h) shows the future period (2081-2100), and the right column (c, f, i) is the difference (Future - Base). First row (a, b, c) is the RCP 8.5 scenario, second row (d, e, f) is RCP 4.5 ensemble mean, and the third row (g, h, i) is RCP 4.5* (Table 1).

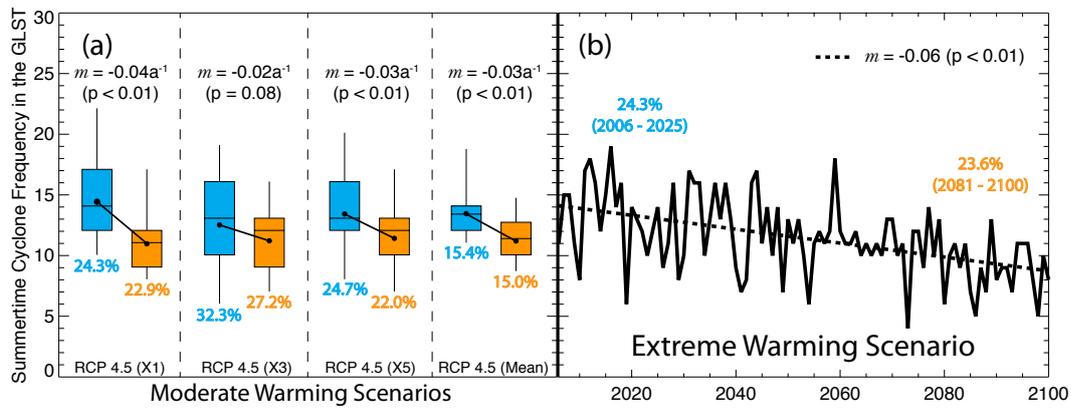


Fig. 7. Change in summer GLST cyclone frequency over the 21st century. (a) Box and whisker plots of the cyclone frequency in the base period (blue; 2006–2025) and future period (orange; 2081–2100). Solid line connects the mean of the base and future period. The slope of the least-squares regression and significance of the slope are shown for each simulation. The variability in the base and future periods are listed below the box and whisker in blue and orange, respectively. (b) Time-series evolution of the summertime GLST cyclone frequency in the RCP 8.5 extreme warming scenario. The significant ($p < 0.01$) least-squares regression is shown as a dashed line with a slope of $-0.06a^{-1}$. The variability for the future and base period are listed in blue and orange respectively.

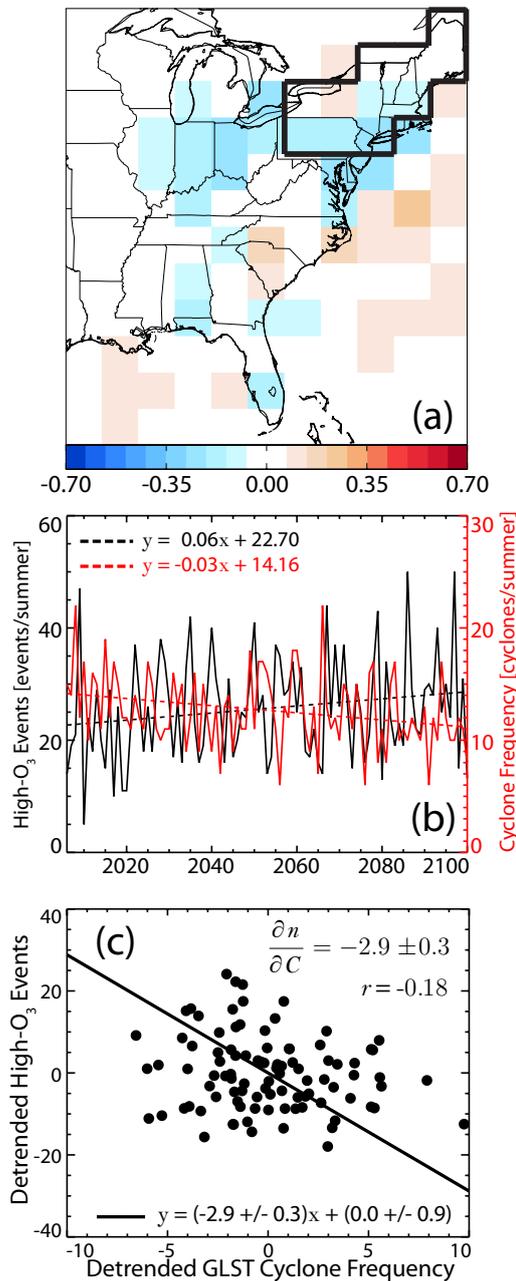


Fig. 8. Following Figure 9 of Leibensperger et al. (2008), we present long-term trends and correlations between summer (JJA) 2006–2100 GLST cyclone frequency and high-O₃ events in the RCP 4.5* (X3*) warming scenario in which ozone precursor emissions are held constant at 2005 levels. High-O₃ events are defined here as days where the 95th percentile in the 1986–2005 period is exceeded (see Section 4 for details). (a) Interannual correlation between the number of high-O₃ events and the number of storms tracking through the GLST in summer (JJA); solid black line outlines the grid cells in the Northeastern US. (b) The number of summer (JJA) high-O₃ events in the Northeastern US (black) and GLST cyclone frequency (red) as solid lines with significant trends ($p < 0.01$) from a least-squares regression shown as dashed lines. Equations for the significant trends define x as the year subtracted by 2006 (the intercept given is for the year 2006). (c) Scatterplot of high-O₃ events (n) and GLST cyclone frequency (C) after removing significant trends shown in panel (b). Solid black line is the reduced major axis regression of the detrended data indicating a sensitivity of $\partial n / \partial C = -2.9 \pm 0.3$ with a correlation (r) of -0.18.

Table 1. Emission scenarios utilized in this study.

Scenario	Duration	Ensemble Members	Emissions	Warming ^a	Reference
Control	875 years	1 (Control)	Constant 1860 emissions		Lamarque et al. (2010)
Historical	1860–2005	5 (H1, H2, H3, H4, H5)	Derived historical emissions		Lamarque et al. (2010)
Future	2006–2100	1 (Z1)	RCP 8.5	4.5K	Riahi et al. (2007, 2011)
Future	2006–2100	3 (X1, X3, X5)	RCP 4.5	2.3K	Clarke et al. (2007); Thomson et al. (2011)
Future	2006–2100	1 (X3*)	RCP 4.5*	1.4K	John et al. (submitted)

^aChange in globally averaged lower troposphere (below 500 hPa) temperature from 2006–2025 to 2081–2100 (John et al., submitted).

Table 2. Data used during the Historical time period (1860–2005). Mean values and standard deviations are in units of cyclones per summer (JJA), significance is the p-value of an ordinary least-squares regression, and the variability ($\sigma/\mu \times 100$) is expressed as a percentage. It is important to note that no significant trends are found in the GFDL CM3 simulation or reanalysis datasets during the Historical time period.

Dataset	Time Period	Mean μ	Standard Deviation σ	Significance p-value	Variability <i>RSD</i>	Reference
GFDL CM3 Historical	1860–2005	14.92	3.18	(p = 0.69)	21.3%	Donner et al. (2011)
NCEP/NCAR Reanalysis 1	1958–2010	14.49	3.52	(p = 0.56)	24.3%	Kalnay et al. (1996)
NCEP/DOE Reanalysis 2	1979–2010	13.56	3.37	(p = 0.42)	24.9%	Kanamitsu et al. (2002)
ERA-40 Reanalysis	1961–1990	13.50	2.60	(p = 0.66)	19.3%	Uppala et al. (2005)
ERA Interim Reanalysis	1989–2010	20.59	4.28	(p = 0.92)	20.8%	Dee et al. (2011)