

1 **Sub-seasonal tropical cyclone genesis prediction and MJO in the S2S dataset**

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## ABSTRACT

17 Subseasonal probabilistic prediction of tropical cyclone (TC) genesis is in-  
18 vestigated here using models from the seasonal to subseasonal (S2S) dataset.  
19 Forecasts are produced for basin-wide TC occurrence at weekly temporal res-  
20 olution. Forecast skill is measured using the Brier skill score relative to two  
21 no-skill climatological forecasts: an annual mean climatology that is constant  
22 through the year and a seasonal climatology that varies monthly through the  
23 TC season. Skill depends on model characteristics, lead time, and ensemble  
24 prediction design. Most forecasts show skill for week one (days one to seven),  
25 when initialization is important. Among the six S2S models examined here,  
26 the European Centre for Medium-Range Weather Forecasts (ECMWF) model  
27 has the best performance, with skill in the Atlantic, western North Pacific,  
28 eastern North Pacific, and South Pacific at week two. Similarly, the Aus-  
29 tralian Bureau of Meteorology (BoM) model is skillful in the western North  
30 Pacific, South Pacific, and northern Australia at week two. Compared to sta-  
31 tistical models, S2S model skill scores are higher at week one, comparable at  
32 week two, and lower from weeks three to five. The Madden-Julian Oscilla-  
33 tion (MJO) modulates observed TC genesis, and there is a clear relationship,  
34 across models and lead times, between models' skill scores and their ability  
35 to represent the MJO–TC relation accurately. Skill scores increase with the  
36 ensemble size, as found in previous weather and seasonal prediction studies.

## 37 **1. Introduction**

38 The Madden Julian Oscillation (MJO, Madden and Julian 1972) modulates tropical cyclone  
39 (TC) activity globally. The probability of TC genesis is typically greater during or after a strong  
40 convective MJO phase than at other times (Camargo et al. 2009; Klotzbach 2014; Klotzbach and  
41 Oliver 2015a). In the Atlantic (ATL, Mo 2000; Maloney and Hartmann 2000b; Klotzbach 2010;  
42 Klotzbach and Oliver 2015b), the enhanced storm genesis occurs when a strong active MJO is in  
43 the Indian Ocean. In contrast, in the eastern North Pacific (ENP), enhanced TC genesis occurs  
44 when a strong MJO is active in the central and eastern North Pacific (Molinari et al. 1997; Mal-  
45 oney and Hartmann 2000a, 2001; Aiyyer and Molinari 2008). Similarly, in the Western North  
46 Pacific (WNP, Nakazawa 1988; Liebmann et al. 1994; Sobel and Maloney 2000; Kim et al. 2008;  
47 Li and Zhou 2013), North (NI, Nakazawa 1988; Liebmann et al. 1994; Kikuchi and Wang 2010;  
48 Krishnamohan et al. 2012) and South (SIN, Bessafi and Wheeler 2006; Ho et al. 2006) Indian  
49 Ocean, and South Pacific (SCP, Hall et al. 2001), the number of storms increases when the MJO  
50 is active in these basins. Additionally, typhoon tracks shift eastward (westward) when the convec-  
51 tive MJO is active in the Indian Ocean (western Pacific) (Kim et al. 2008). Rapidly intensifying  
52 storms are more frequent in the ATL when the MJO is active in the Indian Ocean (Klotzbach  
53 2012). An empirical TC genesis index (Camargo et al. 2009) shows the occurrence of a systematic  
54 enhanced low-level absolute vorticity and increased midlevel relative humidity associated with a  
55 strong active MJO, leading to larger local values of this index.

56 In these observational studies, it is often stated that accurate predictions of the MJO and knowl-  
57 edge of the MJO–TC relationship offer the potential for forecasts of the probability of TC genesis  
58 with a few weeks lead time. Regional statistical models for subseasonal TC prediction have in fact  
59 been developed (Leroy and Wheeler 2008; Slade and Maloney 2013) using MJO indices, as well

60 as other environmental parameters. When an MJO index is included as one of the predictors, there  
61 is a significant, albeit small, improvement of these forecasts relative to those produced by a sub-  
62 seasonal statistical model with no MJO index, up to three weeks lead time. For longer leads, the  
63 forecast skill is thought to be primary from the climatological seasonal cycle and interannual vari-  
64 ability. Reforecasts from the European Centre for Medium-Range Weather Forecasts (ECMWF)  
65 also suggest that the accuracy of the MJO prediction has a significant impact on the predicted TC  
66 frequency (Vitart 2009). Compared to a southern hemisphere TC statistical forecasts (Leroy and  
67 Wheeler 2008), the ECMWF model has greater skill in predicting TC occurrence at week one,  
68 while the statistical model performs better for longer leads (Vitart et al. 2010). Furthermore, the  
69 ECMWF skill in predicting TC activity is sensitive to the MJO phase and amplitude at the time of  
70 the model initialization (Belanger et al. 2010).

71 With the increasing demand for forecasts on the time scale between weather and seasonal-  
72 interannual — the so-called “subseasonal” time scale — an international effort was initiated to  
73 improve and develop various aspects of dynamical subseasonal predictions, including TC sub-  
74 seasonal forecasts. A key goal of these efforts is improved understanding of the factors that affect  
75 forecast prediction skill. The multi-model seasonal to subseasonal (S2S, Vitart et al. 2017) dataset,  
76 containing extensive reforecasts with lead times up to 60 days, is ideal for this task. In this study,  
77 we focus on the subseasonal prediction of TC genesis in the S2S reforecasts. While the ability  
78 of global models to simulate the MJO-TC modulation (Vitart 2009; Satoh et al. 2012; Kim et al.  
79 2014; Murakami et al. 2015; Xiang et al. 2015) and the prediction skill of TC genesis prediction in  
80 subseasonal time-scales have been analyzed (Belanger et al. 2010; Elsberry et al. 2011; Tsai et al.  
81 2013; Elsberry et al. 2014; Nakano et al. 2015; Barnston et al. 2015; Yamaguchi et al. 2015; Li  
82 et al. 2016) for various models, this is the first comprehensive multi-model, multi-year analysis of  
83 reforecasts of TC genesis prediction on subseasonal time-scales.

84 Here we use the S2S data to construct reforecasts of the probability of TC occurrence basin-  
85 wide with weekly temporal resolution. The prediction skill is evaluated using the Brier skill score.  
86 Reforecasts, observations, Brier skill, and other analysis methods used here are described in Sec-  
87 tion 2. We then discuss TC climatology in the reforecasts in Section 3 to define the tropical storm  
88 thresholds and seasonality for prediction skill evaluation. The simulated and observed MJO mod-  
89 ulation of TC genesis is examined in Section 4. Then, we analyze the the prediction skill and its  
90 connections to forecast lead time, model characteristics, and ensemble prediction system design  
91 in Section 5. Results are then summarized in Section 6.

## 92 **2. Data and Methods**

### 93 *a. S2S reforecasts*

94 Table 1 shows some basic characteristics of the S2S reforecasts used here. They are obtained  
95 from coupled, global general circulation models run by six operational centers: the Australian Bu-  
96 reau of Meteorology (BoM), the China Meteorological Administration (CMA), the European Cen-  
97 tre for Medium-Range Weather Forecasts (ECMWF), the Japan Meteorological Agency (JMA),  
98 the Météo-France/Centre National de Recherche Météorologiques (MetFr), and the National Cen-  
99 ters for Environmental Prediction (NCEP). Note that because the design of the ensemble prediction  
100 system (specifically, the frequency of forecasts and ensemble size) differs among these agencies,  
101 the reforecasts are heterogeneous. We treat such differences in system design as additional factors  
102 contributing to prediction skill. Another heterogeneous feature in this dataset is over which years  
103 the reforecasts were done. While this might affect the comparison, we do not think it is likely to  
104 qualitatively change the relative skill of the forecast systems. Further details of the S2S dataset is  
105 described in Vitart et al. (2017).

106 *b. TCs in the S2S models and observations*

107 To track TCs in the S2S models, we use a tracker (Vitart and Stockdale 2001) that detects TCs  
108 based on thresholds for the vorticity maximum at 850 hPa, sea surface pressure minimum, a local  
109 maximum in the vertically averaged temperature in between 250–500 hPa (warm core), and the  
110 thickness maximum between 1000–200 hPa. Additionally, detected storms have to last at least two  
111 days to be include in our analysis. The S2S TC tracks contain instantaneous maximum sustained  
112 winds and storm locations daily.

113 Observations of tropical cyclone tracks are from the HURDAT2, produced by the National Hur-  
114 ricane Center (NHC, Landsea and Franklin 2013), and from the Joint Typhoon Warning Center  
115 (JTWC, Chu et al. 2002). Both best-track datasets include 1-min maximum sustained wind, min-  
116 imum sea level pressure, and storm location every 6 hour.

117 Following the conventional definitions, the TC basins are: Atlantic (ATL), northern Indian  
118 Ocean (NI), western north Pacific (WNP), eastern north Pacific (ENP), southern Indian Ocean  
119 (SIN, 0-90°E), northern Australia (AUS, 90-160°E), and southern Pacific (SPC, east of 160°E), .

120 *c. MJO definition*

121 The MJO indices, RMM1 and RMM2, are calculated using zonal wind at 200 hPa and 850  
122 hPa, and outgoing long wave radiation (Gottschalck et al. 2010; Wheeler and Hendon 2004; Vi-  
123 tart 2017). Observational RMM indices are calculated using reanalysis data from ERA-Interim  
124 reanalysis data.

125 *d. Brier Skill Score*

126 The Brier Skill Score (BSS) is used to assess the relative skill of a probabilistic forecast of TC  
127 occurrence in a basin over climatology. The Brier Skill (BS) is defined as:

$$BS = \frac{1}{N} \sum_{i=1}^N (p_i - o_i)^2 \quad (1)$$

$$BSS = 1 - \frac{BS}{BS_{ref}}, \quad (2)$$

128 where  $N$  is the total number of forecasts,  $p_i$  is the predicting probability for  $i^{\text{th}}$  forecast and  $o_i$  is  
129 the  $i^{\text{th}}$  observation. For each ensemble member in  $p_i$  and for each  $o_i$ , it is 0 for no genesis in the  
130 basin and is 1 for more than 1 storm genesis during the forecast period. Thus, the  $BS$  is the mean  
131 forecast error. The  $BS_{ref}$  is similar to the  $BS$ , but with forecasts from observed climatology. In  
132 this study, two climatologies are used. One is the seasonally varying climatology at monthly time  
133 resolution, while the other one is a constant, annual mean climatology. When a model is skillful  
134 compared to the climatological predictions, the BSS is larger than 0.

135 *e. Candy-plot analysis*

136 To analyze dependence of TC genesis on MJO phases, the probability density function (PDF) of  
137 storm genesis is calculated in each TC basin and binned by MJO phase. To identify the favorable  
138 and the unfavorable MJO phases, values of TC number in each week are randomly shuffled in time  
139 throughout the entire period to obtain PDFs independent of the MJO. The favorable MJO phases  
140 are then defined when the true PDF is larger than the 90<sup>th</sup> percentile of the 4000 PDFs obtained  
141 from randomly swapping the data, and the unfavorable MJO phases are defined when true PDF is  
142 less than 10<sup>th</sup> percentile of the randomized PDFs. The PDFs are then organized by the longitude  
143 in Y-axis from ATL to ENP and by the MJO phases in X-axis, like a sheet of candies.

144 The Candy–plot analysis is conducted for observations and the six S2S models. The comparison  
145 of global pattern (PDFs from all TC basins) between each S2S model and the observed pattern is  
146 then quantified using  $r^2$ , i.e., the fraction of the variance of the observed PDFs that is predicted by  
147 the model PDFs. In this analysis, we include only storms when the magnitude of the MJO index is  
148 larger than one standard deviation. The fractions of storms we used are 60% in observations, and  
149 roughly 60%, 50%, 40%, 52%, 65%, and 52% in BoM, CMA, ECMWF, JMA, MetFr, and NCEP  
150 reforecasts, respectively.

### 151 **3. Tropical cyclone climatology**

#### 152 *a. Intensity and tropical storm threshold*

153 Since their horizontal resolutions are inadequate to represent TC inner core structure, the global  
154 models used here are not able to simulate the highest observed TC intensities. Another factor that  
155 impacts the simulated intensities as represented in the S2S archive is that the model outputs are  
156 instantaneously archived on a  $1.5^\circ$  by  $1.5^\circ$  grid in the S2S database every 24 hours. As a result,  
157 the cumulative density distribution (CDF) of TCs' lifetime maximum intensity (LMI) shows that  
158 median LMI for of the observed storms is 50 kt while it is the range of 25–35 kt for the S2S models,  
159 except for BoM which has a median LMI of 40 kt (Fig. 1). The BoM model is able to simulate  
160 stronger storms than other S2S models that have higher horizontal resolutions, probably due to  
161 its physical parameterizations and dynamical cores. Multiple studies with other global climate  
162 models have noted that these other factors are important, so that the maximum TC intensities  
163 simulated by a model are not a simple function of that model's horizontal resolution (Vitart et al.  
164 2001; Murakami et al. 2012; Zhao et al. 2012; Reed et al. 2015b; Duvel et al. 2017). Although  
165 there is a significant low bias in the S2S TC intensities, we can categorize storms using quantile

166 analysis (Camargo and Barnston 2009). For example, the observed tropical storm wind speed  
167 threshold is 34 kt, which in the observed LMI distribution corresponds to the 18<sup>th</sup> percentile (gray  
168 line in Fig. 1). Thus, we define the tropical storm threshold as the 18<sup>th</sup> percentile of the LMI CDF  
169 in each model. These are 34, 23, 24, 24, 27, and 26 kt, respectively, for the BoM, CMA, ECMWF,  
170 JMA, MetFr, and NCEP models. In this study, we only consider TCs that reach the tropical storm  
171 threshold thus defined in observations and the model reforecasts.

### 172 *b. Genesis and TC season definition*

173 Genesis time is defined here as the time of the first point recorded on each forecast track. TCs  
174 that exist previous to the model initialization time have already undergone genesis. Nevertheless,  
175 for purposes of model evaluation, we refer to the first recording time (usually day one, or  $t = 24$   
176 hours) of the pre-existing storms as their genesis time. The reason for including pre-existing storms  
177 in our analysis will be discussed in Section 5. With the exception of week one — when there is a  
178 higher TC occurrence because of the pre-existing storms — the forecast genesis climatology does  
179 not change much with lead time. Therefore, while we only show here the genesis climatology for  
180 week two forecasts (Fig. 2), our results are also valid at longer lead times.

181 Globally, the ECMWF model generates 20% more TCs than observed, while CMA, MetFr, and  
182 NCEP have genesis rates 140%, 65%, and 80% higher than observed. In contrast, the BoM and  
183 JMA models generate 35% and 45% fewer TCs than the observed climatology. Low-resolution  
184 models often have unrealistically high TC genesis rates in the subtropics, when storms are detected  
185 and tracked using algorithms with model-dependent thresholds as we use here (Camargo 2013).  
186 However the difference maps between simulated and observed genesis counts, Fig. 2b–g, suggest  
187 that this is not the case for the S2S models, since the errors in the subtropics are much smaller than  
188 those in the tropical belt (30°S – 30°N).

189 Regionally, the strongest observed local maxima of TC genesis rate occur in the ENP and WNP  
190 (Fig. 2a). In the three southern hemisphere basins (SIN, AUS, SPC), the observed storms form  
191 in an elongated area around 15°S. In general, the S2S models are able to capture the regions of  
192 TC genesis (not shown), although they all underestimate the genesis rate in the ENP and tend to  
193 under-predict genesis in the ATL, with exception of NCEP in the eastern tropical ATL. Among  
194 these models, the ECMWF model has the smallest regional biases, followed by BoM. The JMA  
195 model underestimates the rate of TC genesis everywhere, while CMA, MetFr, and NCEP generate  
196 too many TCs in the Pacific and Indian Oceans. The CMA model has the largest positive bias in  
197 the Pacific Ocean (Fig. 2c), with more than 1 storm per year per grid ( $4^{\circ} \times 4^{\circ}$ ) between 4 to 12°N,  
198 compared to the observed climatological mean of less than 0.2 storms per year per grid (Fig. 2a).

199 Despite these biases in the total TC counts and genesis spatial distribution, the S2S models  
200 represent the annual cycle of TC genesis reasonably well (Fig. 3). We define regionally varying  
201 TC seasons that consist of the months with genesis rate higher than 5% of the annual genesis rate  
202 in each region. Using this definition, the TC seasons in some models are slightly different than  
203 in observations. For example, the observed hurricane season in the ENP is defined as May to  
204 October, but it is from July to December in the BoM model. The simulated TC seasons in the  
205 ECMWF model have the best match with those from observations.

206 Although there are differences between simulated and observed TC seasons, our target is to have  
207 skillful TC predictions during the observed TC seasons. Therefore, the observed TC seasons are  
208 used in our prediction skill evaluation below. Using this definition the TC seasons are: June to  
209 November in the ATL, May to October in the ENP, May to December in the WNP, April to June  
210 and September to December in the NI, October to April in the SIN, and November to April in the  
211 AUS and SPC.

#### 212 **4. MJO–TC modulation**

213 Next, we examine whether the S2S models are capable of simulating the observed MJO–TC  
214 modulation. Specifically, we refer to the spatial distribution of genesis as a function of the MJO  
215 phase, such as the anomalous fields in Figs. 5 and 4, and the basin-wide probability density  
216 functions (PDF) in Fig. 6. Our focus is the week two reforecasts, when all S2S models have skill  
217 in predicting the MJO (Vitart 2017).

218 Global climate models are able to simulate (Zhang 2013; Camargo and Wing 2016) the observed  
219 dependence of TC genesis on the MJO (Nakazawa 1988; Liebmann et al. 1994; Mo 2000; Maloney  
220 and Hartmann 2000b,a; Kim et al. 2008; Li and Zhou 2013; Krishnamohan et al. 2012; Bessafi  
221 and Wheeler 2006). In these studies high horizontal resolution is cited as a necessary condition  
222 to capture the MJO–TC modulation. However, the necessary resolution is not precisely defined.  
223 The horizontal resolution in these studies varies from 50 km for the EMCWF (Vitart 2009) and the  
224 Geophysical Fluid Dynamics Laboratory (GFDL) High Resolution Atmospheric Model (HiRAM)  
225 (Jiang et al. 2012), to 14 km for the Japanese Nonhydrostatic ICosahedral Atmospheric Model  
226 (NICAM) (Oouchi et al. 2009). Furthermore, good representations of convection and microphysics  
227 are key elements in order to simulate well the MJO in global models (Kim et al. 2012; Holloway  
228 et al. 2013; Kim et al. 2014; Kang et al. 2016). Changing the horizontal resolution (with the  
229 same physical packages for the same model) need not improve the MJO simulation (Jia et al.  
230 2008; Holloway et al. 2013; Hung et al. 2013). Horizontal resolution might be important primarily  
231 through its influence on the models’ ability to simulate TCs and their interaction with the ambient  
232 environment (Kim et al. 2017).

233 The S2S models have horizontal grid spacings from 0.25 to 2 degrees. All of them are able to  
234 capture, at least qualitatively, the observed eastward propagation of TC genesis anomalies in the

235 southern hemisphere with increasing MJO phase (Fig. 4). While the observed eastward propa-  
236 gating signal is weaker in the northern hemisphere (Fig. 5), we can still see positive TC genesis  
237 anomalies propagating from the NI to the ATL, i.e. from MJO phases 2 & 3 to 8 & 1. The northern  
238 hemisphere eastward propagation is stronger in most of the S2S models than in observations. The  
239 observed positive anomalies in the ENP for MJO phases 6 & 7 are too strong and expand towards  
240 the WNP for the ECMWF and MetFr models. Similarly, the WNP anomalies for MJO phases  
241 8 & 1 are over-predicted and expand towards ENP for the BoM, CMA, and ECMWF models. The  
242 JMA model is not able to capture the MJO eastward propagation in the northern hemisphere.

243 To further identify the MJO phases which are favorable for TC genesis in individual basins,  
244 we perform a candy-plot analysis (Section 2), which shows the storm genesis rate binned by MJO  
245 phase in each TC basin. In observations (Fig. 6a), 31% of observed ATL hurricanes form when the  
246 MJO convection center is in the Indian Ocean (MJO phases 2 & 3). Similarly, in the SIN, a higher  
247 rate of genesis (50% of all storms) occurs in the MJO phases 2 & 3. The favorable MJO phases  
248 for TC occurrence are 3 & 5 for the NI, 3 & 4 for the AUS, 5 & 6, for the WNP, 7 & 8 for SPC, and  
249 7, 8 & 1 for the ENP. The favorable MJO phases are listed by basin with increasing longitudes so  
250 that they line up from the lower-left corner to upper-right corner in Fig. 6a.

251 The favorable MJO phases in S2S models also show a lower-left to upper right trend, with the  
252 exception of the JMA model. The ECMWF model with a horizontal resolutions of 0.25–0.5°  
253 best simulates the observed MJO–TC pattern (Fig. 6b), and explains 53% ( $r^2$ ) of the observed  
254 variance. The MetFr, NCEP, CMA, and BoM models (in order of model resolution from 0.7° to  
255 1°) explain 44%, 46%, 36%, and 46% of the variance, respectively. The JMA model does not  
256 capture the upward trend of the MJO–TC pattern, because the MJO phases 5 and 6 occur much  
257 more frequently in the model than in observations, especially during the northern hemisphere  
258 TC seasons (Fig. 6e). As a result, despite having 0.5° horizontal grid spacing, the JMA model

259 explains only 22% of the observed MJO–TC relationship. Our results suggest that while model  
260 resolution plays an important role in simulating TCs, it is probably not the most important factor  
261 for simulating the observed modulation of the MJO on TC genesis.

## 262 **5. Genesis forecast skill**

263 The ability of global models to represent TC genesis depends on the model characteristics,  
264 including the dynamical core (Reed et al. 2015a), the physical parameterizations (Reed and  
265 Jablonowski 2011), and the model resolution (Kajikawa et al. 2016). These characteristics are  
266 also responsible for how well the interaction between the MJO and TCs (or interactions between  
267 two weather systems in general) is represented in the model. A good TC forecast (at least at the  
268 weather scale) strongly relies on the model initialization, i.e., the data assimilation scheme. Addi-  
269 tionally, the skill of the ensemble prediction system is sensitive to the design of the forecast, such  
270 as the ensemble size and the range of the model spread, topics that have been broadly studied in  
271 the context of weather, (Wilson et al. 1999; Richardson 2001) seasonal (Brankovic and Palmer  
272 1997; Deque 1997; Kumar et al. 2001; Kumar and Chen 2015) and decadal (Sienz et al. 2016)  
273 predictions. In this section, we discuss the impact of the model characteristics, initialization, and  
274 the ensemble size on the subseasonal (week one to five) genesis prediction skill.

### 275 *a. Probabilistic TC occurrence prediction – the BSS*

276 We start by quantifying the performance of the S2S models in predicting the probability of  
277 weekly TC occurrence using the Brier Skill Score (Section 2). Two different Brier Skill Scores  
278 are calculated here. The first (called BSS<sub>c</sub>, where ‘c’ stand for ‘constant’, dashed lines in Fig. 7)  
279 compares the Brier Score of the forecast to that of a constant, annual mean climatological forecast.  
280 Positive values of BSS<sub>c</sub> means that the model is more skillful than a constant climatological

281 prediction. We consider storms with genesis in all months, and with this score, forecasts receive  
282 credit for correctly matching the annual cycle in TC genesis frequency.

283 The second Brier Skill Score (called BSS without a subscript here, solid lines in Fig. 7) compares  
284 Brier Skill of the forecasts to that of a monthly varying climatological forecast. In this case,  
285 only storms that formed in the observed TC seasons are considered (as defined in the Section  
286 3). This is a more conventional and strict measure for evaluating TC genesis prediction skill  
287 and the S2S models achieve positive BSS values when they capture deviations from the observed  
288 seasonality. A positive BSS in this case means that the model is more skillful than the monthly  
289 varying climatological prediction.

290 The values of BSS<sub>c</sub> are often positive, indicating that S2S models are more skillful than an  
291 annually constant forecast in most of TC basins. They are also often noticeably greater than those  
292 of BSS because the reference forecast used for BSS<sub>c</sub> is less skillful than that of BSS. Forecasts  
293 from the CMA and those for the NI are exceptions. In the CMA forecasts, the values of BSS<sub>c</sub> are  
294 much close to those of BSS in SIN, AUS, and WNP. This is because the CMA model's climatology  
295 is poor with too many storms forming during the observed off season (not shown). The differences  
296 between BSS<sub>c</sub> and BSS in the NI are much smaller than in other basins, and there are no positive  
297 BSS<sub>c</sub> values after week one. In other words, none of the S2S models has more skill in predicting  
298 the TC season than an annually constant forecast after week one in the NI. In contrast, JMA,  
299 ECMWF, NCEP, and MetFr all have positive BSS<sub>c</sub> in the ATL up to week five, although ECMWF  
300 is the only model that has positive BSS after week one.

301 The BSS values for all models drop significantly from week one to week two (Fig. 7). This large  
302 drop is connected to our genesis definition, which leads to a high correlation between forecasts  
303 and observations (therefore high BSS) by accounting for pre-existing storms at the time of model  
304 initialization. Without including pre-existing TCs, the week one BSS values are close to but still

305 slightly higher than those at week two. By keeping the pre-existing storms we acknowledge that  
306 initialization is one of the contributing factors to a dynamical model's prediction skill. Most S2S  
307 models are skillful at week one in most basins, with exception of the NI. Low or even negative  
308 week one BSS values in a basin are related to poor model initialization in those regions. From  
309 weeks two to five, most models' BSS values level off, with the forecast errors saturating at week  
310 two. This is consistent with the fact that the genesis climatology does not vary significantly after  
311 week two. In some cases, such as for the ECMWF in the SPC and the NCEP in the NI, the model  
312 error continues to grow and therefore the BSS values decrease with increasing lead time. The  
313 MetFr BSS values in the SIN and NI fluctuate, with relatively higher values in weeks three and  
314 five than in weeks two and four.

315 Based on the BSS evaluation, the ECMWF model has skill up to week five in predicting TC  
316 occurrence in the ATL and the WNP, the SPC and ENP up to week two, but has no skill in the  
317 SIN, NI, and AUS after week one. The BoM model has positive skill in the WNP up to week five  
318 and the AUS and SPC up to week two. The MetFr model is skillful up to week two in the WNP.  
319 The CMA, JMA, and NCEP models have no skill after week one. Compared to the existing basin-  
320 wide statistical models (Leroy and Wheeler 2008; Slade and Maloney 2013)<sup>1</sup>, the ECMWF, BoM  
321 and MetFr models have comparable prediction skill. At week one, the BSS from these multiple  
322 logistic regression models are 0.13 and 0.17 in the ATL and the ENP (Slade and Maloney 2013),  
323 and 0.09 in the SIN, 0.06-0.08 near the AUS, 0.045 in the SPC (Leroy and Wheeler 2008). The  
324 highest S2S BSS values (from ECMWF) at week one are: 0.7 in the ATL, the WNP, and the ENP,

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<sup>1</sup>While the mathematical formula in the statistical models are similar from one basin to another, the predictors and how sensitive they are to varies. Leroy and Wheeler (2008) focused on the southern oceans and used two MJO indices, the ENSO SST index, the Indo-Pacific SST, and the regional TC seasonal climatology. Slade and Maloney (2013), with the focuses on the Atlantic and east Pacific basins, used MJO and ENSO indices, and regional genesis climatology. For Atlantic basin, an additional predictor representing the variability of SST in the Main Development Region are used. We do not distinguish the different statistical models in our discussions but refer the interested readers to these studies.

325 0.35 in the AUS, 0.25 in the SIN, and 0.06 in the NI. At week two, the statistical models have  
326 BSS values of 0.11 and 0.16 in the ATL and the ENP (Slade and Maloney 2013), 0.07 in the SIN,  
327 0.05-0.07 near AUS and 0.001 in the SPC (Leroy and Wheeler 2008). The highest S2S BSS values  
328 at same leadtime are 0.15 in the ATL, 0.19 in the WNP, 0.105 in the ENP (from ECMWF) and  
329 0.056 in the SPC and 0.08 in the AUS (from BoM). None of the S2S models has positive skill  
330 in the SIN and NI at week two. From week three, the BSS values from the statistical models are  
331 overall better than those from the S2S models.

### 332 *b. BSS and MJO–TC relationship*

333 To examine whether a model’s representation of the observed MJO–TC genesis relationship in-  
334 fluences its TC genesis prediction skill, we compute the correlation, across lead times and models,  
335 between the BSS values (Fig. 7) and the fraction of variance ( $r^2$ ) of observed MJO–TC relation-  
336 ship explained by the models from the candy-plot analysis (Fig. 6). These two measures have  
337 correlation coefficients of 0.4–0.5 in the ATL, WNP, and ENP, 0.2 in the three southern basins,  
338 and close to 0 in the NI (Fig. 8). The positive correlation can be partially attributed to the depen-  
339 dence of both quantities on the lead time; the week one BSS and  $r^2$  values are higher than those  
340 at week three in the ATL. The accuracy of the simulated MJO–TC relationship in each model also  
341 contributes to its prediction skill, as expected – There are positive correlations across models at a  
342 fixed lead time up to week three in all basins, and week five in the ATL, WNP, and ENP. The  $r^2$   
343 values given in Fig. 8 are global, varying by lead time and model, but not by basin. When regional  
344 values are used, most basins still have positive small correlations, these are 0.1–0.3 in the AUS,  
345 WNP, SPC, and ENP, 0.6 in SIN, but are close to zero in the ATL and negative in the NI (not  
346 shown).

347 *c. BSS and ensemble size*

348 Next, we consider the impact of the ensemble size on the forecast skill of subseasonal TC pre-  
349 dictions. In particular, we are interested in exploring whether the NCEP model low skill scores  
350 are due to its small ensemble size (four members) in the S2S reforecasts. In the climatology and  
351 candy-plot analyses, the NCEP system performance is as good as the BoM, and MetFr models, but  
352 its skill scores are negative after week one. To examine this question, we first reduce the number  
353 of ensemble members for all S2S models to four in the BSS calculation. We focus our analysis  
354 in the BoM, ECMWF, and MetFr models at weeks two and five, as the CMA and JMA models  
355 only have four and five members, respectively. As expected, the ECMWF, BoM and MetFr BSS  
356 values drop to below zero when only four ensemble members are used in the calculation (Fig. 9).  
357 The ECMWF system is still more skillful than NCEP with four ensemble members, as is the BoM  
358 model in the basins where it is skillful with all 33 ensemble members.

359 We further calculate the BSS values with increasing ensemble size and find that the BoM model  
360 reaches a saturation point with roughly 15 ensemble members, i.e. further increases in the ensem-  
361 ble size do not benefit the genesis prediction skill. The ECMWF and MetFr models, with 11 and  
362 15 ensemble members respectively, seem to be close to their saturation points as well, although  
363 their BSS values are not flat yet. In other words, the forecast strategy of the ECMWF and MetFr  
364 is probably more efficient than that chose by BoM. Thus, we can expect that the NCEP, JMA, and  
365 CMA models will have better skill scores using larger ensemble sizes. This is particularly true in  
366 the case of the NCEP model, as the JMA and CMA models have larger bias in their simulated TC  
367 genesis climatology.

## 368 **6. Summary and discussion**

369 Dynamical subseasonal TC genesis prediction is very promising. In this study, we show that the  
370 prediction skill of probabilistic TC occurrence forecast from six S2S reforecasts. Most forecasts  
371 show skill for week one (days one to seven), when initialization is important. For weeks two to five,  
372 prediction skill is associated with the models' ability in simulating TC genesis climatology and the  
373 interaction between the MJO and TCs. The forecast skill in individual basin is positively related  
374 to how well the global MJO–TC relationship is captured by the model, up to week three in SIN,  
375 NI, AUS, and SPC, and up to week five in the ATL, WNP, and ENP. The accuracy of the simulated  
376 regional MJO–TC relationship also positively contributes to the genesis skill, particularly, in the  
377 SIN.

378 Among the six models, the ECMWF model has the best performance in reproducing the ob-  
379 served genesis TC climatology and is skillful in forecasting TC genesis up to week five in the ATL  
380 and WNP, week two in the SPC and ENP, and week one for the SIN, NI, and AUS. The BoM  
381 system has positive skill up to week four in the WNP, week two in the SPC and AUS, and week  
382 one in the ATL and SIN. The MetFr model has skill in the WNP up to week two, and week one  
383 in the other basins, except the NI. The CMA, JMA, and NCEP models show no skill in predicting  
384 TC genesis from weeks two to five.

385 While current skill scores are still low, the forecast is produced directly without any bias correc-  
386 tion. As noted by Vitart et al. (2010) the skill of a model can be extended by a few weeks by a bias  
387 correction through post-processing techniques based on the past hindcast performance. Even with  
388 no bias-correction, we show that the most skillful models (ECMWF and BoM) have higher skill  
389 than the existing regional statistical models at week one, and comparable skill at week two. It is

390 noted that our results may not reflect the maximum potential skill of some models, such as CMA,  
391 JMA and NCEP, since their S2S reforecast ensembles are small (four or five members).

392 Among the TC basins, subseasonal TC predictions in the Indian Ocean and southern oceans are  
393 the hardest, and most of the S2S models have less skill than the monthly climatological probabili-  
394 ties after week one. In contrast, more S2S models have positive skill in North Atlantic and North  
395 Pacific basins after week one.

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TABLE 1. Characteristics of the six S2S reforecasts used here

Model	forecast time	Resolution	Period	Ens. size	Frequency & Sample size
BoM	0–64 days	2°, L17	1981–2013	33	~5 days & 2160
CMA	0–61 days	1°, L40	1994–2014	4	daily & 7665
ECMWF	0–46 days	0.25° for first 10 days 0.5° after day 10, L91	1994–2014	11	~4 days & 2058
JMA	0–33 days	0.5°, L60	1981–2010	5	~10 days & 1079
MetFr	0–61 days	~0.7°, L91	1993–2014	15	~15 days & 528
NCEP	0–44 days	~1°, L64	1999–2010	4	daily & 4380

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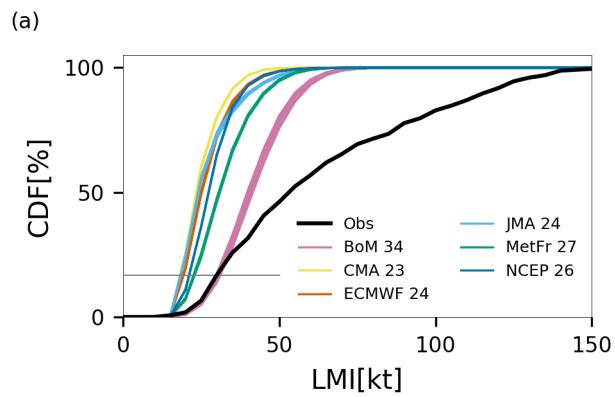
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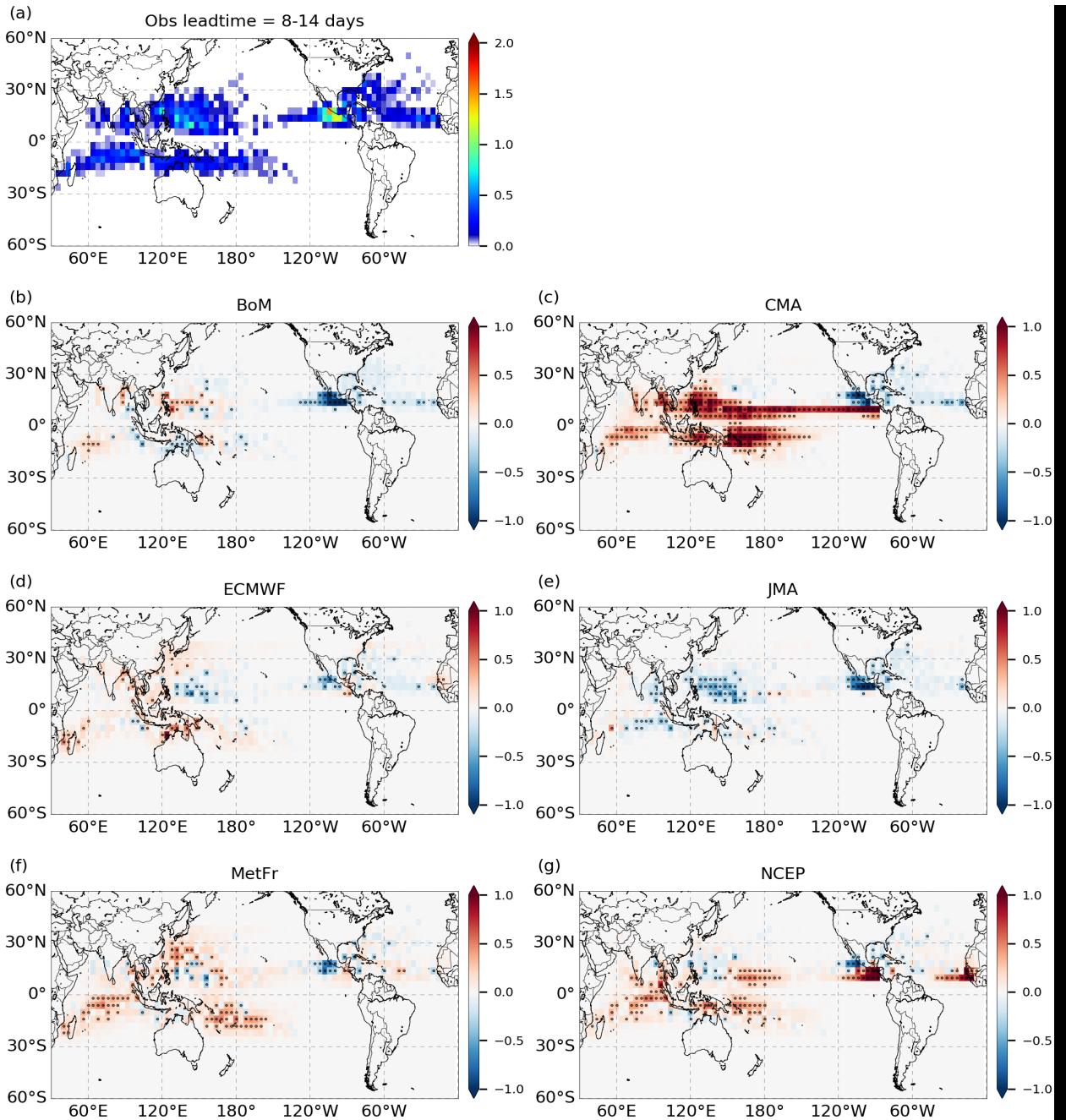
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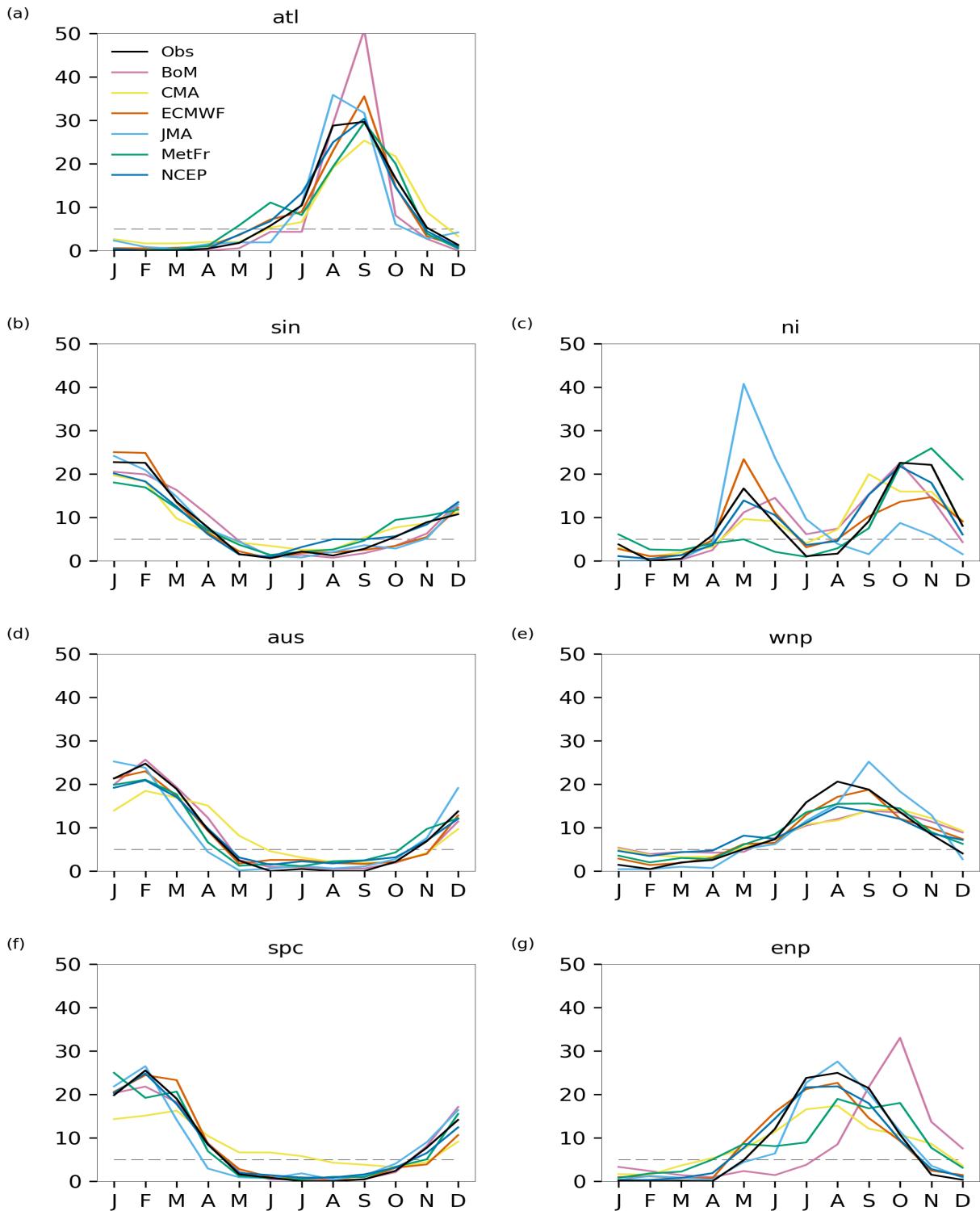
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603 and MetFr. The blue star and circle indicate the BSS from NCEP (4 ensemble members) at  
604 week 2 and 5, respectively. . . . . 39



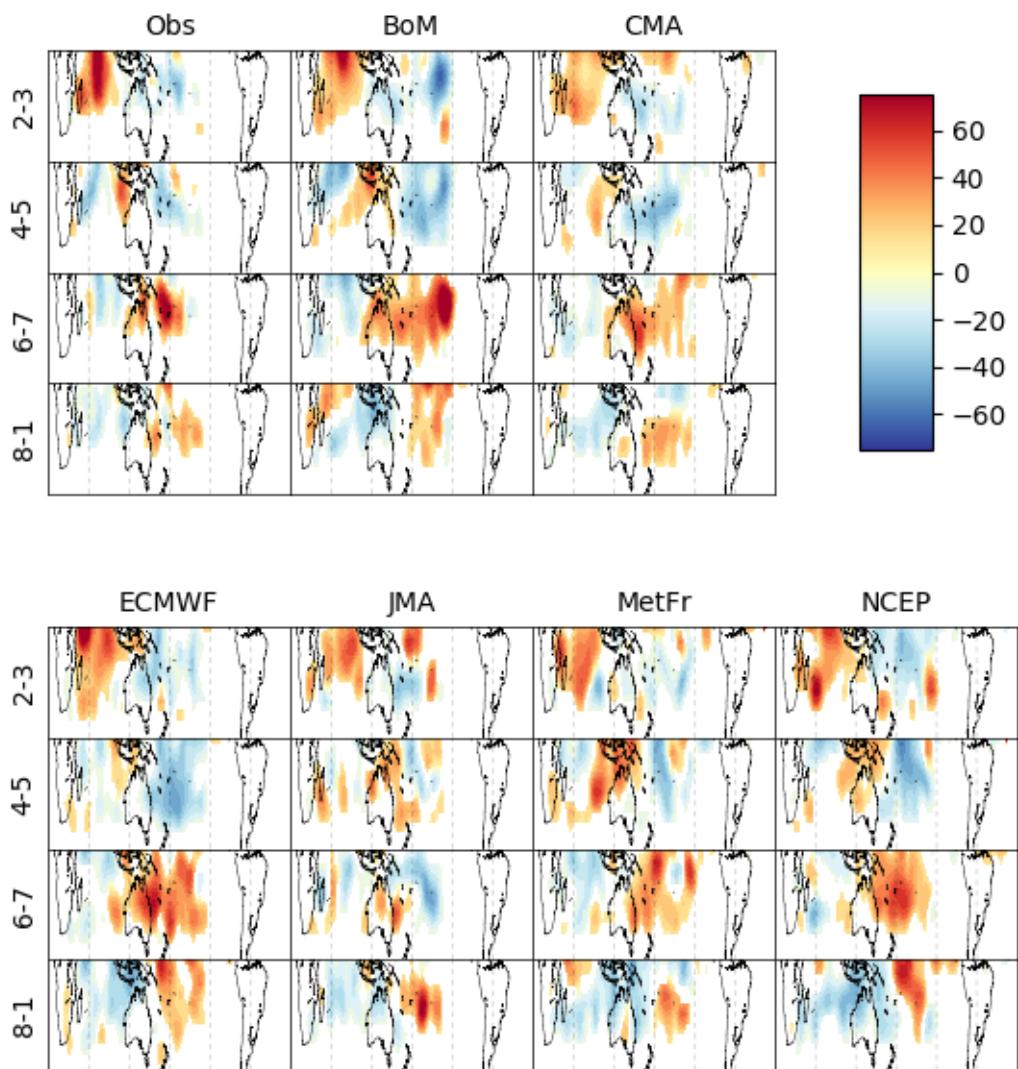
605 FIG. 1. Cumulative density function (CDF, in percentage) of the observed (black) and the simulated (colored)  
606 LMI from each of the ensemble members from the six S2S models.



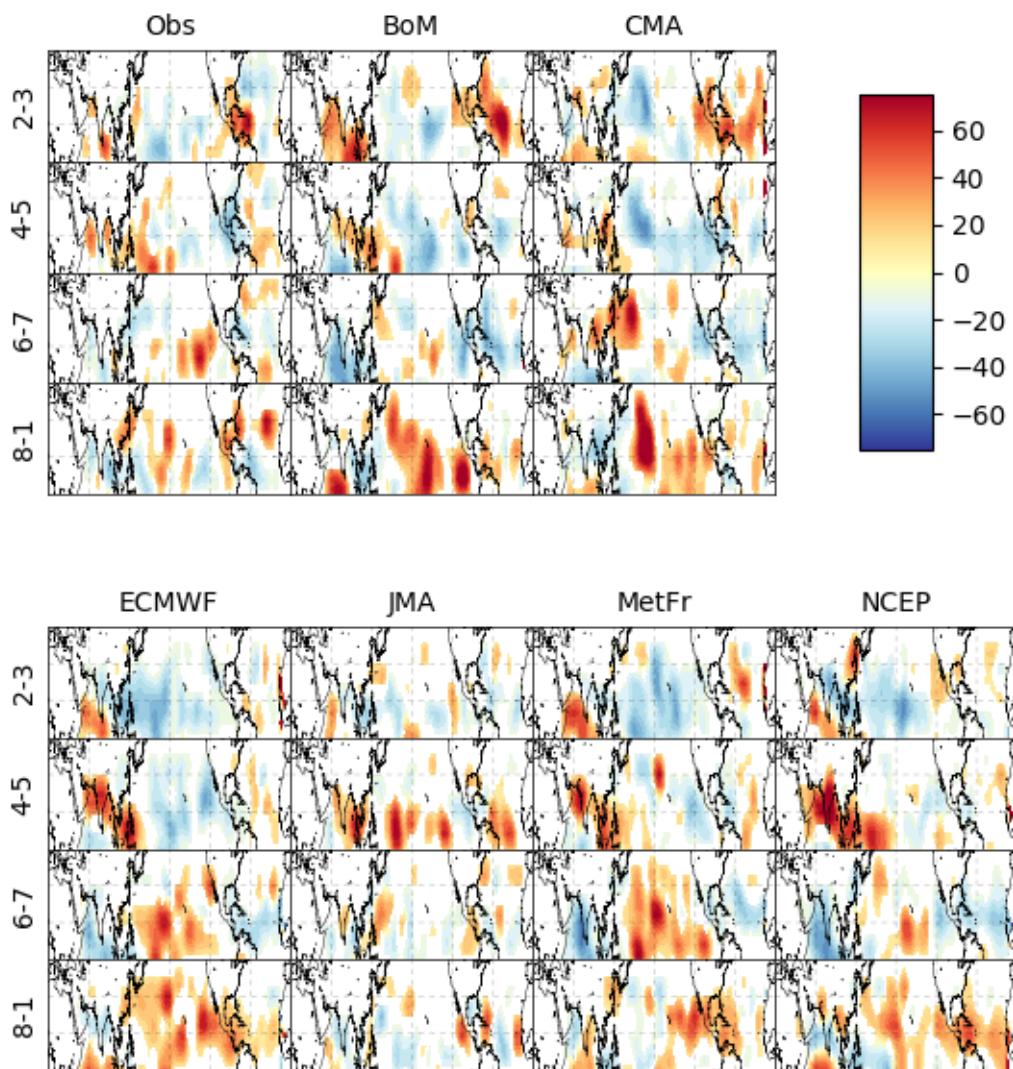
607 FIG. 2. (a) The observed genesis density in storm numbers per 4 by 4 degree per year. (b–g) Week 2 ensemble  
 608 mean genesis density biases in BoM, CMA, ECMWF, JMA, MetFr, and NCEP. The black dots indicating where  
 609 the error is larger than 1 standard deviation of the natural variability.



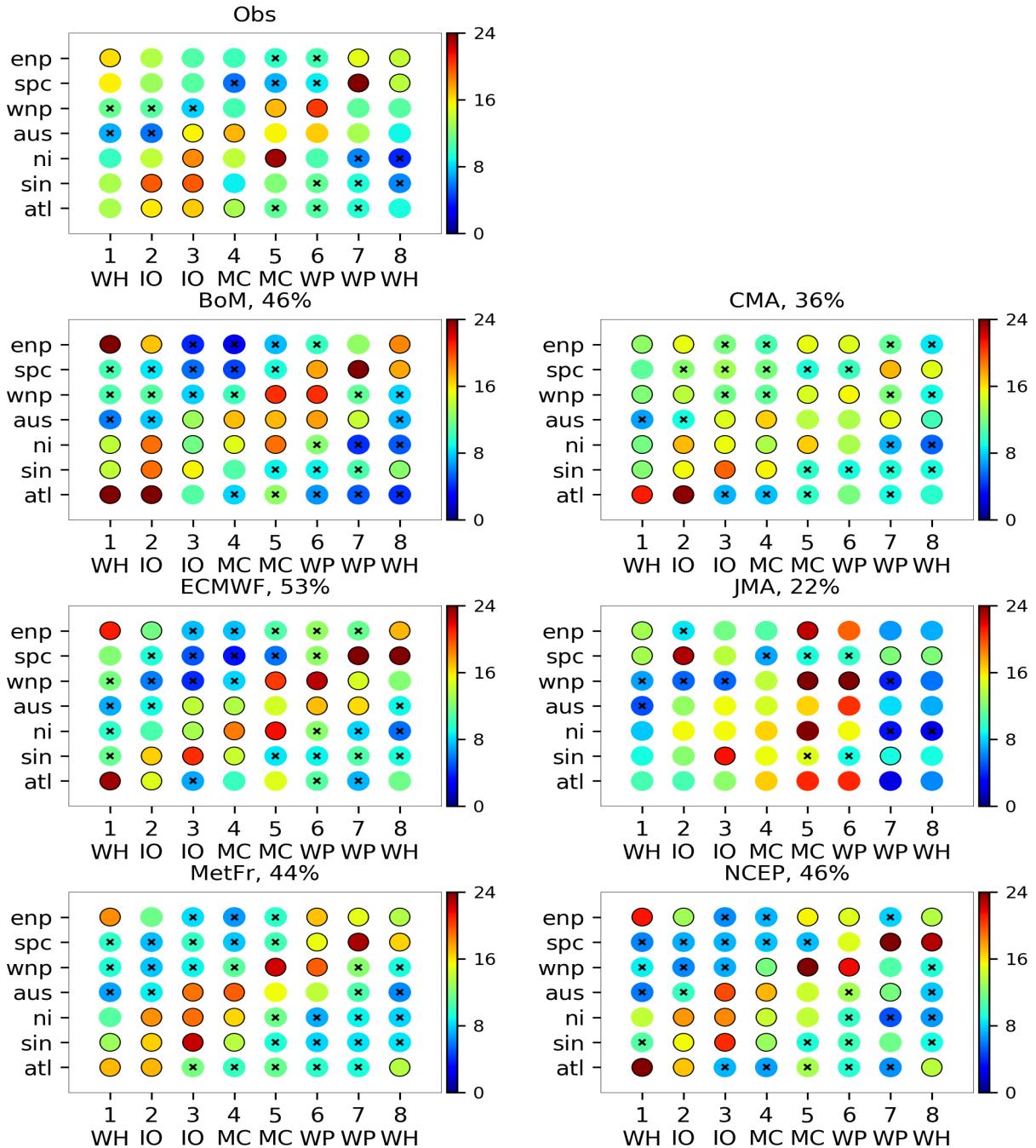
610 FIG. 3. Observed (black) and simulated (colored) ensemble mean seasonality (in percentage). At each basin,  
 611 the months when the genesis rate is larger than 5% (gray dash lines) of the annual genesis rate are defined as TC  
 612 season.



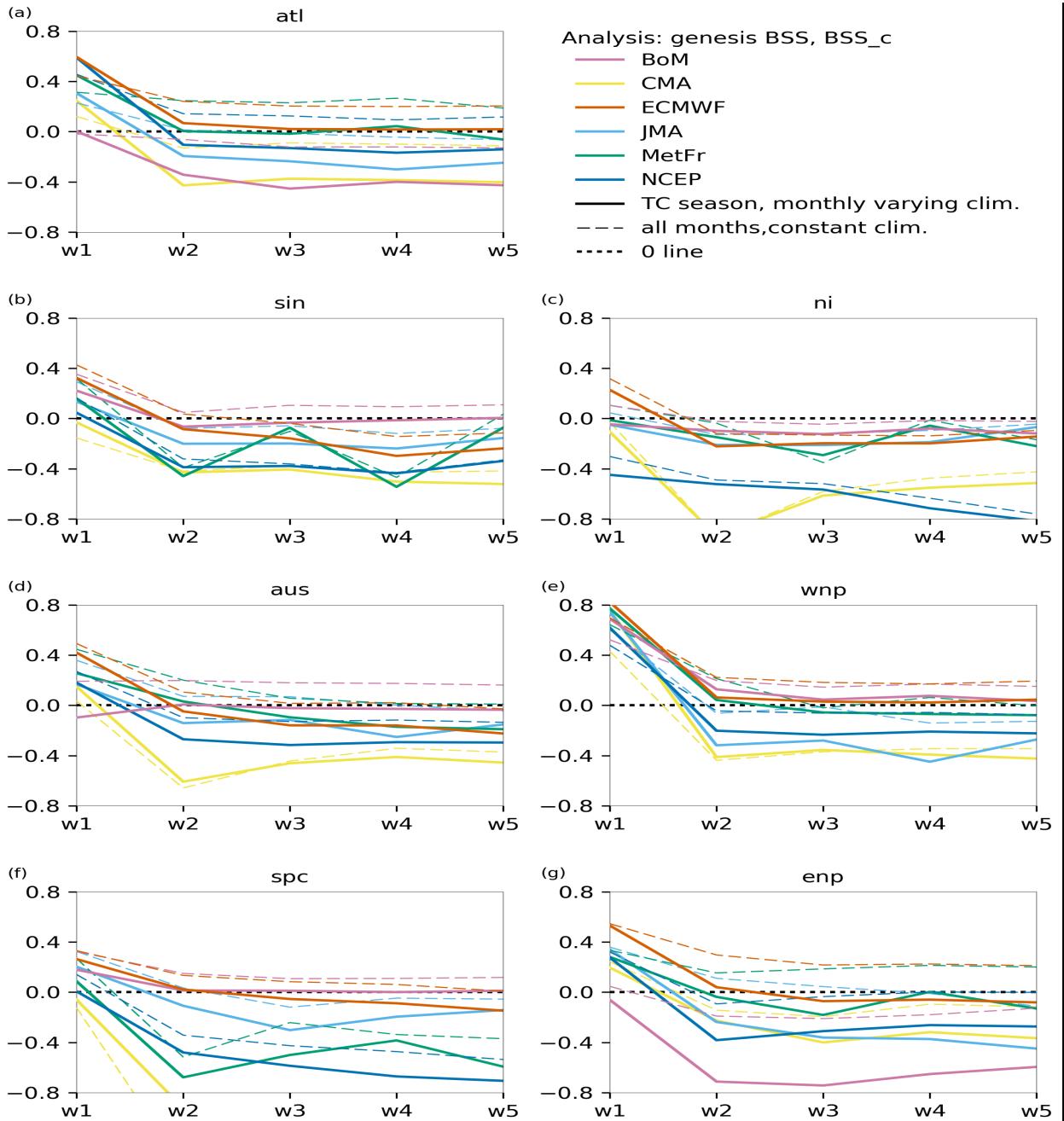
613 FIG. 4. Ensemble mean genesis anomalies (in percentage) at every 2 MJO phases from 2-3, 4-5, 6-7 and 8-1  
 614 (top down) in southern hemisphere from observations and the week 2 forecasts from six S2S models.



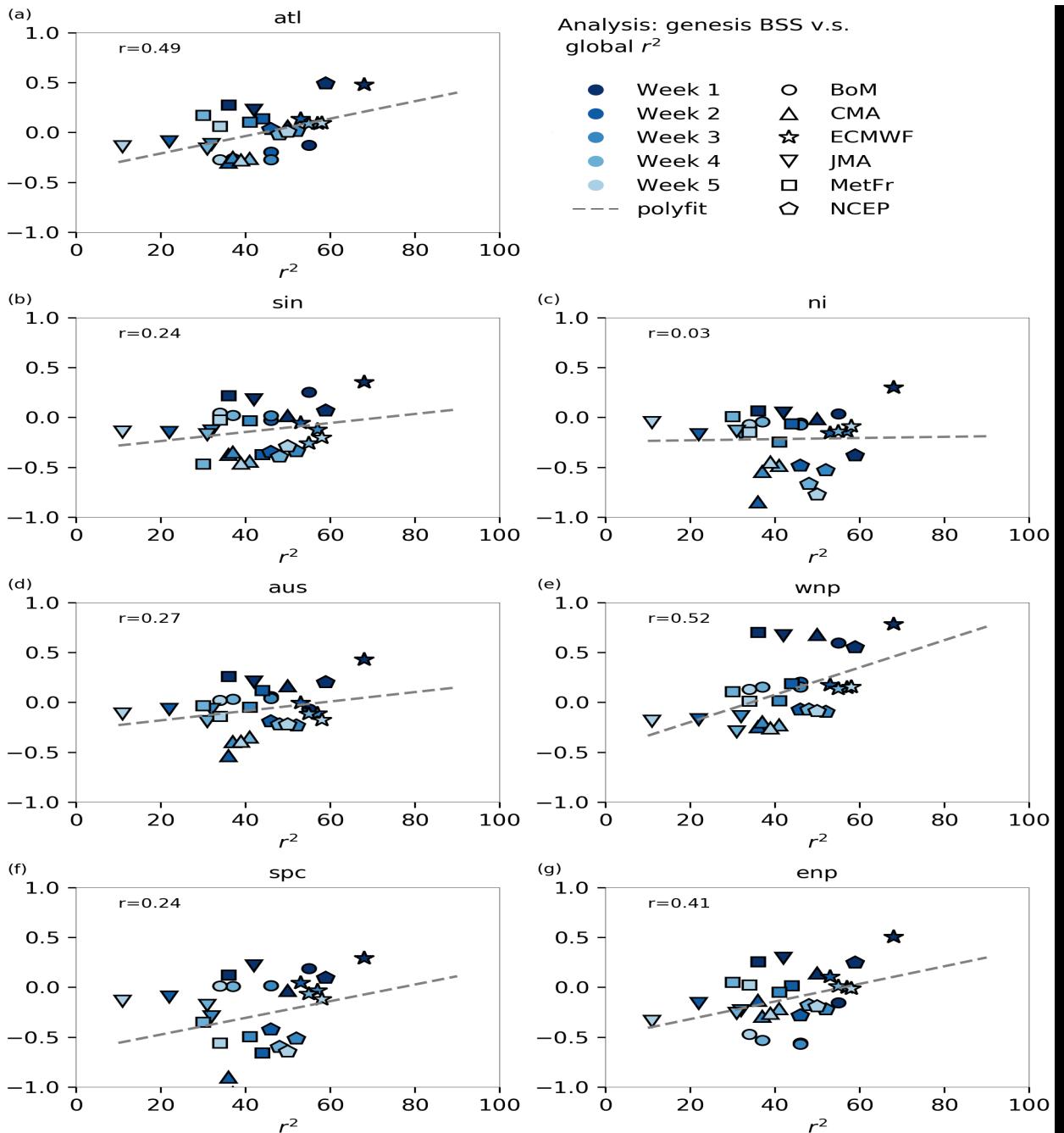
615 FIG. 5. Ensemble mean genesis anomalies (in percentage) at every 2 MJO phases from 2-3, 4-5, 6-7 and 8-1  
 616 (top down) in northern hemisphere from observations and the the week 2 forecasts from six S2S models.



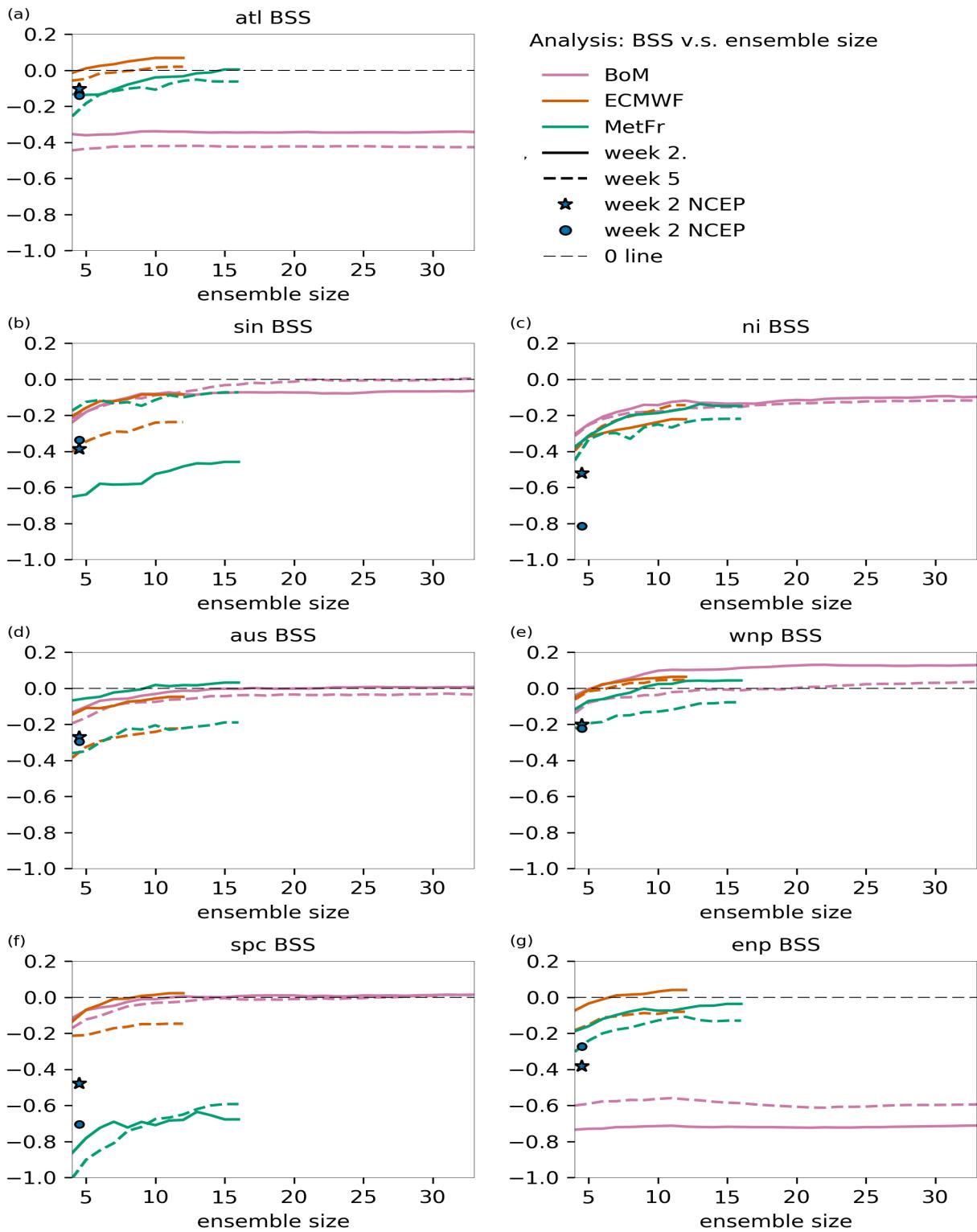
617 FIG. 6. Candy plot for MJO–TC relationship in observations and six S2S models from week 2 forecasts.  
 618 Color of each candy indicates the probability density function (PDF in percentage) in the corresponding MJO  
 619 phase in the basin. Sum of the circles across the MJO phases in each basin is 100%. The black circle at the edge  
 620 indicates the value is above 90% significant level while the cross symbol,  $\times$ , at the center means the value is  
 621 below 10% significant level. In the title of each subplot from simulations we label the  $r^2$ , which represents the  
 622 fraction of the observed pattern explained by the model simulation.



623 FIG. 7. Weekly, basin-wide BSS in the S2S model relative to the constant (dashed lines) and to the monthly  
 624 varying climatological (solid) predictions. See Sections 2 and 5 for details.



625 FIG. 8. Basin-wide BSS (from Fig. 7) as a function of the  $r^2$  from candy-plot analyses from week 1 to week  
 626 5 (colors) in all six S2S models (symbols). The dashed line shows the best-fit line and the correlation coefficient  
 627 between BSS and the  $r^2$  is labeled at the upper left corner in each subplot.



628 FIG. 9. Basin-wide BSS at week 2 and week 5 as a function of ensemble size in BoM, ECMWF, and MetFr.  
 629 The blue star and circle indicate the BSS from NCEP (4 ensemble members) at week 2 and 5, respectively.