

1 **Temperature and precipitation extremes in the United States: Quantifying the responses to**
2 **anthropogenic aerosols and greenhouse gases**

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1 **Abstract**

2 Changes in extreme temperatures, heat waves, and heavy rainfall events have adverse
3 effects on human health, air quality, and water resources. Using aerosol only (AER) and
4 greenhouse gas only (GHG) simulations from the GFDL CM3 chemistry-climate model, we
5 investigate aerosol- versus greenhouse gas-induced changes in temperature (summer) and
6 precipitation (all seasons) extremes over the United States from 1860 to 2005. Small changes in
7 these extremes in the “all forcing” simulations reflect cancellations between the effects of
8 increasing anthropogenic aerosols and greenhouse gases. In AER, extreme high temperatures
9 and the number of days with temperatures above the 90th percentile decline over most of the U.S.
10 The strongest response occurs in the western U.S. (-2.0°C and -14 days, respectively, regionally
11 averaged) and the weakest response occurs in the southeast (-0.6°C and -4.8 days). An
12 opposite-signed response pattern occurs in GHG ($+2.3^{\circ}\text{C}$ and $+11.5$ days over the western U.S.;
13 $+1.6^{\circ}\text{C}$ and $+7.2$ days over the southeast U.S.). The similar spatial response patterns in AER
14 versus GHG suggest a preferred regional mode of response that is largely independent of the
15 type of forcing. The weak response to forcing in the southeast U.S. is collocated with the
16 observed “warming hole”, a region where temperatures have declined during 1901-2012.
17 Extreme precipitation over the eastern U.S. decreases in AER, particularly in winter, and
18 increases over the eastern and central U.S. in GHG, particularly in spring. Over the 21st century
19 under the RCP8.5 emissions scenario, the patterns of extreme temperature and precipitation
20 associated with greenhouse gas forcing dominate.

21

22 **1. Introduction**

1 U.S. observations indicate increases over recent decades in extreme high temperatures,
2 heat waves, and heavy rainfall events, with far reaching implications for human health,
3 agriculture, air quality, water management, and economic growth (Kunkel et al. 2008). Heavy
4 storms can incur property damage and threaten human life (Lott and Ross 2006), including
5 through major flood events and flash floods (Kunkel et al. 2013). Summer heat waves have been
6 shown to increase mortality by 2-5%; approximately 1000 deaths per year can be attributed to
7 these events in the U.S. (Anderson and Bell 2011; Changnon et al. 1996), and extreme
8 temperatures and heat waves correlate with extreme air pollution events (Logan et al. 1989; Tai
9 et al. 2010; Tai et al. 2012; Leung et al. 2005; and others). Taken together, extreme heat and
10 precipitation events cost the U.S. billions of dollars in damages each year (Lott and Ross 2006).

11 We use a state-of-the-art general circulation model (GCM) to investigate the historical
12 changes in extreme temperature and precipitation in the United States in response to
13 anthropogenic forcing. “Single forcing” GCM simulations allow us to individually assess the
14 effects of anthropogenic aerosols (which typically cool the climate) and greenhouse gases (which
15 warm the climate), revealing patterns of change not visible in the full historical simulations due
16 to cross-cancellations. We find that aerosols have masked significant changes in high
17 temperature and precipitation extremes that would otherwise have occurred in response to rising
18 greenhouse gases. Global aerosol concentrations are projected to decrease over the 21st century
19 due to air pollution controls; as a result, regional climate responses to greenhouse gases are
20 expected to emerge.

21 Section 2 describes the model simulations and defines the extreme indices evaluated. In
22 section 3, we discuss the modeled changes in U.S. extreme heat and precipitation as a result of
23 increasing aerosols and greenhouse gases over the historical period (1860-2005). Section 4

1 projects future 21st century changes in extremes under the RCP8.5 scenario, in which greenhouse
2 gas concentrations increase while aerosol emissions decrease. In Section 5, we discuss potential
3 causes of the southeast U.S. “warming hole”, and implications for future projections, and we
4 conclude in Section 6.

6 **2. Methods**

7 *2.1 Model description, simulations, and significance testing*

8 We use the GFDL CM3 chemistry-climate model to evaluate changes in extreme
9 temperature and precipitation over the United States induced by changes in aerosol and
10 greenhouse gas burdens. CM3 simulations use a cubed sphere grid with 48 vertical levels;
11 archived fields are regridded to a 2°× 2.5° latitude/longitude grid. In addition to its atmospheric
12 component (AM3), CM3 includes the modular ocean model (MOM), a land component with
13 dynamic vegetation (LM3), and a sea ice model, described in Donner et al. (2011). Of key
14 importance for our study is the inclusion in AM3 of a more complex aerosol scheme that
15 represents indirect effects of aerosols on clouds, as well as interactive tropospheric (and
16 stratospheric) chemistry (Horowitz 2006; Ming et al. 2005; Ming et al. 2006; Naik et al. 2013).
17 Aerosol concentrations are calculated from the ACCMIP historical (Lamarque et al. 2010) and
18 RCP8.5 future (van Vuuren et al. 2011) emissions inventories, and undergo atmospheric
19 transport, chemical transformations, and wet and dry deposition. AM3 accounts for
20 anthropogenic and biomass burning emissions of aerosols and aerosol precursors, including
21 sulfur dioxide, black carbon, and organic carbon (Lamarque et al. 2010); dimethyl sulfide
22 emissions from sea water (Chin et al. 2002); dust (Ginoux et al. 2001); sea salt (Monahan et al.
23 1986); volcanic emissions of sulfur dioxide (Dentener et al. 2006); and a simple representation of

1 secondary organic aerosol production, including natural sources from plants and oceanic sea
2 spray (Dentener et al. 2006; O’Dowd et al. 2008), as well as anthropogenic sources from the
3 oxidation of butane (Tie et al. 2005).

4 In AM3, aerosols can interactively alter cloud properties by acting as cloud condensation
5 nuclei. This impacts the size distribution of droplets in the cloud, producing clouds with smaller
6 droplets, which reflect more incoming solar radiation (cloud albedo effect; Twomey 1977). In
7 addition, smaller droplets are lighter and so less likely to precipitate out of the cloud, increasing
8 its lifetime (cloud lifetime effect; Albrecht 1989). Both of these indirect effects of aerosols on
9 clouds will tend to reduce incoming solar radiation, cooling the climate (Boucher et al. 2013). In
10 the model, aerosol indirect effects occur only in liquid clouds; cloud droplet activation depends
11 on the type of aerosol (sulfate, organic carbon and sea salt), its size distribution (which is
12 assumed based on aerosol species), and updraft velocities within shallow cumulus and stratiform
13 clouds (Donner et al. 2011, Golaz et al. 2013).

14 As part of the fifth Coupled Model Intercomparison Project (CMIP5), a number of
15 simulations were designed to explore key regions of uncertainty in the climate system, for
16 example forcing due to anthropogenic aerosols (Taylor et al. 2012). We use daily surface air
17 temperature and precipitation data and monthly geopotential height, zonal and meridional wind,
18 cloud fraction, surface shortwave flux, column water vapor, and soil moisture data from five of
19 the simulations designed for CMIP5: the “aerosol only” simulations, with anthropogenic aerosols
20 as the only time-varying forcing and all other forcings held at pre-Industrial levels (AER);
21 “greenhouse gas only” simulations, with anthropogenic greenhouse gases as the only time-
22 varying forcing (GHG); the full historical simulations (HIST), with all natural and anthropogenic
23 forcings (including land-use changes) varying in time; a future emissions scenario, RCP8.5, in

1 which greenhouse gases increase from 2006 through 2100, while anthropogenic aerosols
2 decrease (Riahi et al. 2011); and an 800-year pre-Industrial control run. Additionally, we
3 analyze a modified future scenario in which greenhouse gases follow their trajectory from
4 RCP8.5, while aerosol concentrations are held constant at 2005 levels (RCP8.5_2005Aer;
5 Westervelt et al. 2015). The AER, GHG, RCP8.5, and RCP8.5_2005Aer simulations each
6 consist of three ensemble members, differing only in their initial conditions; the historical
7 simulation has five ensemble members; and the pre-Industrial control run consists of a single
8 ensemble member. The AER, GHG, and historical simulations are run from 1860 through 2005.

9 We consider the ensemble mean changes in each CM3 simulation. The statistical
10 significance of these changes is assessed using two methods. In the first method, we use a z-test
11 to determine where the difference between 30-year means is statistically different from zero
12 (95% confidence). In the second method, the 800-year control run is split into distinct 30-year
13 segments. We then construct a probability density function for the difference between the means
14 of two randomly selected 30-year segments. Differences in the forced simulations that fall
15 outside the 95% confidence interval of this distribution are considered to be outside the range of
16 natural variability.

17

18 *2.2 Extreme Indices*

19 The extreme climate indices defined by the Expert Team on Climate Change Detection
20 and Indices (ETCCDI; Sillmann et al. 2013a,b) serve as the basis for our analysis, with a focus
21 on two temperature indices (TXx, TX90p) and two precipitation indices (PRCPTOT, R99p).
22 TXx is the maximum of the maximum daily temperature over a given time period. TX90p
23 represents the number of days with a maximum temperature above the 90th percentile (defined

1 using a moving 5 day window computed over a 30 year base period). PRCPTOT is the total
2 precipitation over a given time period. R99p, representing extreme precipitation, is the total
3 amount of precipitation occurring on days with precipitation values above the 99th percentile of
4 the climatology. For the two threshold based indices, TX90p and R99p, 1961-1990 is used as the
5 climatological base period. Further details on these indices are provided in Sillmann et al.
6 (2013a,b).

8 *2.3 Model evaluation*

9 CM3 captures the observed global climatology from 1981-2000 in the two metrics for
10 extreme temperature considered in this work. Compared with the ERA40, ERA-Interim, NCEP1
11 and NCEP2 reanalyses, CM3 performs well, relative to the suite of CMIP5 models (Sillmann et
12 al. 2013a). With regards to the mean temperature, CM3 has a known summertime cold bias of
13 -2.24°C , evenly distributed over the U.S.A. with respect to temperature observations from CRU
14 from 1979-2005 (Donner et al. 2011; Sheffield et al. 2013). Despite the bias, the model captures
15 the spatial distribution of observed summertime temperatures. The summer cold bias is likely
16 due to a “cloud lifetime effect” (aerosol second indirect effect) that is too strong in the model
17 (Ackerman et al. 2004; Quaas et al. 2009; Guo et al. 2011; Golaz et al. 2013; Levy et al. 2013).
18 Modeled winter temperatures are in good agreement with observations from CRU, with a mean
19 bias of only -0.03°C over the continental U.S. (Sheffield et al. 2013).

20 CM3 also does well relative to the suite of CMIP5 models at capturing the annual global
21 climatology of extreme precipitation (R99p) from 1981-2000 calculated from the four sets of
22 reanalysis data (Sillmann et al. 2013a). CM3 has excessive seasonal mean precipitation over
23 much of North America in winter in comparison to the 1979-2005 climatology from GPCP

1 (Sheffield et al. 2013), most pronounced over western North America (+52.7% of the observed
2 mean), and much smaller over eastern North America (+4.46% of observed mean). Sheffield et
3 al. (2013) also find a small low bias in mean precipitation over central North America in winter
4 (-2.6% of the observed mean). Despite these biases, CM3 generally captures the observed
5 spatial distribution of wintertime precipitation (Sheffield et al. 2013). In summer, CM3 has
6 relatively small biases in mean precipitation over eastern and central North America (-4.38%
7 and +7.45% of the observed mean, respectively), and a high bias over western North America
8 (+54.74% of the observed mean). The model does not capture the observed spatial distribution
9 of summertime precipitation over the U.S.A., a common weakness amongst CMIP5 models,
10 most likely due to a failure to properly represent the dynamical conditions that produce
11 summertime precipitation (Sheffield et al. 2013; G. Vecchi, personal communication, April 10,
12 2015).

13

14 **3. Historical changes over the U.S.A.: Greenhouse gases vs. aerosols**

15 *3.1 Temperature extremes*

16 In this section we examine summertime changes in TX90p and TXx, which provide
17 information on changes in the magnitude and frequency of extreme high temperatures, using the
18 AER, GHG, and HIST simulations (Section 2.1). Significant changes in summertime TX90p
19 occur in AER and GHG over this time period (Fig. 1a,b). Aerosols generally lead to decreases in
20 TX90p while greenhouse gases generally lead to increases. Increasing greenhouse gases produce
21 warming outside of the range of natural variability everywhere in the U.S., while increasing
22 aerosols produce cooling outside of the range of natural variability over most of the U.S., with
23 the exception of the southeast U.S. (discussed further in Section 5). Changes in the historical

1 simulations, from 1860-2005, are generally not statistically significantly outside the range of
2 natural variability as determined by the pre-Industrial control simulation (Fig. 1c).

3 Greenhouse gases (with the exception of ozone) are long-lived and thus well mixed in the
4 atmosphere, while aerosols have a heterogeneous spatial distribution. However, Fig. 1a,b shows
5 a statistically significant anti-correlation between the response patterns associated with
6 greenhouse gases and aerosols over the U.S., with a pattern correlation coefficient of -0.72 . In
7 both AER and GHG the largest temperature changes occur in the western U.S. (-14.0 and $+11.5$
8 days respectively), with relatively weaker changes in the northeast U.S. (-9.6 and $+10.9$ days),
9 and little to no statistically significant change in the southeast U.S. (-4.8 and $+7.2$ days). This
10 response pattern is consistent with observations showing a relative intensification of warming in
11 the western U.S. in comparison with the eastern U.S. over the 20th century (Meehl et al. 2012;
12 Donat et al. 2013). Due to the similarity in spatial response pattern and magnitude between
13 GHG and AER, there is a cancellation between these two competing effects in the “all forcing”
14 simulation, resulting in little to no change in TX90p in Fig. 1c.

15 TXx similarly shows a significant anti-correlation between the effects of greenhouse
16 gases and aerosols, with a pattern correlation coefficient of -0.64 (Fig. 1d,e). The largest
17 responses to anthropogenic forcing occur in the western U.S., where aerosols reduce TXx by
18 -2.0°C and greenhouse gases increase TXx by 2.3°C . The response in the southeast U.S. is
19 relatively weak, with aerosols reducing TXx by -0.6°C and greenhouse gases increasing TXx by
20 1.6°C . As for TX90p, cancellations between the effects of aerosols and greenhouse gases on
21 TXx result in relatively small changes in the historical simulation (Fig. 1f).

22

23 *3.2 Precipitation extremes*

1 Overall, aerosols tend to reduce precipitation in the U.S. while greenhouse gases tend to
2 increase it (Fig. 2, Supplemental Fig. 1). However, the spatial distribution of changes in
3 precipitation varies in some seasons in response to different types of forcing. This finding is
4 consistent with previous modeling studies that found that the precipitation response pattern is
5 dependent on the type of forcing agent and its spatial distribution (e.g. Kloster et al. 2009;
6 Shindell et al. 2012). Wintertime extreme precipitation in the eastern U.S. increases significantly
7 in GHG (18 mm in the southeast and 7.2 mm in the northeast) and decreases significantly in
8 AER (−14 mm in the southeast and −4.5 mm in the northeast), with a correlation coefficient of
9 −0.62 (Fig. 2a,b). Changes elsewhere in the U.S.A. are not significant in winter. In the spring,
10 extreme precipitation in AER decreases slightly in the eastern U.S., but it is not significant (Fig.
11 2d). However, in GHG, springtime extreme precipitation increases over most of the central and
12 eastern U.S.A (Fig. 2e). In this season, the correlation coefficient between AER and GHG is
13 only −0.24, suggesting that different mechanisms determine the springtime impacts of aerosols
14 and greenhouse gases on extreme precipitation. Changes in HIST are shown for both seasons for
15 completeness, but are not significant (Fig. 2c,f). Changes in extreme precipitation in summer
16 and fall are less significant than those in winter and spring and are shown in Supplemental Fig. 2.
17

18 **4. Future changes in U.S. temperature and precipitation extremes**

19 *4.1 Extreme temperatures become the new normal*

20 Under the RCP8.5 scenario, in which anthropogenic radiative forcing reaches
21 approximately 8.5 W m^{-2} by 2100 (relative to the pre-Industrial), the well-mixed greenhouse
22 gases increase steadily throughout the 21st century, while emissions of short-lived pollutants
23 (aerosols, and aerosol and tropospheric ozone precursors) decrease dramatically due to air

1 quality regulations (Riahi et al. 2011). By mid-century, the warming signal associated with the
2 combined effects of increasing greenhouse gas concentrations and decreasing aerosol
3 concentrations is clearly apparent over the U.S., with increases in TX90p on the order of 40 to 50
4 days per summer (Fig. 3a,b), and increases in TXx between 2.5 to 6.0°C (Fig. 3c,d). By the end
5 of the century, TX90p saturates with respect to the 1961-1990 climatology and almost all
6 summer days lie above the 90th percentile thresholds. By the end of the 21st century, TXx has
7 increased substantially by 5 to 10°C across the U.S. For both TX90p and TXx, the spatial
8 pattern of the temperature response in the 21st century is similar to the GHG simulation (Fig.
9 1b,e; Supplemental Figs. 3 and 4). Although significant warming occurs everywhere by mid-
10 century, the southeast U.S. warms less rapidly than the rest of the country. This feature is
11 discussed in Section 5.

12 We investigate next how long it will take before future anthropogenic climate change can
13 be expected to exceed the range of natural variability (which does not occur in HIST due to
14 cancellation between aerosols and greenhouse gases). We define the time of emergence as the
15 first year when the difference between the 30-year mean centered on that year and the end of the
16 twentieth century (1975-2005) is outside of the range of natural variability in the pre-Industrial
17 control simulation (following the approach described above). For both TX90p and TXx, the
18 climate change signal becomes detectable within the first few decades of the 21st century, over
19 most of the U.S (Fig. 4a,b).

20 In order to separate the effects of decreasing aerosol concentrations and increasing
21 greenhouse gas concentrations, we examine a second future scenario, RCP8.5_2005Aer, in
22 which greenhouse gas concentrations follow their RCP8.5 trajectory while aerosols are held
23 constant at 2005 levels. The dashed lines in Fig. 3 show that holding aerosols constant reduces

1 future increases in TX90p and TXx relative to RCP8.5. By mid-century, TX90p is reduced by
2 an average of 15 days per summer in the eastern U.S., and by an average of 10 days per summer
3 in the central and western U.S. By the end of the 21st century, TX90p is reduced by an average
4 of 10 days per summer over the continental U.S., although even with aerosols held constant at
5 2005 levels, the index is approaching saturation. Similarly, the continued presence of aerosols at
6 2005 levels reduces mid-century increases in TXx by 1.5°C over the eastern U.S., and 1.0°C
7 over the central and western U.S. By the end of the century increases in TXx are reduced by
8 1.5°C in the southeast U.S., and 1.75°C in the rest of the U.S. on average.

9 Even with aerosols held constant at 2005 levels, statistically significant increases in
10 extreme temperatures still occur due to rising greenhouse gases over the entire U.S. By mid-
11 century, TX90p has increased by 25 to 35 days per summer across most of the U.S., and by the
12 end of the century, it has increased by 55 to 65 days per summer, indicating that more than two
13 thirds of the summer days are above the 1961-1990 90th percentile threshold. TXx increases by
14 2.5 to 3.5°C by mid-century, and by 5 to 6°C by the end of century, reflecting the dominant
15 influence of greenhouse gases. For both indices, the time of emergence (Fig. 4c,d) is delayed by
16 at most 5 years over most of the U.S. when aerosols are maintained at 2005 levels.

17

18 *4.2 Extreme precipitation: shifting towards a wetter future*

19 Future changes in extreme precipitation also show patterns associated with the
20 greenhouse gas signal evident from the 1860-2005 GHG simulation. By the end of the 21st
21 century, wintertime R99p has increased significantly over the eastern and northwest U.S.
22 (Supplemental Fig. 5). In the southeast and northeast U.S., R99p increases by 32 mm and 42
23 mm respectively (Fig. 5a), while it increases in the western U.S. by 18 mm (Fig. 5b). In spring,

1 R99p increases significantly over the eastern and north-central U.S. (Supplemental Fig. 5). By
2 the end of the 21st century, springtime R99p has increased by 30 mm in the southeast U.S., and
3 by 40 mm in the northeast U.S. (Fig. 5c). On average, comparing 2070-2100 to 1975-2005,
4 springtime R99p increases by 9.5 mm in the central U.S. (Fig. 5d), but with a region in the north-
5 central U.S. that increases by 30 mm (Supplemental Fig. 5d). Summertime changes in extreme
6 precipitation are generally not significant (Supplemental Fig. 5). Finally, in fall, there are
7 statistically significant increases in R99p in the northeast and northwest, averaging 25 and 15
8 mm by end of century respectively (Fig. 5e,f; Supplemental Fig. 5). This is particularly
9 noteworthy because, in contrast with winter and spring, there are generally no statistically
10 significant changes in total precipitation in this season (Supplemental Fig. 6). This indicates a
11 change in the shape of the overall distribution of precipitation, with a tendency towards more
12 extreme precipitation.

13 The climate change signal is slower to emerge for precipitation than for temperature. The
14 changes emerge as significant early in the 21st century in the eastern U.S. in winter and spring
15 (Fig. 6a,b); in the western U.S. in winter, the signal emerges by 2050; and in autumn, the signal
16 emerges late in the 21st century in the Midwest and pacific northwest (Supplemental Fig. 7b).
17 The impacts of reductions in aerosol concentrations on extreme precipitation are minimal over
18 the 21st century (Fig. 5), and changes in the time of emergence are small (Fig. 6, Supplemental
19 Fig. 7).

20

21 **5. Southeast U.S. “warming hole”**

22 The absence of statistically significant cooling in the southeast U.S. in the AER
23 simulations (Fig. 1a,d) and weak warming in the GHG simulations (Fig. 1b,e) is of particular

1 note due to observations of a “warming hole” in this region over the 20th century (Donat et al.
2 2013; Meehl et al. 2012; and others). Previous research indicates the summertime warming hole
3 is likely connected to changes in the hydrological cycle driven by changes in the regional
4 circulation patterns (Pan et al. 2004; Portmann et al. 2009; Leibensperger et al. 2012; Weaver et
5 al. 2012; Meehl et al. 2012; Yu et al. 2014). Whether or not these changes are due to natural
6 variability, associated with relative changes in Pacific and Atlantic sea surface temperatures
7 (SSTs; Meehl et al. 2012; Weaver et al. 2012), or anthropogenic forcing due to aerosols
8 (Leibensperger et al. 2012; Yu et al. 2014) and land use change (Misra et al. 2012), is still
9 debated.

10 In keeping with previous studies, the weak temperature response in the southeast U.S. in
11 CM3 is due to changes in the hydrological cycle in the region affecting the surface energy
12 budget. During summer, aerosols decrease total precipitation in the southeast U.S., while
13 greenhouse gases increase it (Supplemental Fig. 1g,h). In AER, this precipitation decrease is
14 consistent with a reduction in moisture transport into the region, and an accompanying reduction
15 in the total cloud fraction (Fig. 7b,c). Total column water vapor (not shown) also decreases in
16 the region. This produces the two-fold effect of reducing the soil moisture content (Fig. 7a),
17 which contributes to increased sensible versus latent heating of the near-surface air, and
18 increasing the shortwave (SW) radiation absorption at the surface (Fig. 7d). Both of these
19 processes act to produce the muted temperature response over the southeast U.S. in AER.

20 Previous studies have shown that changes in the westward extent of the Bermuda High
21 are critical for determining the position of the Great Plains low-level jet, and thus the amount of
22 summertime precipitation in the southeast U.S. (Li et al. 2011; Zhu and Liang 2013). In AER,
23 we find that the Bermuda High is weakened along its westward edge, weakening the 850 hPa

1 winds blowing moisture-laden air from the Gulf of Mexico into the southeast U.S., and
2 contributing to the changes in the hydrology discussed above (Fig. 7e,f). This result contrasts
3 with earlier findings using the GISS model, in which U.S. aerosols strengthened the Bermuda
4 High, driving a circulation which increased the moisture transport into the southeast U.S., and
5 produced a strong cooling trend in the region (Leibensperger et al. 2012).

6 In GHG, the regional increases in precipitation are accompanied by increases in the
7 moisture flux into the southeast U.S. and decreases in the SW absorption at the surface (Fig.
8 8b,d). Increases in total column water vapor (not shown) contribute to the decreases in SW
9 absorption at the surface. The total cloud fraction does not change significantly over the
10 southeast U.S.; however, large-scale decreases in total cloud fraction occur over the rest of the
11 eastern and central U.S., which likely contribute to enhanced warming outside of the southeast
12 (Fig. 8c). Changes in soil moisture content do not contribute to the weak warming in the
13 southeast U.S. in GHG (Fig. 8a). Although the Bermuda High is strengthened, we do not find
14 clear evidence of low-level circulation changes to explain the change in the transport of moisture
15 into the southeast U.S. (Fig. 8e,f). Therefore, further analysis is required to determine the
16 mechanisms driving the changes in the hydrological cycle over the southeast U.S. in response to
17 rising greenhouse gases.

18

19 **6. Conclusions**

20 The ETCCDI extreme climate indices have been used as a metric for investigating
21 changes in extreme temperature and precipitation in the U.S. As expected, high temperature
22 extremes in the U.S. generally decrease in response to aerosols and increase in response to
23 greenhouse gases. We identify clear regional patterns of response to forcing: the western U.S.

1 has the strongest response to both aerosols and greenhouse gases, while the weakest response
2 occurs in the southeast U.S. (Fig. 1). This compares well with observations of temperature
3 trends in the U.S., and gives us confidence in the model representation of spatial patterns of
4 temperature extremes (Meehl et al. 2012; Sheffield et al. 2013).

5 Overall, simulated precipitation changes during the historical period are concentrated in
6 the eastern and central U.S., the regions where the model best captures the observed patterns
7 (Sheffield et al. 2013). In the CM3 model, aerosols tend to reduce extreme precipitation, while
8 greenhouse gases tend to increase it, particularly in the spring (Fig. 2). Although changes in the
9 historical simulation are not significantly different from zero, we note that in the GHG
10 simulation there are large increases in R99p in the Midwest, particularly in spring. This
11 coincides with observed statistically significant increases in extreme precipitation in the Midwest
12 in spring, suggesting the observed trend may be due to greenhouse gases (Kunkel et al. 2008).

13 The signal associated with greenhouse gases emerges clearly by the end of the 21st
14 century for all indices in the extreme warming scenario, RCP8.5. As a result of the combined
15 effects of increasing greenhouse gas concentrations and decreasing aerosol emissions (but
16 primarily the former), by the end of the century, the entire summer lies above the 90th percentile
17 of daily maximum temperature from 1961-1990. Seasonal extreme precipitation in winter and
18 spring in the northeast and central U.S. increases by up to 40 mm. In fall, extreme precipitation
19 increases in the northeast and northwest U.S. despite a lack of significant changes in total
20 precipitation, indicating a change in the shape of the precipitation distribution. Total
21 summertime precipitation increases in the southeast U.S., although there are no significant
22 changes in extreme precipitation.

1 The lack of statistically significant cooling in the southeast U.S. in the aerosol only
2 simulations and collocated warming minimum in the greenhouse gas only simulations are of
3 particular interest because of the observed warming hole in this region (e.g. Hartmann et al.
4 2013; Meehl et al. 2012; Leibensperger et al. 2012; Donat et al. 2013). Previous studies focusing
5 on the warming hole have identified several potential natural and anthropogenic causes of this
6 feature, such as changes in local hydroclimate, changes in SST forcing from the Pacific and
7 Atlantic, and cooling as a result of aerosol forcing. In the GFDL CM3 model, the persistence of
8 this feature over multiple decades, across multiple ensemble members and different forcing
9 scenarios, suggests that it is a characteristic response to radiative forcing in this model. This
10 response is consistent with changes in local hydroclimate: changes in soil moisture content in
11 AER and total cloud fraction in both AER and GHG affect the surface energy budget by
12 changing the partitioning of sensible and latent heating and the surface absorption of shortwave
13 radiation.

14 Extreme events have major impacts on human health and economics. In order to predict
15 future changes in these damaging events, we need to improve our understanding of how extreme
16 temperature and precipitation respond to different types of anthropogenic forcing. In the GFDL
17 CM3 model, there are no statistically significant changes in these extremes in the full historical
18 simulation during 1860-2005. However, using single-forcing simulations for the same time
19 period, we find that anthropogenic aerosols and greenhouse gases individually have significant
20 impacts on extremes. In general, it is the cancellation between the effects of aerosols and
21 greenhouse gases that results in the absence of statistically significant changes in the historical
22 simulations. In the future, as aerosol emissions decrease and greenhouse gas concentrations

1 continue to increase, the impacts of anthropogenic climate change on extreme weather in the
2 U.S. will emerge.

3

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6 responsibility of the grantee and do not necessarily represent the official view of the EPA.

7 Further, the EPA does not endorse the purchase of any commercial products or services
8 mentioned in the publication.

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14

1 **Figure Captions**

2 **Figure 1.** Changes in the 30-year ensemble mean values of summer (JJA) TX90p (top) and TXx
3 (bottom) between the beginning (1860-1890) and end (1976-2006) of the aerosol-only (a),
4 greenhouse gas only (b), and historical simulations (c). Xs denote that the changes are outside of
5 the range of natural variability (95% confidence), as determined from the model's pre-Industrial
6 control simulation.

7 **Figure 2.** Changes in the 30-year ensemble mean values of R99p between the beginning (1860-
8 1890) and end (1976-2006) of the aerosol only (left), greenhouse gas only (middle) and historical
9 (right) scenarios in winter (top) and spring (bottom).

10 **Figure 3.** Summertime TX90p (top) and TXx (bottom) for RCP8.5 (solid) and
11 RCP8.5_2005Aer(dashed) for different regions in the U.S., expressed as anomalies with respect
12 to 1975-2005. The tapering off in TX90p at the end of the 21st century is an artifact of the index
13 becoming saturated with respect to the 1961-1990 thresholds.

14 **Figure 4.** Time of emergence for changes in 30-yr mean TX90p (a)(c) and TXx (b)(d) relative to
15 the present day (1975-2005) for the RCP8.5 scenario (top) and RCP8.5 with aerosols held
16 constant at 2005 levels (bottom). Colors indicate the midpoint of the earliest 30-yr period for
17 which the difference is outside the range of natural variability relative to the pre-Industrial
18 control run.

19 **Figure 5.** Winter (top), spring (middle), and fall (bottom) R99p for RCP8.5 (solid) and
20 RCP8.5_2005Aer(dashed) for different regions in the U.S., expressed as anomalies with respect
21 to 1975-2005.

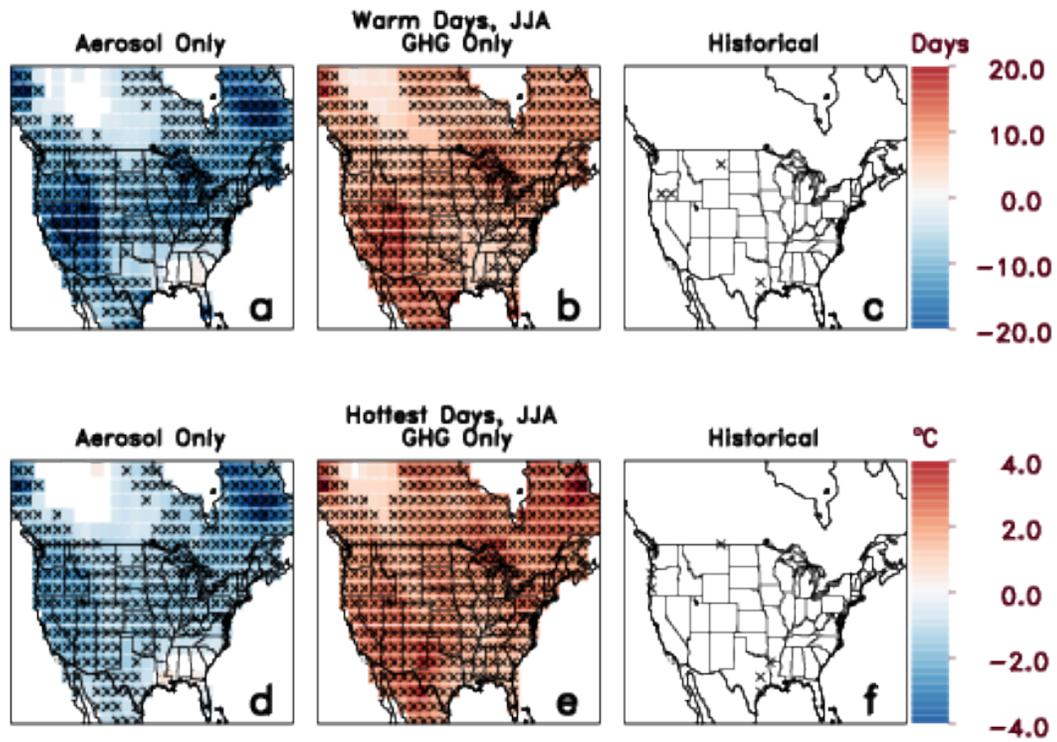
22 **Figure 6.** Time of emergence for changes in 30-yr mean R99p for winter (left) and spring (right)
23 relative to the present day (1975-2005) for the RCP8.5 (top) and RCP8.5_2005Aer (bottom)

1 scenarios. White areas over the continent denote regions where the signal does not emerge over
2 the 21st century.

3 **Figure 7.** Changes in AER between 1860-1890 and 1976-2006 in the soil moisture (a) moisture
4 flux into the region (b), total cloud fraction (c), surface absorption of shortwave radiation (d),
5 850hPa winds and geopotential height (e; vectors and color contours, respectively). Contour
6 lines in (e) show the climatological 850hPa geopotential height for 1860-1890.

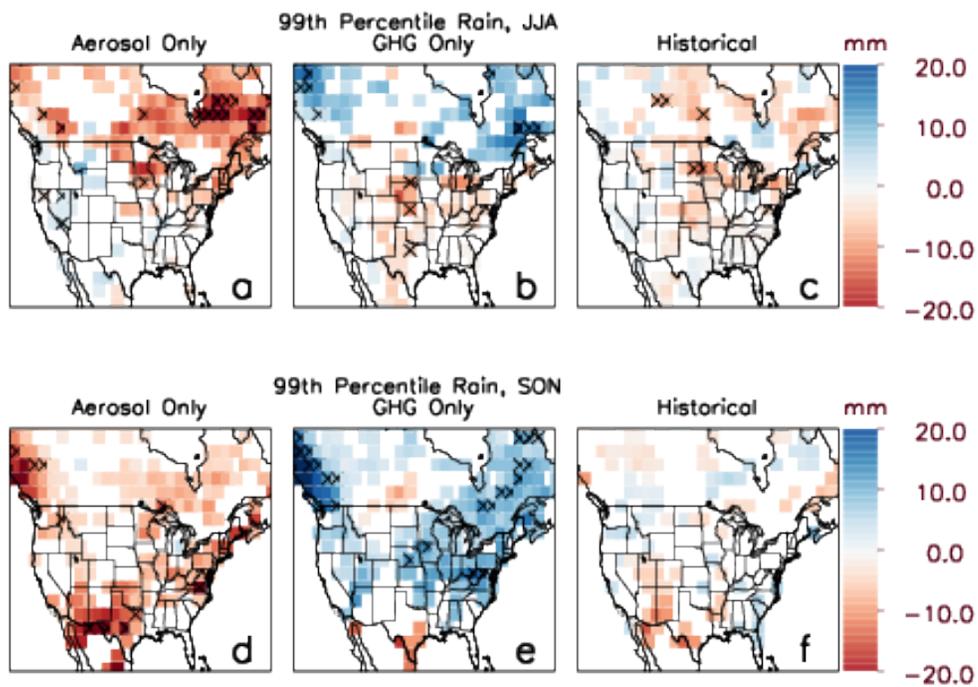
7 **Figure 8.** Changes in GHG between 1860-1890 and 1976-2006 in the soil moisture content (a)
8 moisture flux into the region (b), total cloud fraction (c), surface absorption of shortwave
9 radiation (d), 850hPa winds and geopotential height (e; vectors and color contours, respectively).
10 Contour lines in (e) show the climatological 850hPa geopotential height for 1860-1890.

1 **Figures**

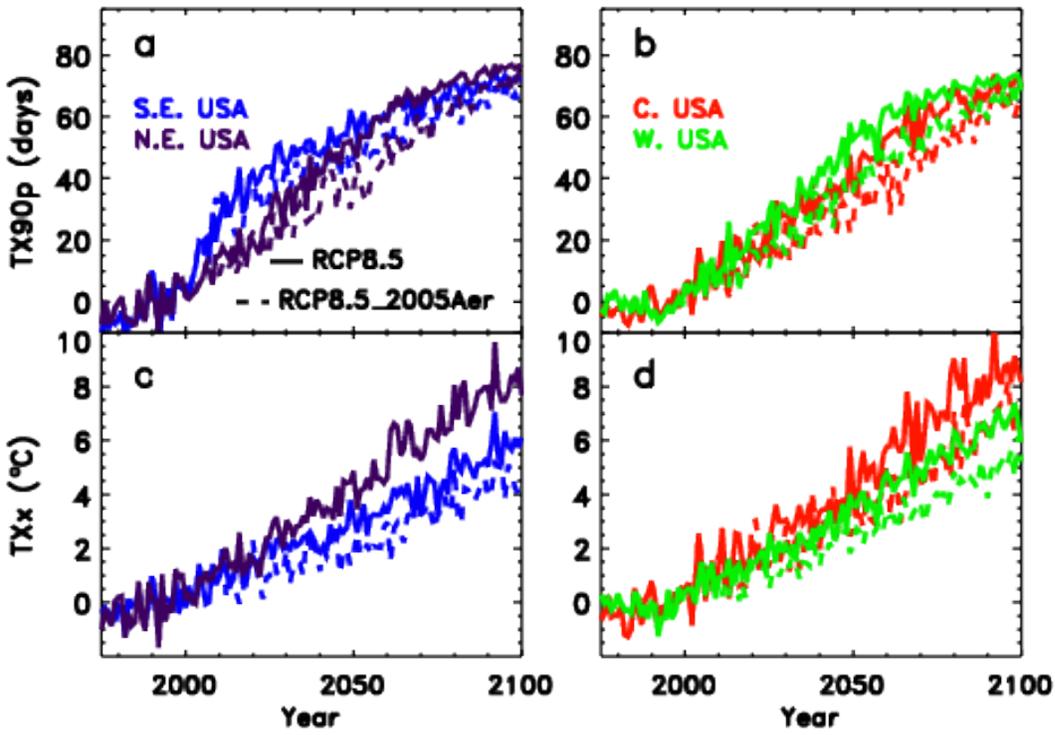


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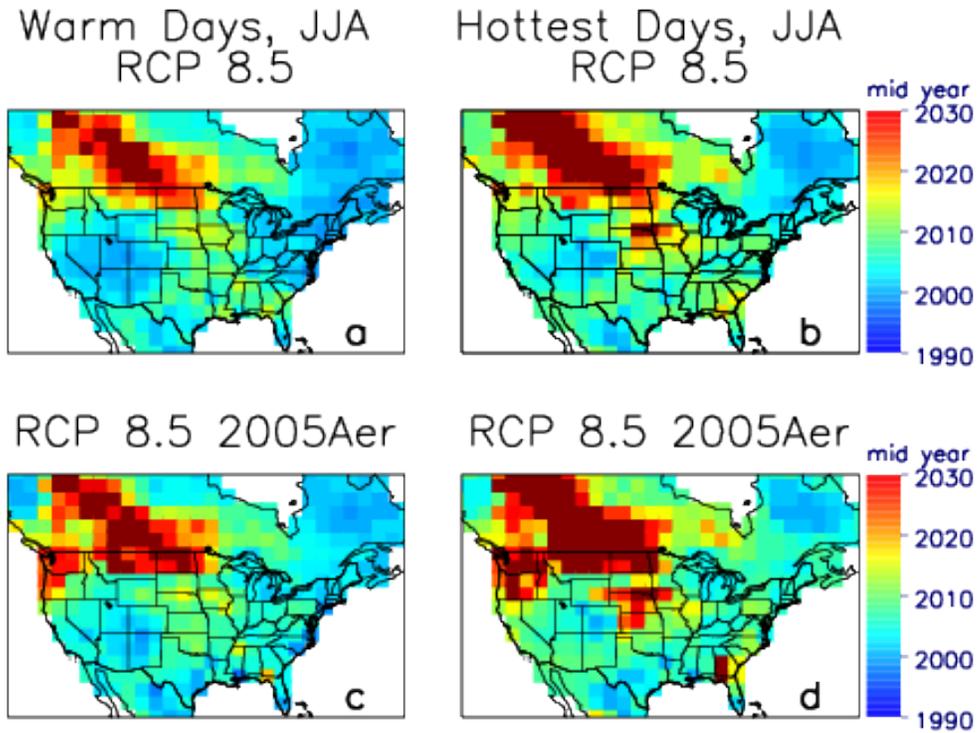
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5 greenhouse gas only (b), and historical simulations (c). Xs denote that the changes are outside of
6 the range of natural variability (95% confidence), as determined from the model's pre-Industrial
7 control simulation.



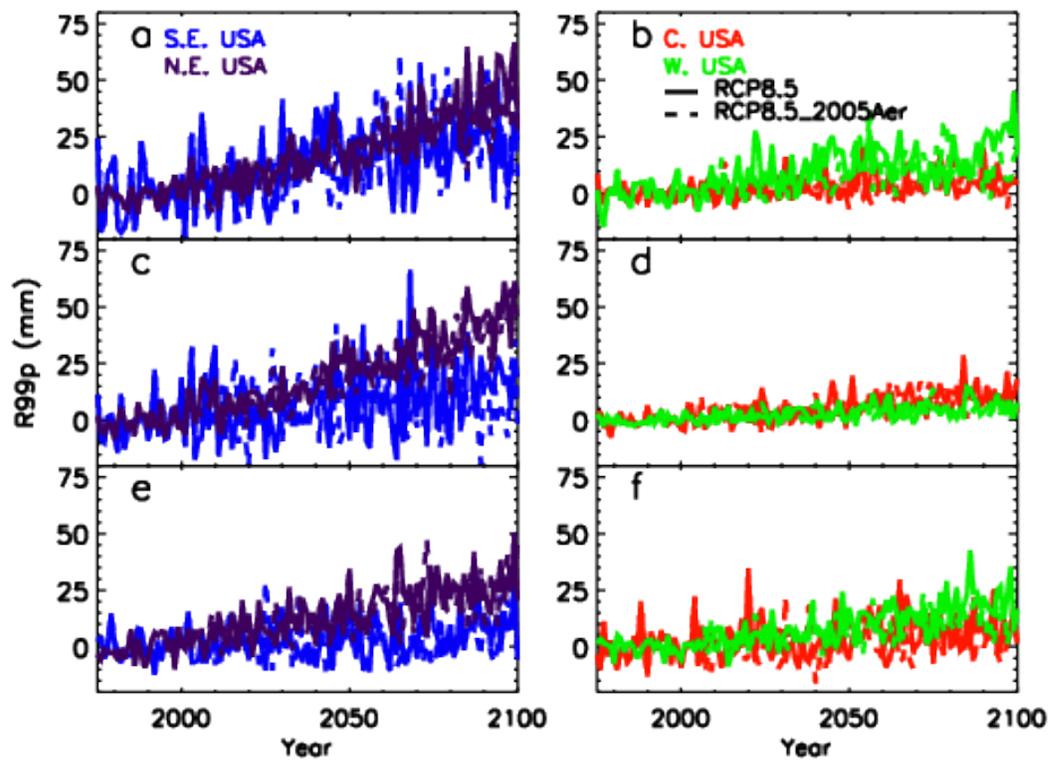
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 3 1890) and end (1976-2006) of the aerosol only (left), greenhouse gas only (middle) and historical
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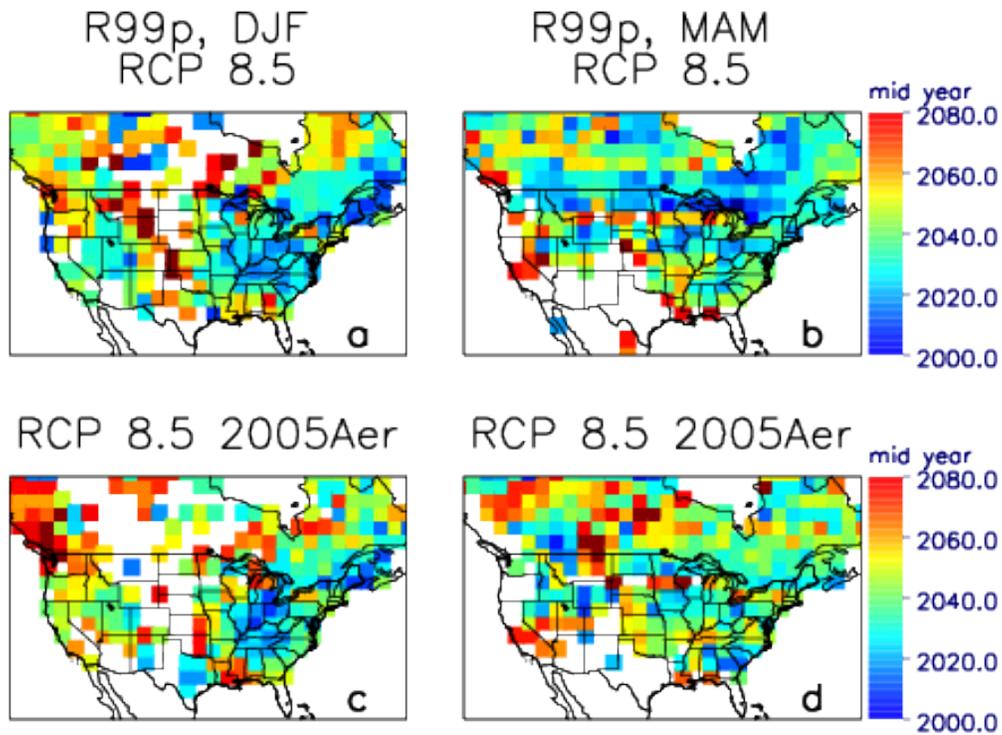
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 2 **Figure 3.** Summertime TX90p (top) and TXx (bottom) for RCP8.5 (solid) and
 3 RCP8.5_2005Aer(dashed) for different regions in the U.S., expressed as anomalies with respect
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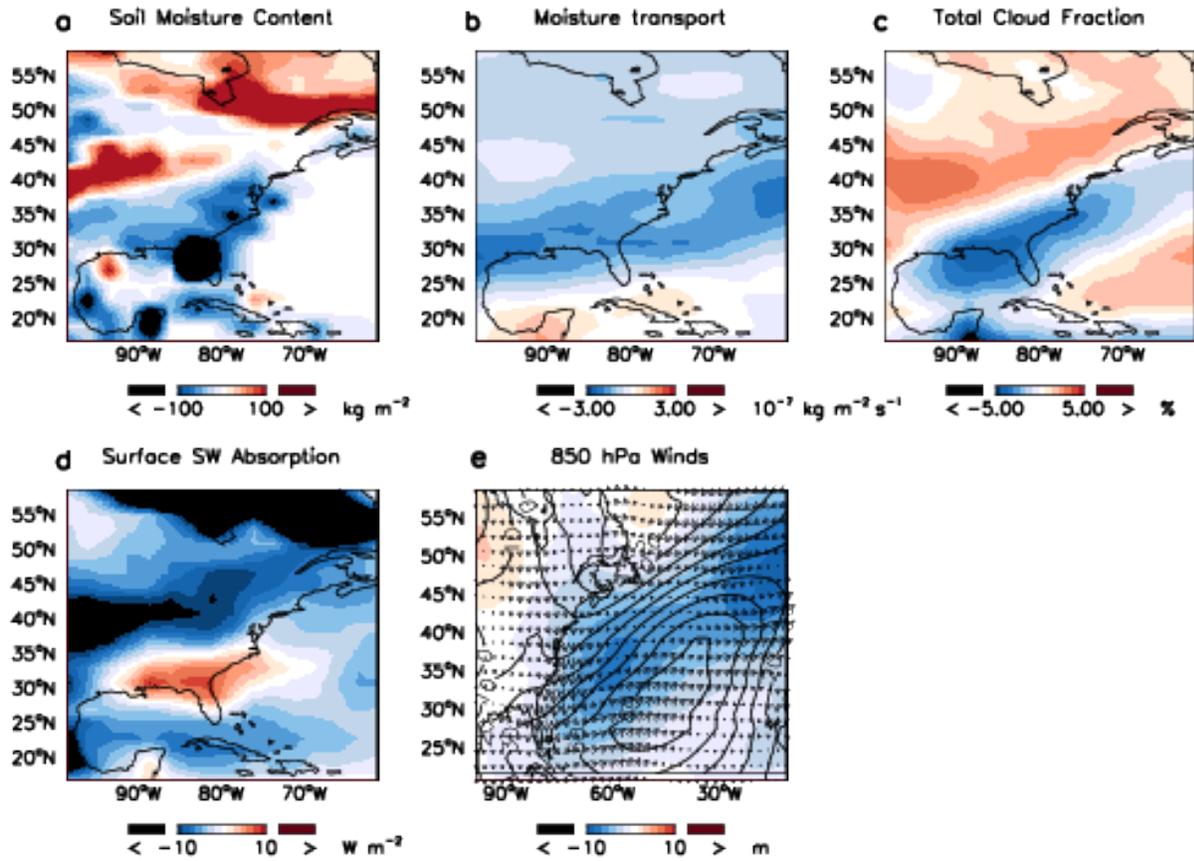
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2 **Figure 4.** Time of emergence for changes in 30-yr mean TX90p (a)(c) and TXx (b)(d) relative to
3 the present day (1975-2005) for the RCP8.5 scenario (top) and RCP8.5 with aerosols held
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5 which the difference is outside the range of natural variability relative to the pre-Industrial
6 control run.



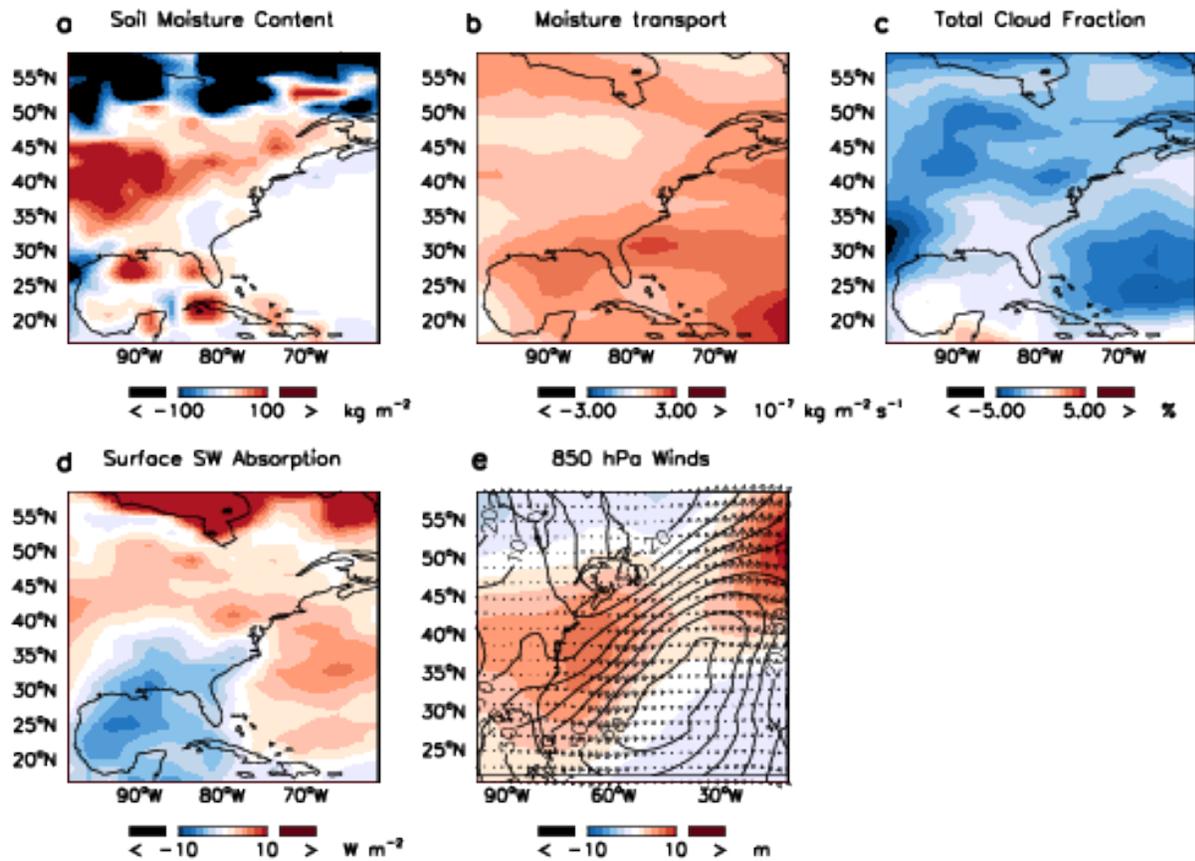
1
 2 **Figure 5.** Winter (top), spring (middle), and fall (bottom) R99p for RCP8.5 (solid) and
 3 RCP8.5_2005Aer(dashed) for different regions in the U.S., expressed as anomalies with respect
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1
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 5 lines in (e) show the climatological 850hPa geopotential height for 1860-1890.



1
 2 **Figure 8.** Changes in GHG between 1860-1890 and 1976-2006 in the soil moisture content (a)
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 5 Contour lines in (e) show the climatological 850hPa geopotential height for 1860-1890.